



LUND UNIVERSITY



ATLAS week  
CERN  
17 February 2005

# New $p_{\perp}$ -ordered Showers and Interleaved Multiple Interactions

Torbjörn Sjöstrand<sup>1</sup> + Peter Skands<sup>2</sup>

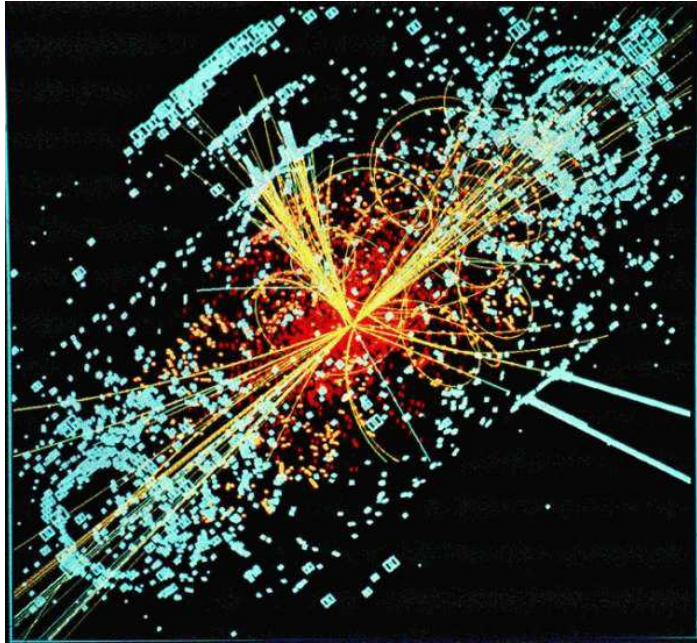
Department of Theoretical Physics, Lund University

<sup>1</sup> now at CERN

<sup>2</sup> now at FNAL

EPJ C39 (2005) 129 [hep-ph/0408302]

also JHEP 03 (2004) 053 [hep-ph/0402078]



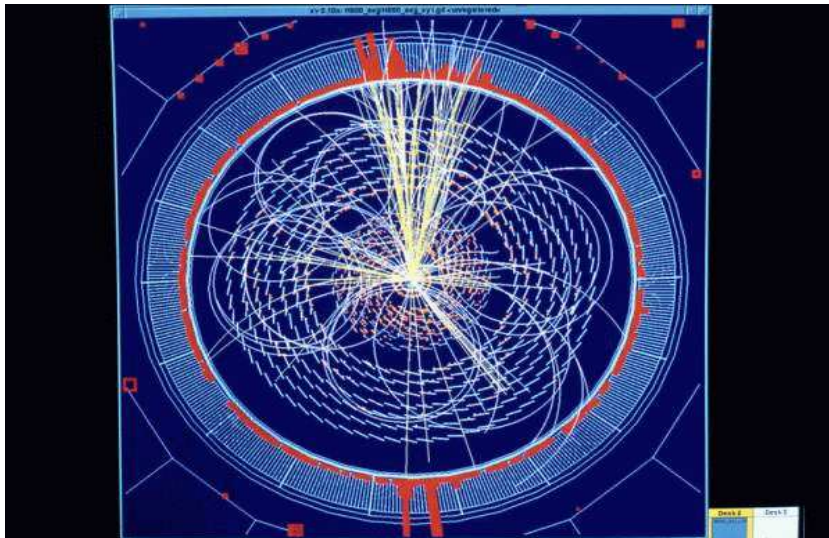
## The structure of an event

Multiple interactions

The  $p_{\perp}$ -based philosophy

$p_{\perp}$ -ordered showers

Interleaved interactions



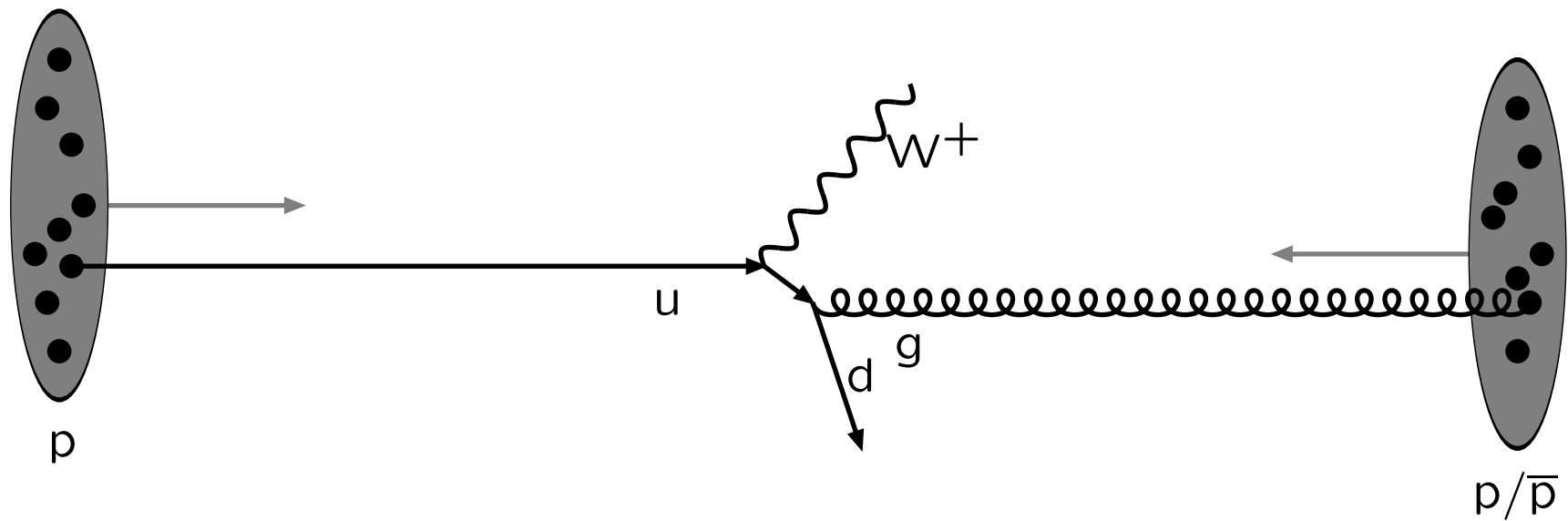
Outlook

# The structure of an event

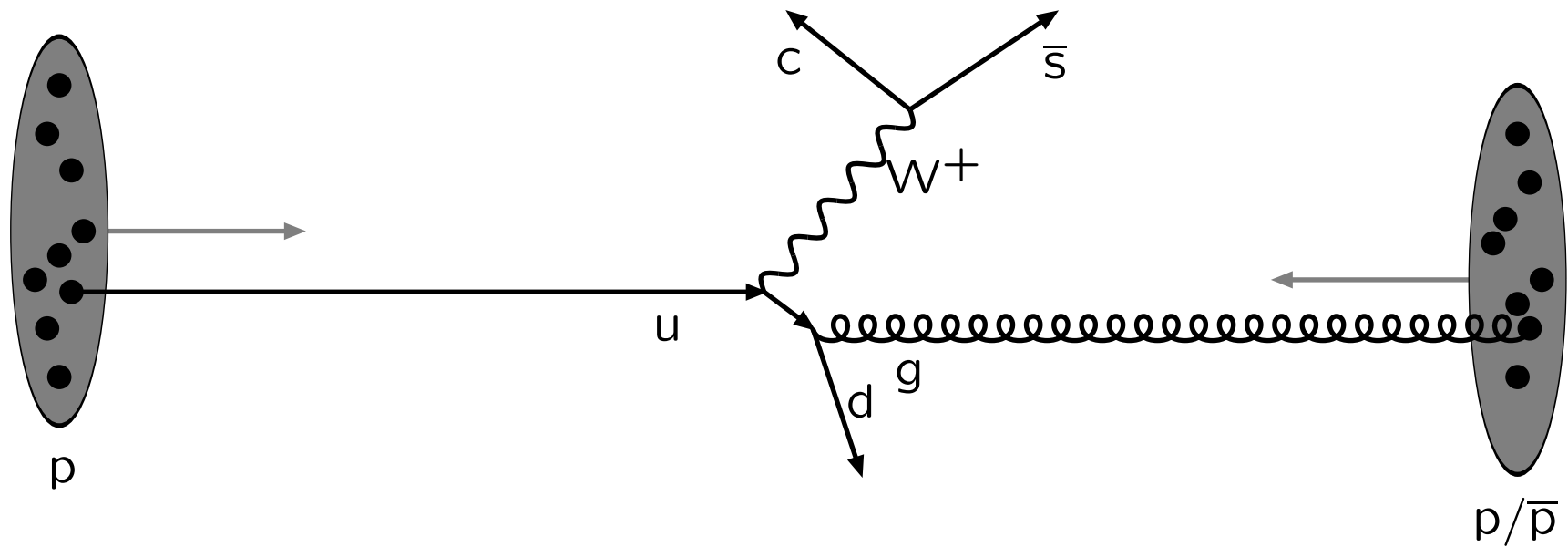
Warning: schematic only, everything simplified, nothing to scale, ...



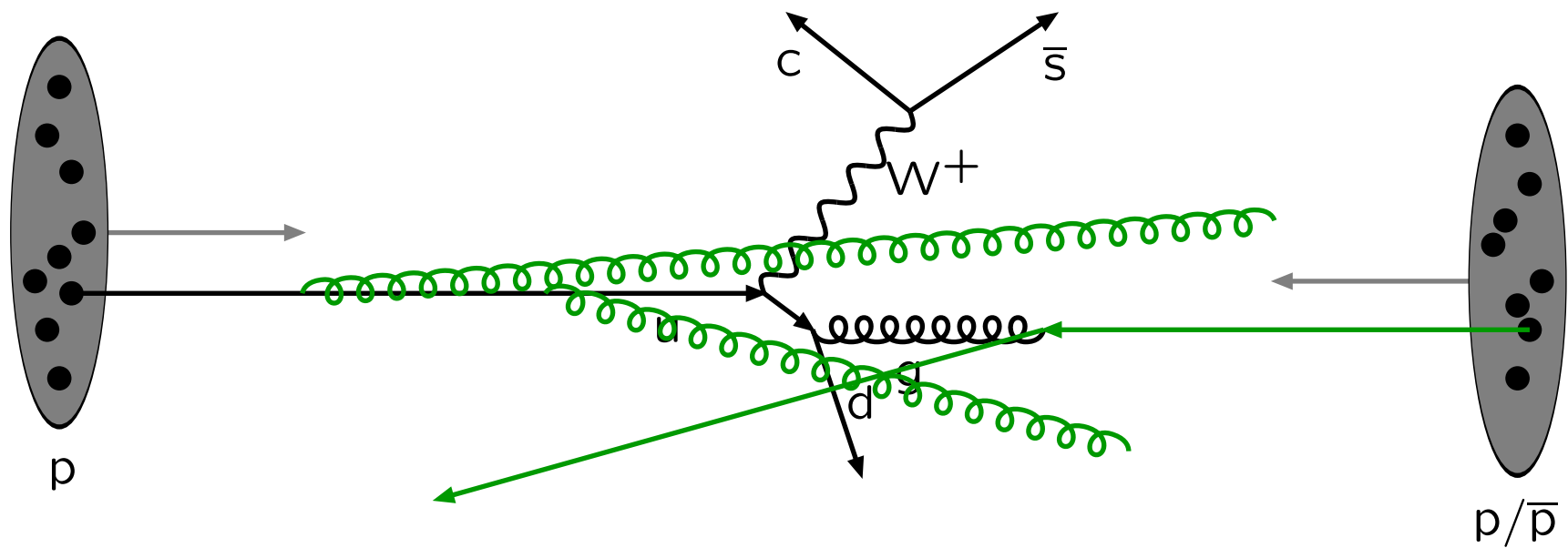
Incoming beams: parton densities



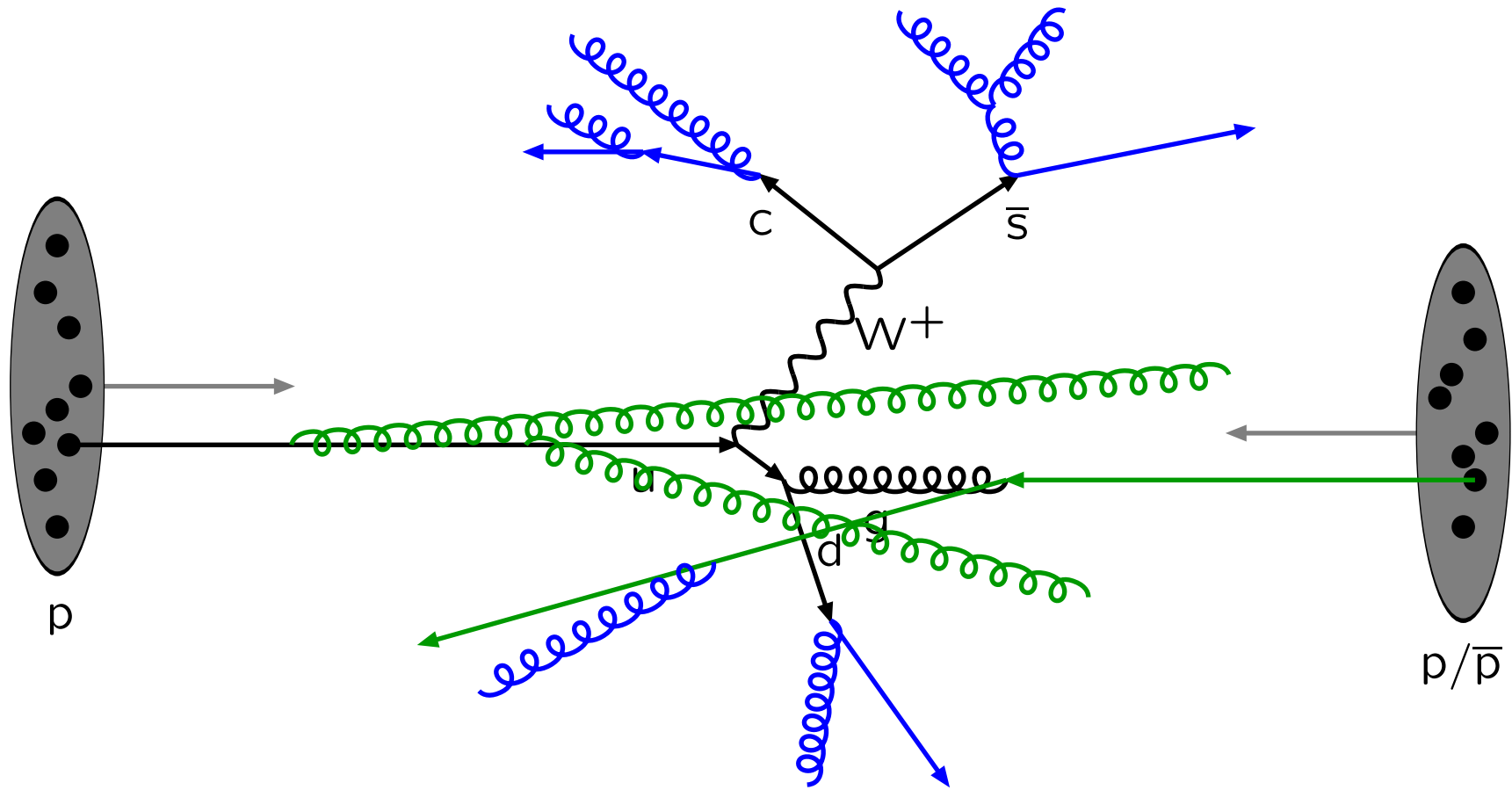
Hard subprocess: described by matrix elements



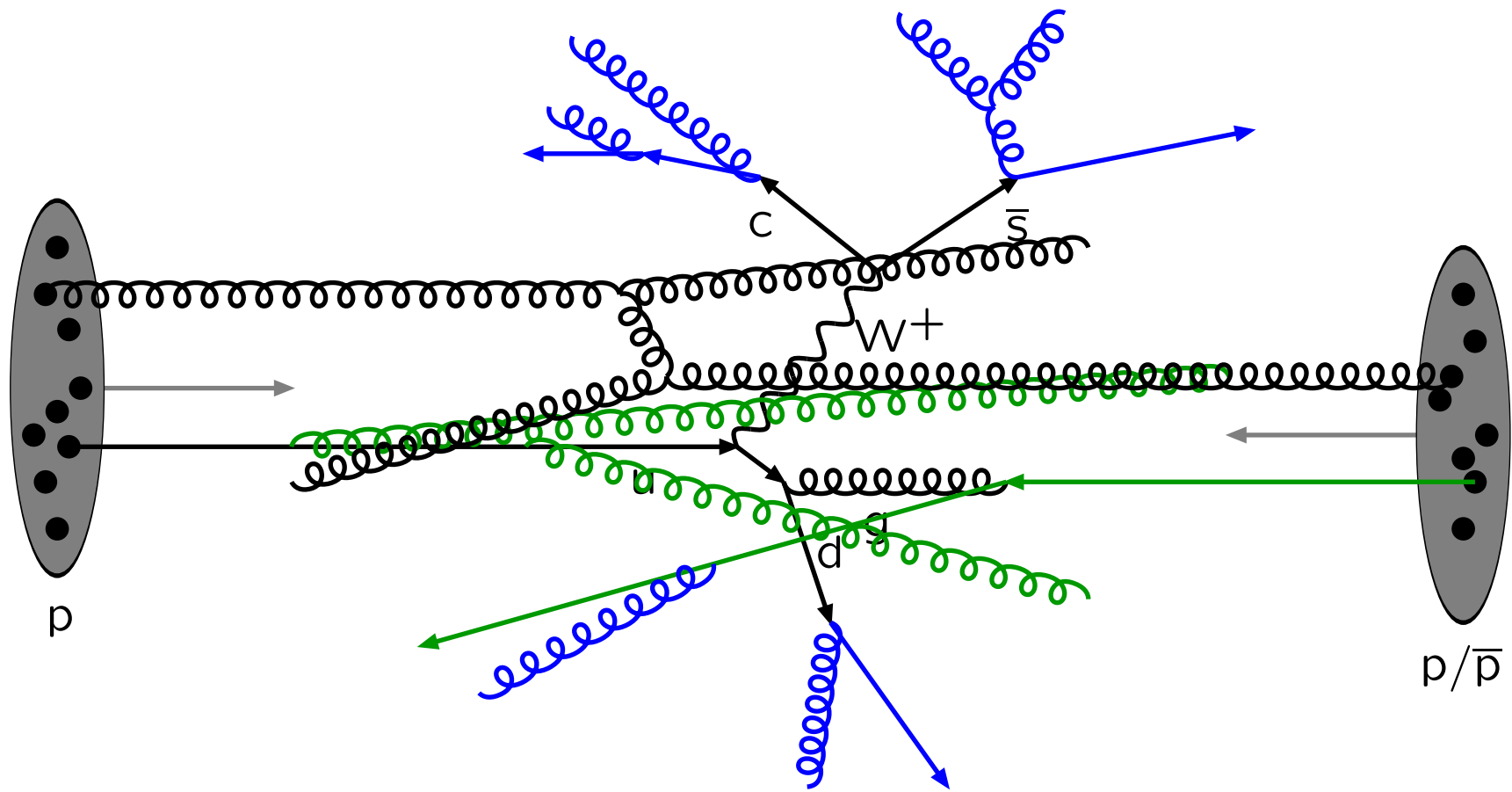
Resonance decays: correlated with hard subprocess



Initial-state radiation: spacelike parton showers

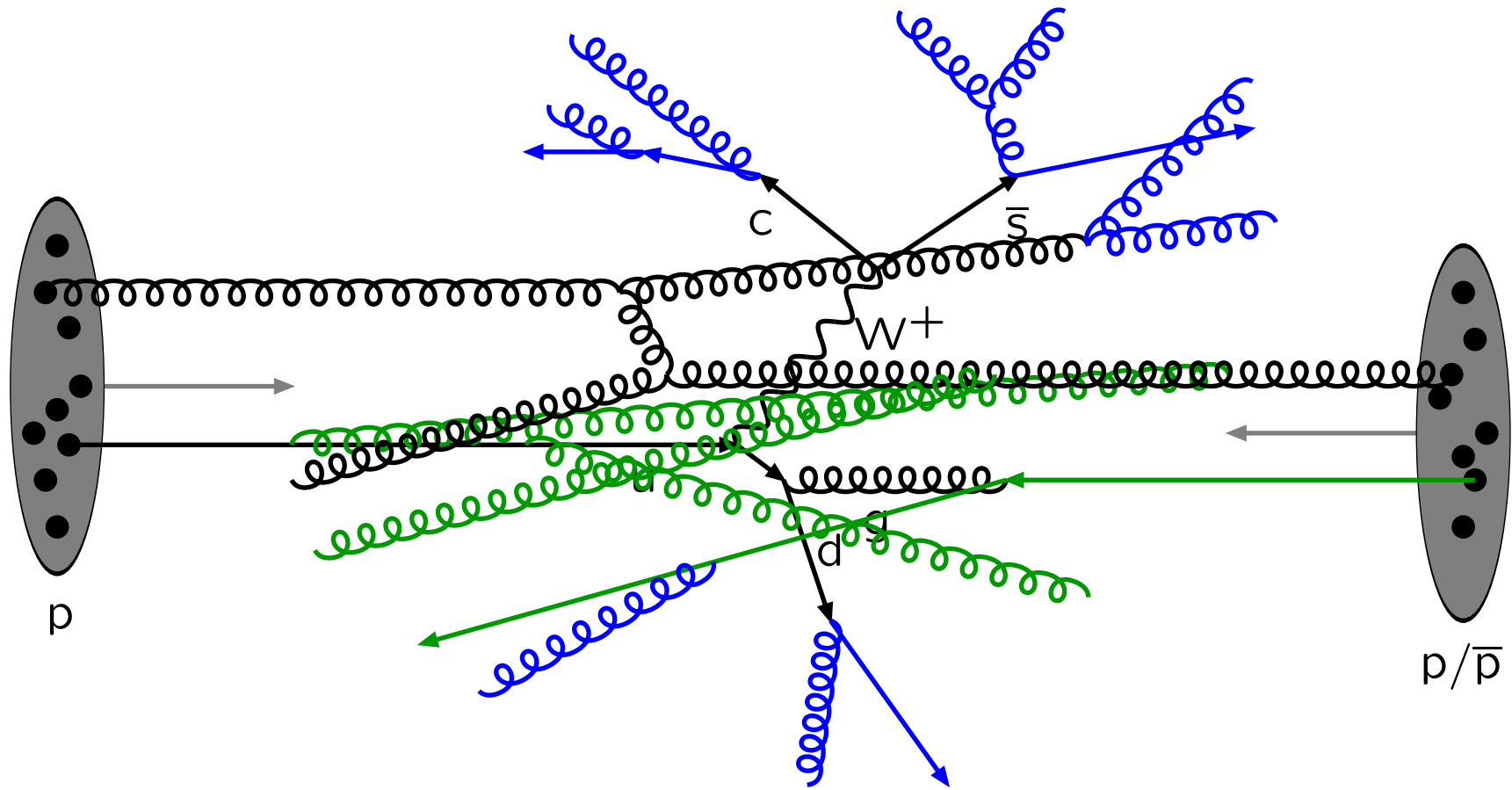


Final-state radiation: timelike parton showers

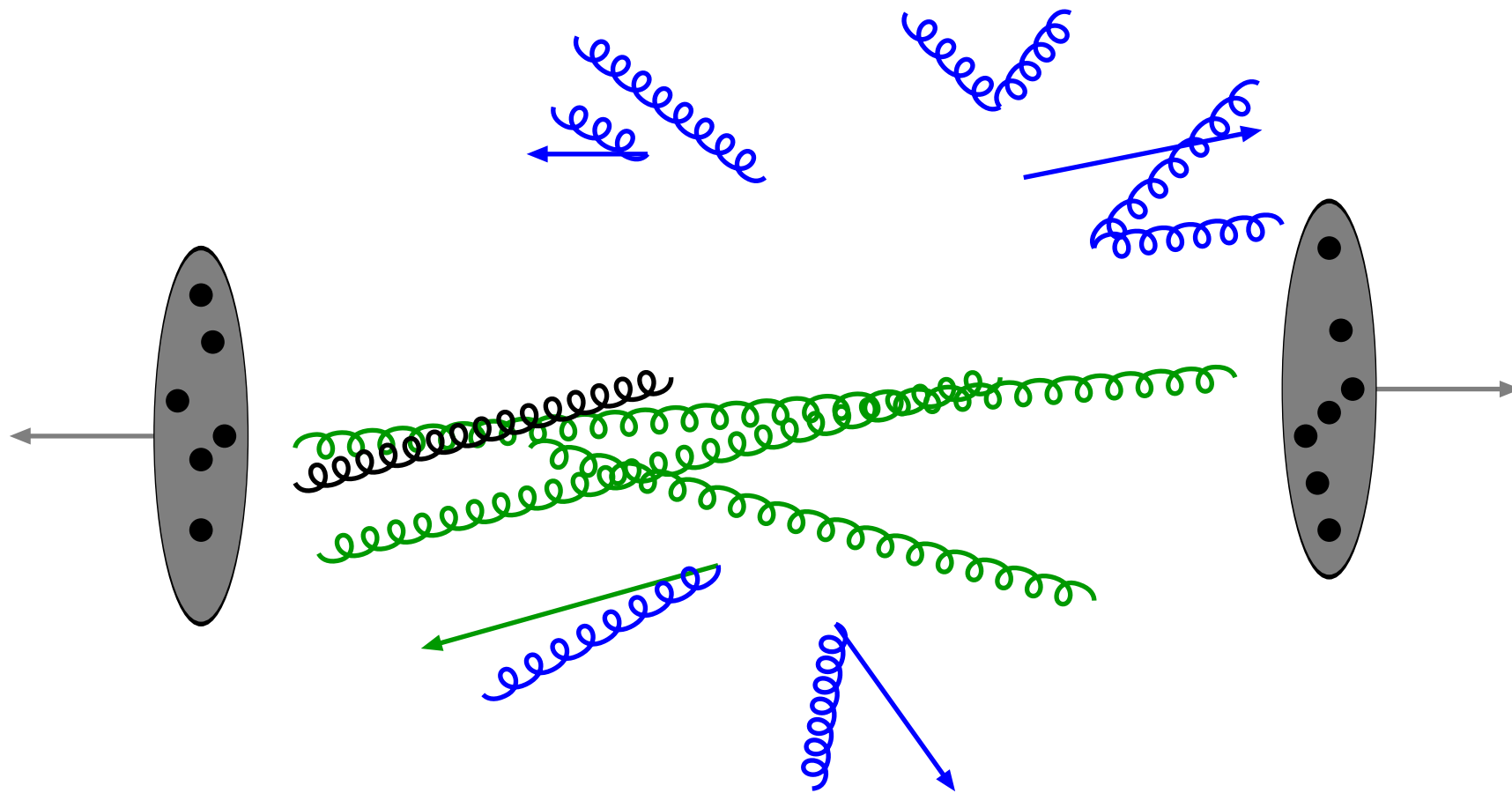


Multiple parton-parton interactions ...

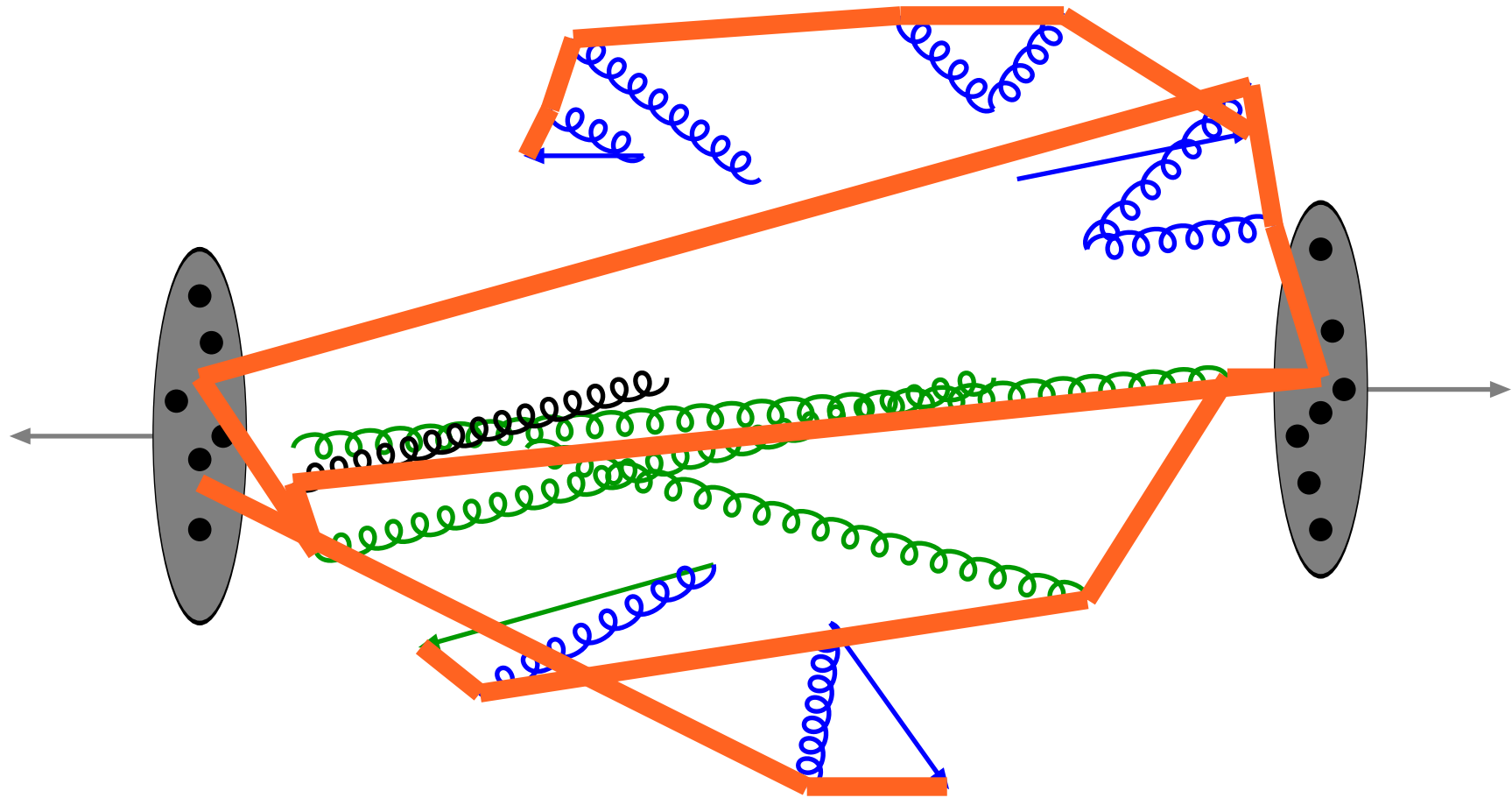




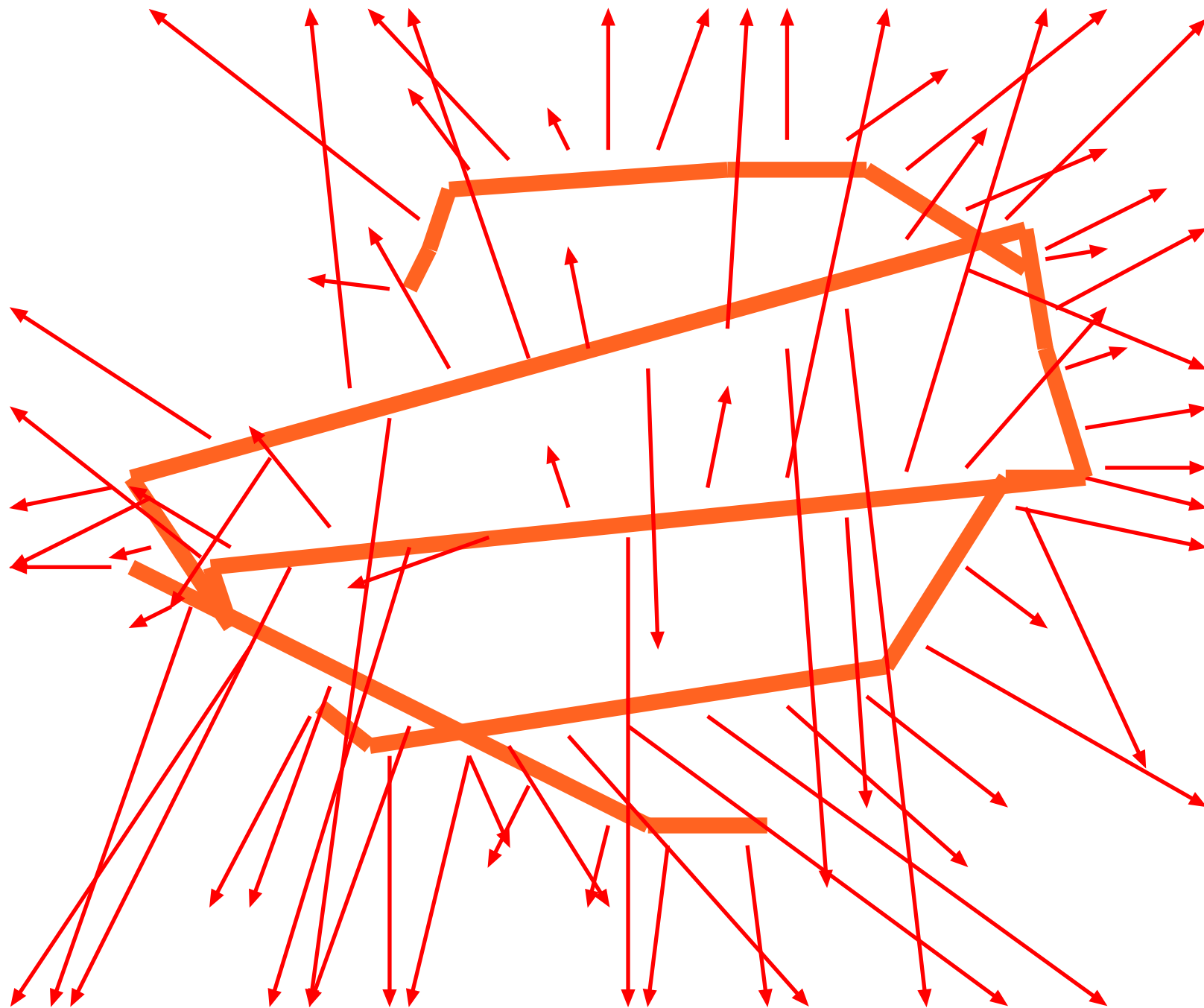
... with its initial- and final-state radiation



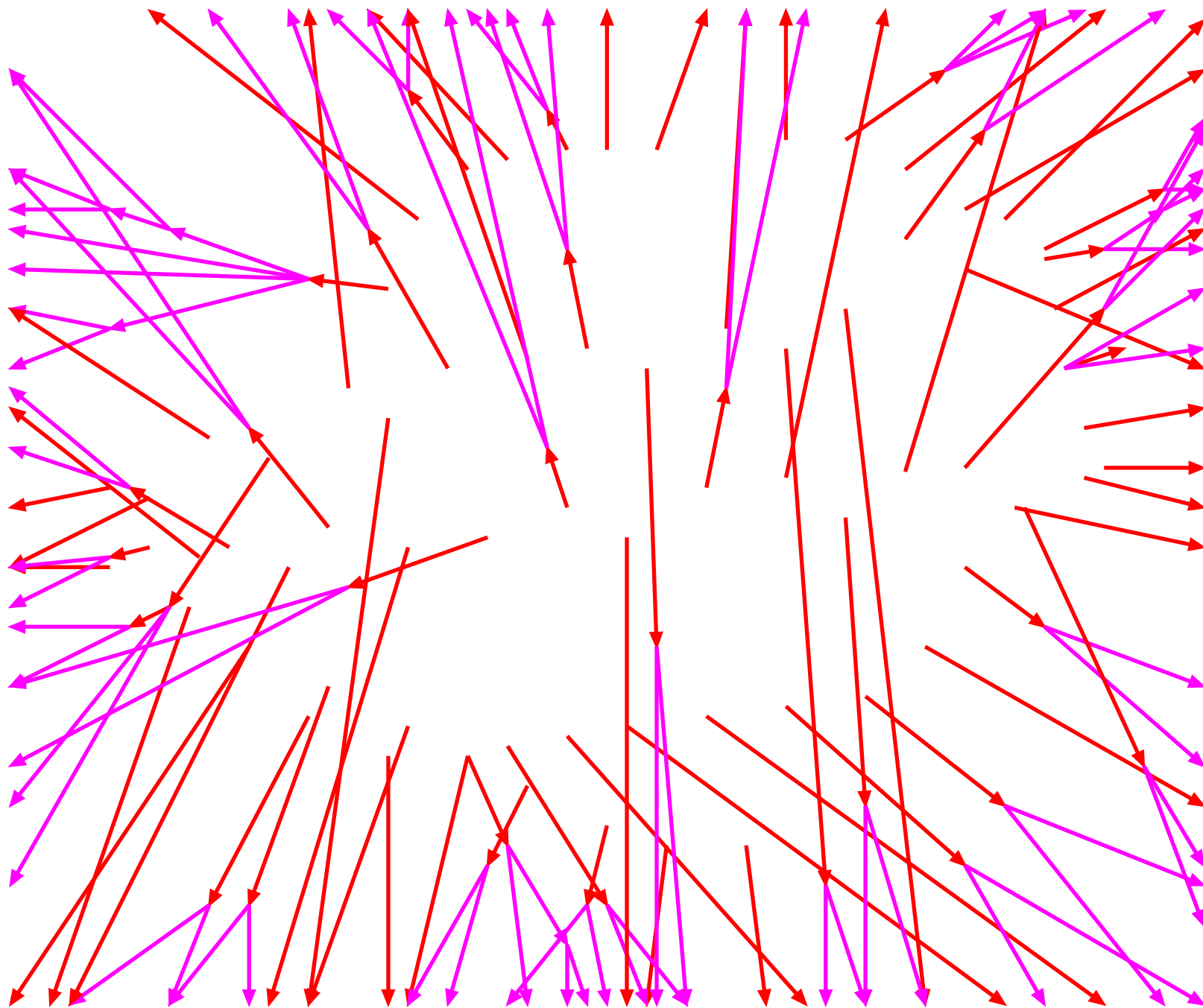
Beam remnants and other outgoing partons



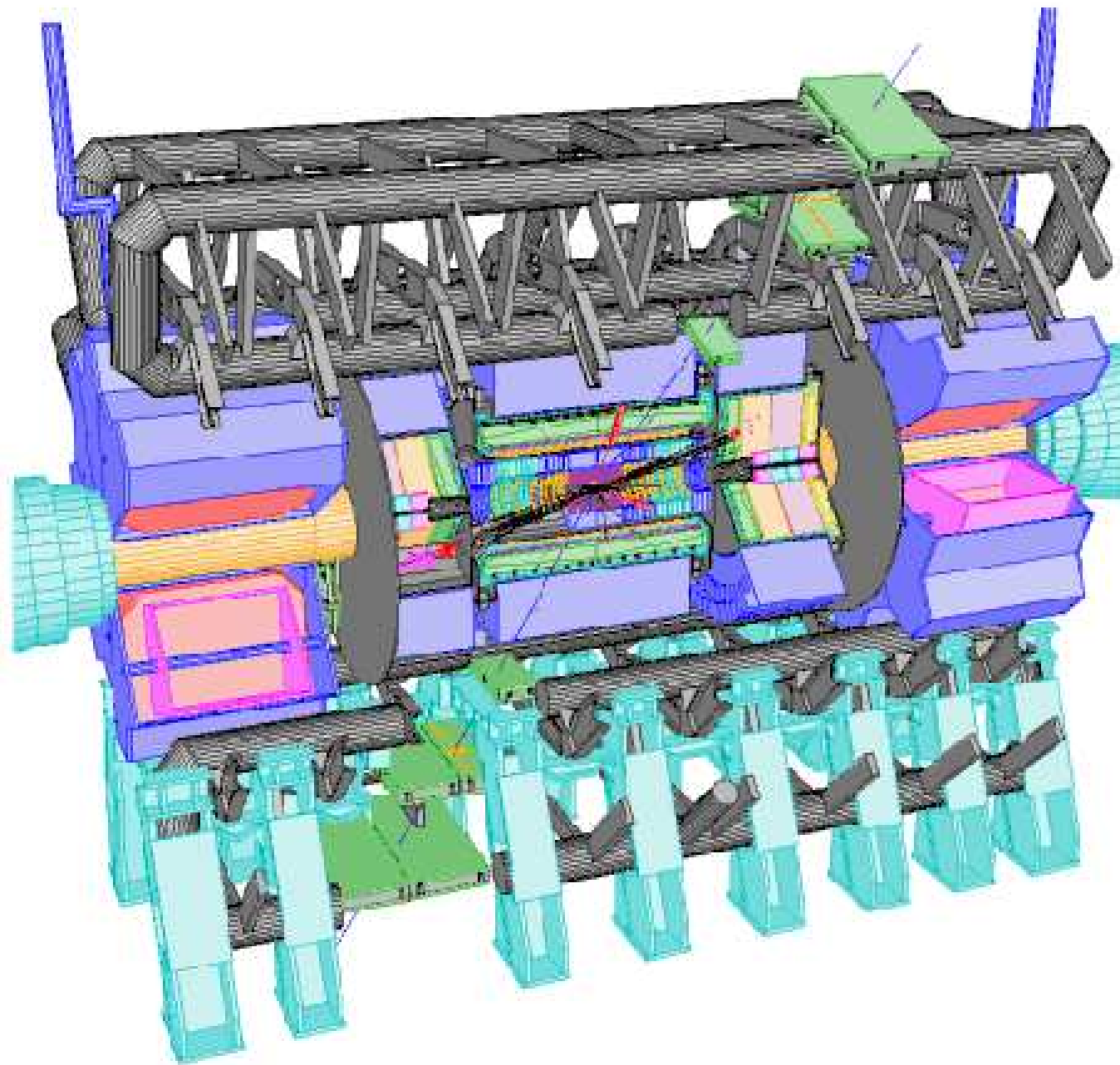
Everything is connected by colour confinement strings  
Recall! Not to scale: strings are of hadronic widths



The strings fragment to produce primary hadrons



Many hadrons are unstable and decay further



These are the particles that hit the detector

# LHC events are messy! Why care to understand?

**Parton showers and multiple interactions contain many interesting and unsolved QCD issues in their own right.**

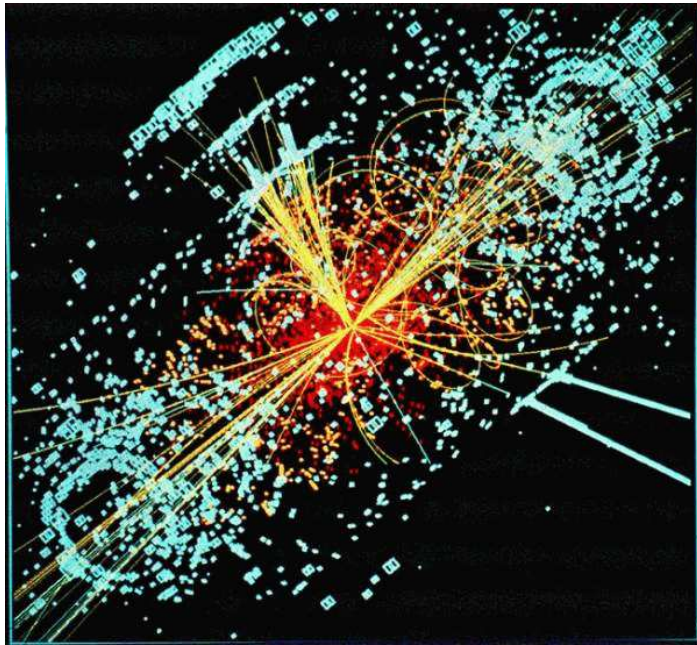
**They are also needed to understand signals of and backgrounds to other physics, if these involve jets (= hadrons, photons) or could be affected by the underlying event.**

Parton showers are responsible for:

- creation of multijet topologies
- broadening of jet profiles
- shifts in jet energy scale
- nontrivial  $p_{\perp}$  correlations
- (non-)isolation of  $\ell, \gamma$

Multiple interactions are responsible for:

- large fraction of total multiplicity
- fluctuations to large multiplicities
- rapidity correlations in activity
- multiple (mini)jet production
- jet profile and jet pedestal
- shifts in jet energy scale
- (non-)isolation of  $\ell, \gamma$



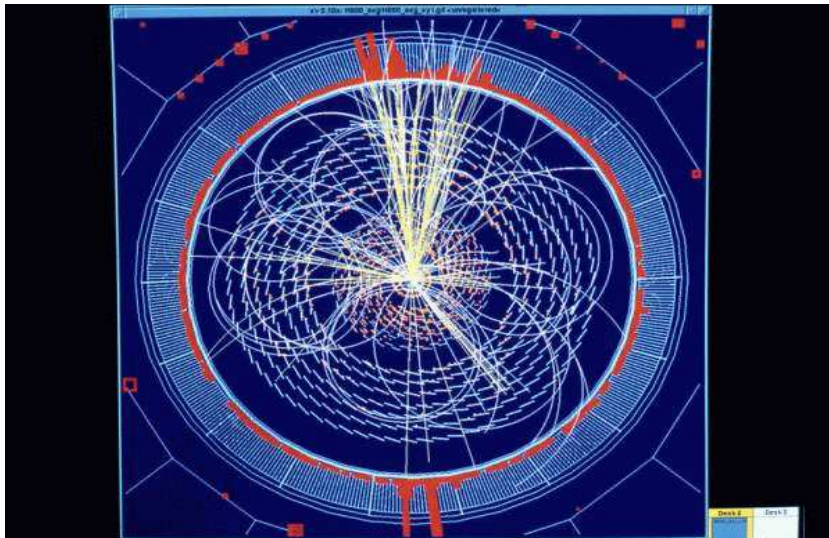
The structure of an event

## Multiple interactions

The  $p_{\perp}$ -based philosophy

$p_{\perp}$ -ordered showers

Interleaved interactions



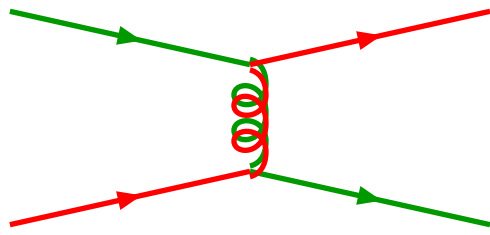
Outlook



# What is multiple interactions?

Cross section for  $2 \rightarrow 2$  interactions is dominated by  $t$ -channel gluon exchange, so diverges like  $d\sigma/dp_{\perp}^2 \approx 1/p_{\perp}^4$  for  $p_{\perp} \rightarrow 0$ .

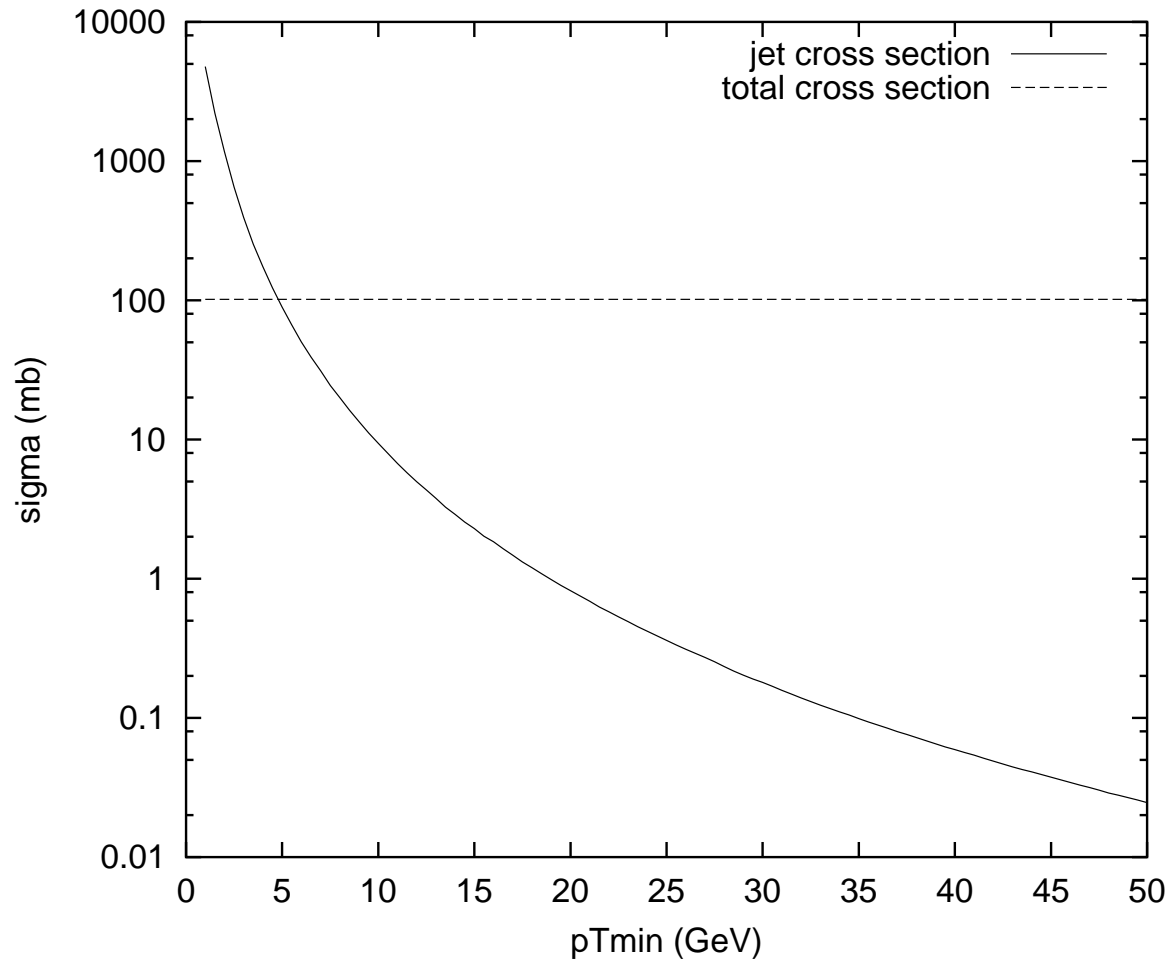
integrate QCD  $2 \rightarrow 2$



$qq' \rightarrow qq'$   
 $q\bar{q} \rightarrow q'\bar{q}'$   
 $q\bar{q} \rightarrow gg$   
 $qg \rightarrow qg$   
 $gg \rightarrow gg$   
 $gg \rightarrow q\bar{q}$

with CTEQ 5L PDF's

Integrated cross section above  $p_{Tmin}$  for  $pp$  at 14 TeV



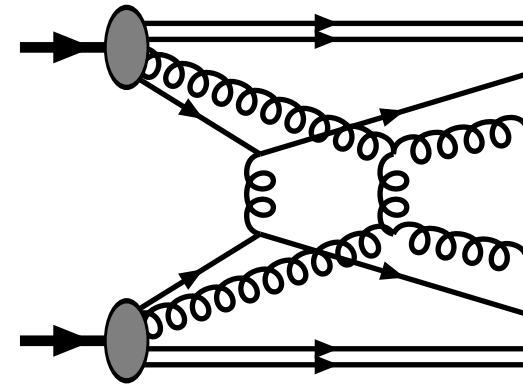
So  $\sigma_{\text{int}}(p_{\perp\text{min}}) > \sigma_{\text{tot}}$  for  $p_{\perp\text{min}} \lesssim 5 \text{ GeV}$

Half a solution: many interactions per event

$$\sigma_{\text{tot}} = \sum_{n=0}^{\infty} \sigma_n$$

$$\sigma_{\text{int}} = \sum_{n=0}^{\infty} n \sigma_n$$

$$\sigma_{\text{int}} > \sigma_{\text{tot}} \iff \langle n \rangle > 1$$



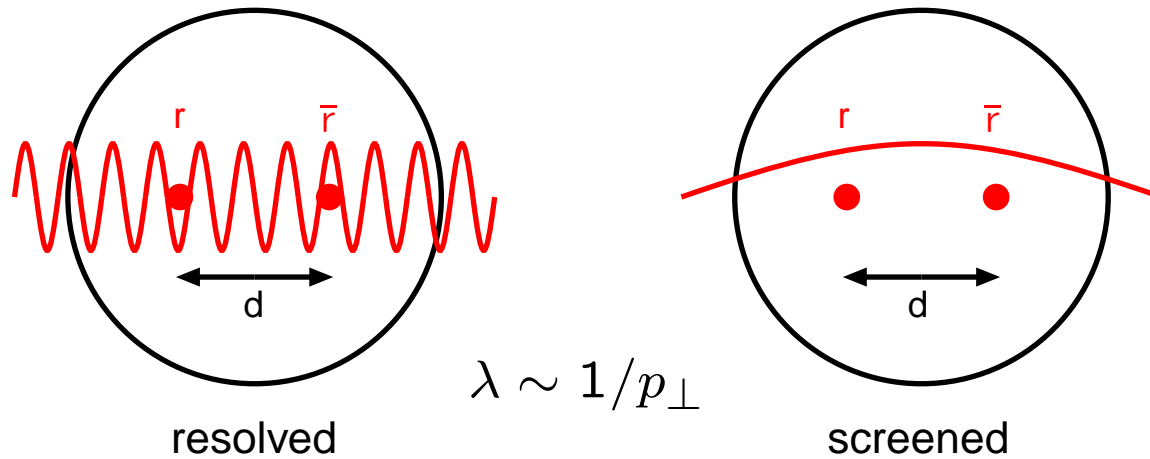
Other half of solution:

perturbative QCD not valid at small  $p_{\perp}$  since  $q, g$  not asymptotic states.

Naively breakdown at

$$p_{\perp\text{min}} \simeq \frac{\hbar}{r_p} \simeq \frac{0.2 \text{ GeV} \cdot \text{fm}}{0.7 \text{ fm}} \approx 0.3 \text{ GeV} \simeq \Lambda_{\text{QCD}}$$

... but better replace  $r_p$  by (unknown) colour screening length  $d$  in hadron



so modify

$$\frac{d\hat{\sigma}}{dp_{\perp}^2} \propto \frac{\alpha_S^2(p_{\perp}^2)}{p_{\perp}^4} \rightarrow \frac{\alpha_S^2(p_{\perp}^2)}{p_{\perp}^4} \theta(p_{\perp} - p_{\perp\min}) \quad (\text{simpler})$$

$$\text{or} \rightarrow \frac{\alpha_S^2(p_{\perp 0}^2 + p_{\perp}^2)}{(p_{\perp 0}^2 + p_{\perp}^2)^2} \quad (\text{more physical})$$

where  $p_{\perp\min}$  or  $p_{\perp 0}$  are free parameters, empirically of order **2 GeV**

Typically 2 – 3 interactions/event at the Tevatron, 4 – 5 at the LHC, but may be more in “interesting” high- $p_{\perp}$  ones.

# Modelling multiple interactions

T. Sjöstrand, M. van Zijl, PRD36 (1987) 2019: first model(s)  
for event properties based on perturbative multiple interactions

## (1) Simple scenario:

- Sharp cut-off at  $p_{\perp\min}$  main free parameter
- Is only a model for nondiffractive events, i.e. for  $\sigma_{\text{nd}} \simeq (2/3)\sigma_{\text{tot}}$
- Average number of interactions is  $\langle n \rangle = \sigma_{\text{int}}(p_{\perp\min})/\sigma_{\text{nd}}$
- Interactions occur independently

$$\Rightarrow \text{Poissonian statistics } \mathcal{P}_n = \langle n \rangle^n e^{-\langle n \rangle} / n!$$

with fraction  $\mathcal{P}_0 = e^{-\langle n \rangle}$  pure low- $p_{\perp}$  events

- Interactions generated in ordered sequence  $p_{\perp 1} > p_{\perp 2} > p_{\perp 3} > \dots$   
by “Sudakov” trick

$$\frac{d\mathcal{P}}{dp_{\perp i}} = \frac{1}{\sigma_{\text{nd}}} \frac{d\sigma}{dp_{\perp}} \exp \left[ - \int_{p_{\perp}}^{p_{\perp(i-1)}} \frac{1}{\sigma_{\text{nd}}} \frac{d\sigma}{dp'_{\perp}} dp'_{\perp} \right]$$

- Momentum conservation in PDF's  $\Rightarrow \mathcal{P}_n$  narrower than Poissonian
- Simplify after first interaction: only gg or  $q\bar{q}$  outgoing, no showers, ...

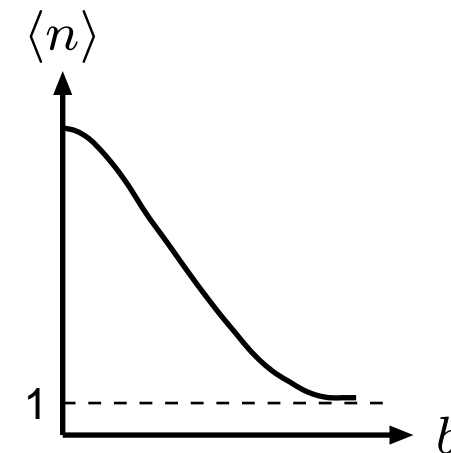
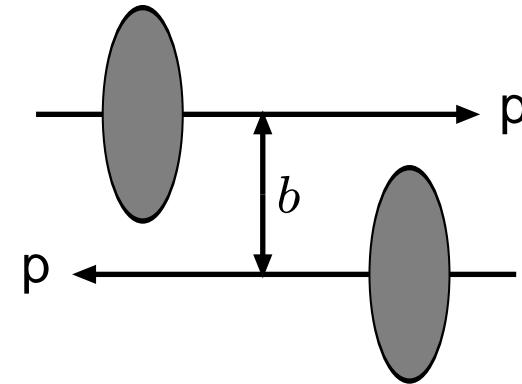
## (2) More sophisticated scenario:

- Smooth turn-off at  $p_{\perp 0}$  scale
- Require  $\geq 1$  interaction in an event
- Hadrons are extended:

$$\rho_{\text{matter}}(r) = N_1 \exp\left(-\frac{r^2}{r_1^2}\right) + N_2 \exp\left(-\frac{r^2}{r_2^2}\right)$$

where  $r_2/r_1 \neq 1$  represents “hot spots”

- Events are distributed in impact parameter  $b$
- Central collisions normally are more active  
 $\Rightarrow \mathcal{P}_n$  broader than Poissonian
- More time-consuming ( $b, p_{\perp}$ ) generation
- Need for simplifications remains



## (3) HERWIG $\rightarrow$ Jimmy

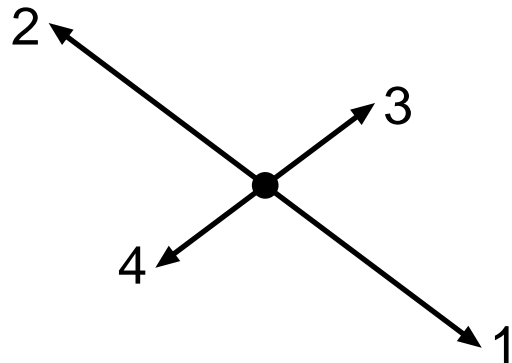
- similar to (2) above; but details different
- no  $p_{\perp}$ -ordering of emissions, no rescaling of PDF:  
abrupt stop when (if) run out of energy

# Evidence for multiple interactions

- Width of multiplicity distribution: UA5, E735
- Forward–backward correlations: UA5
- Minijet rates: UA1
- **Direct observation: AFS, (UA2,) CDF**

Order 4 jets  $p_{\perp 1} > p_{\perp 2} > p_{\perp 3} > p_{\perp 4}$  and define  $\varphi$  as angle between  $p_{\perp 1} - p_{\perp 2}$  and  $p_{\perp 3} - p_{\perp 4}$

Double Parton Scattering

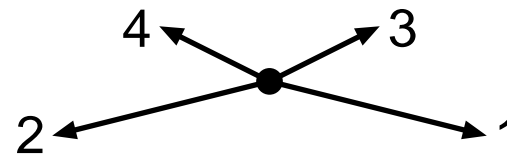


$$|p_{\perp 1} + p_{\perp 2}| \approx 0$$

$$|p_{\perp 3} + p_{\perp 4}| \approx 0$$

$d\sigma/d\varphi$  flat

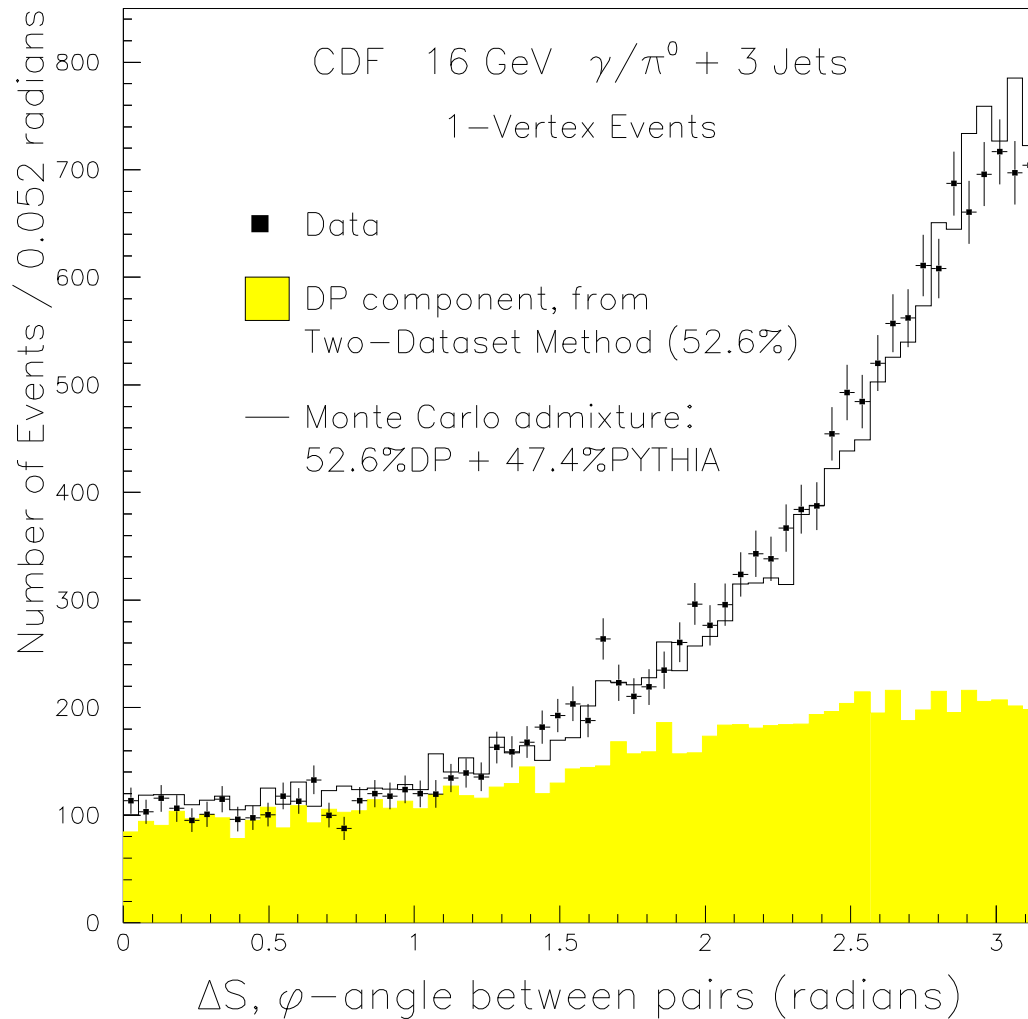
Double BremsStrahlung



$$|p_{\perp 1} + p_{\perp 2}| \gg 0$$

$$|p_{\perp 3} + p_{\perp 4}| \gg 0$$

$d\sigma/d\varphi$  peaked at  $\varphi \approx 0$



CDF 3-jet + prompt photon analysis

Yellow region = double parton scattering (DPS)

The rest = PYTHIA showers

$$\sigma_{\text{DPS}} = \frac{\sigma_A \sigma_B}{\sigma_{\text{eff}}} \quad \text{for } A \neq B \quad \Rightarrow \quad \sigma_{\text{eff}} = 14.5 \pm 1.7^{+1.7}_{-2.3} \text{ mb}$$

**Strong enhancement relative to naive expectations!**

- Jet pedestal effect: UA1, H1, CDF

Events with hard scale (jet, W/Z, ...) have more underlying activity!

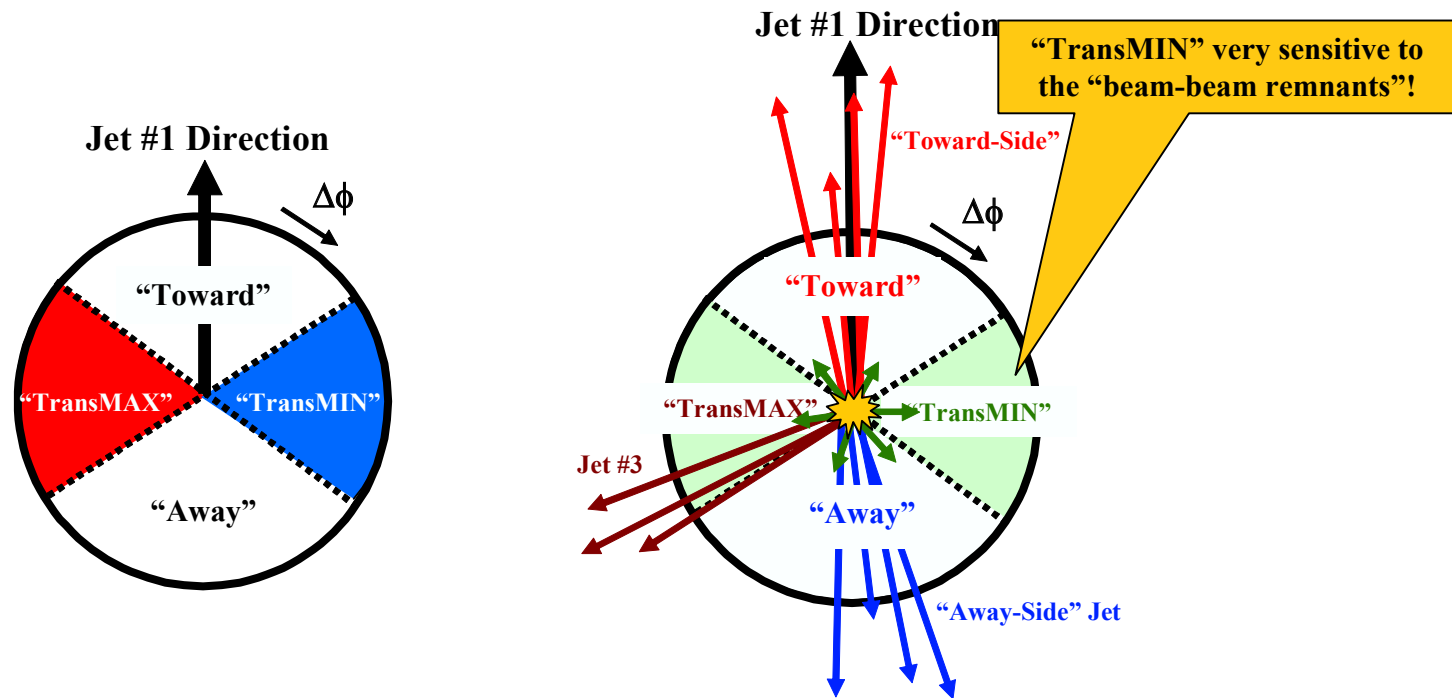
Events with  $n$  interactions have  $n$  chances that one of them is hard, so “trigger bias”: hard scale  $\Rightarrow$  central collision

$\Rightarrow$  more interactions  $\Rightarrow$  larger underlying activity.

Centrality effect saturates at  $p_{\perp\text{hard}} \sim 10$  GeV.

Studied in detail by Rick Field, comparing with CDF data:

### “MAX/MIN Transverse” Densities



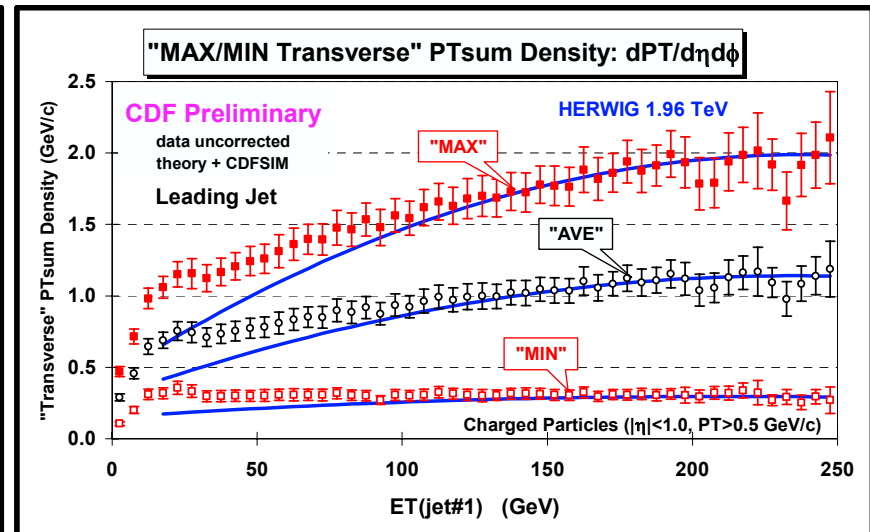
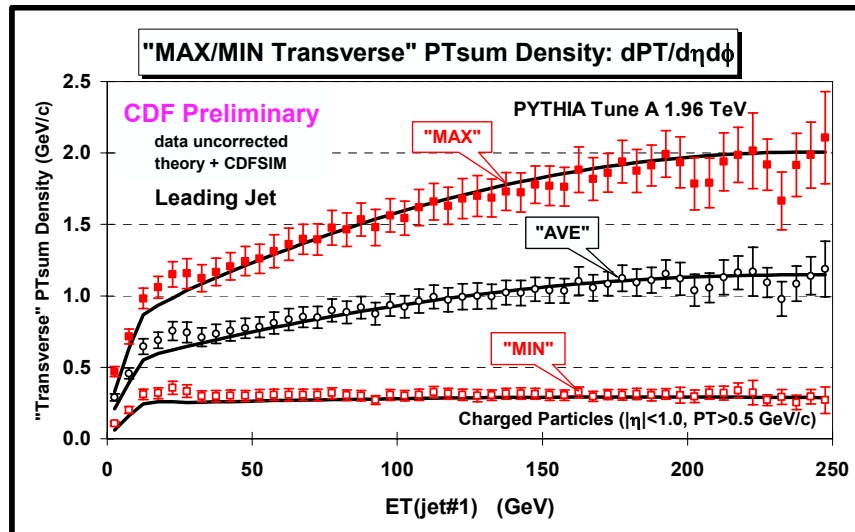
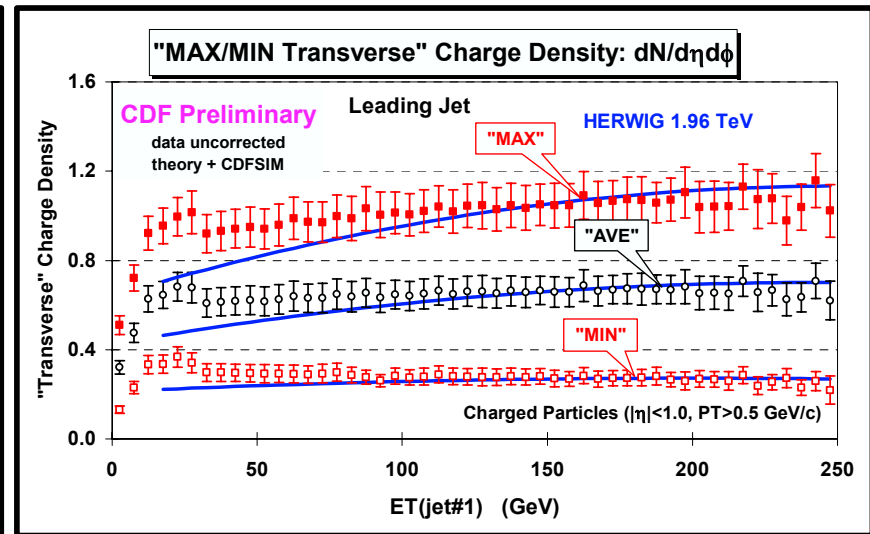
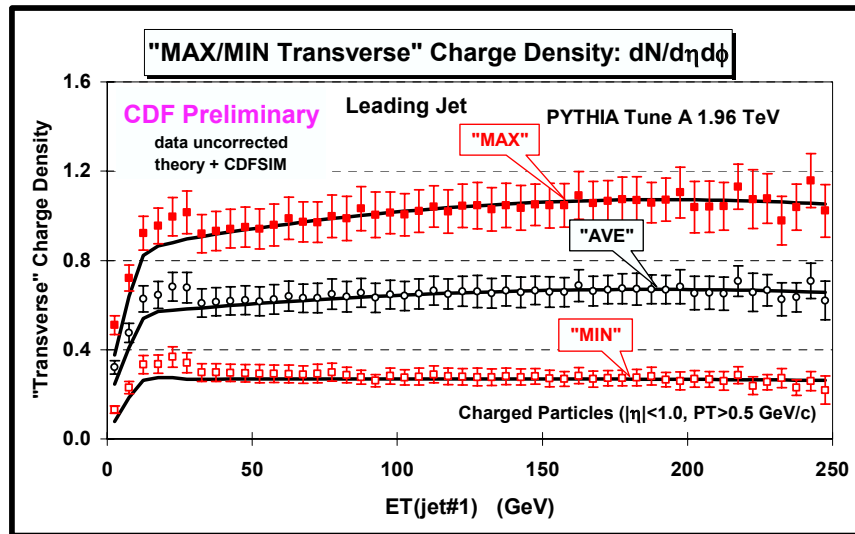
- Define the **MAX and MIN “transverse” regions** on an event-by-event basis with MAX (MIN) having the largest (smallest) density.



# Leading Jet: "MAX & MIN Transverse" Densities

## PYTHIA Tune A

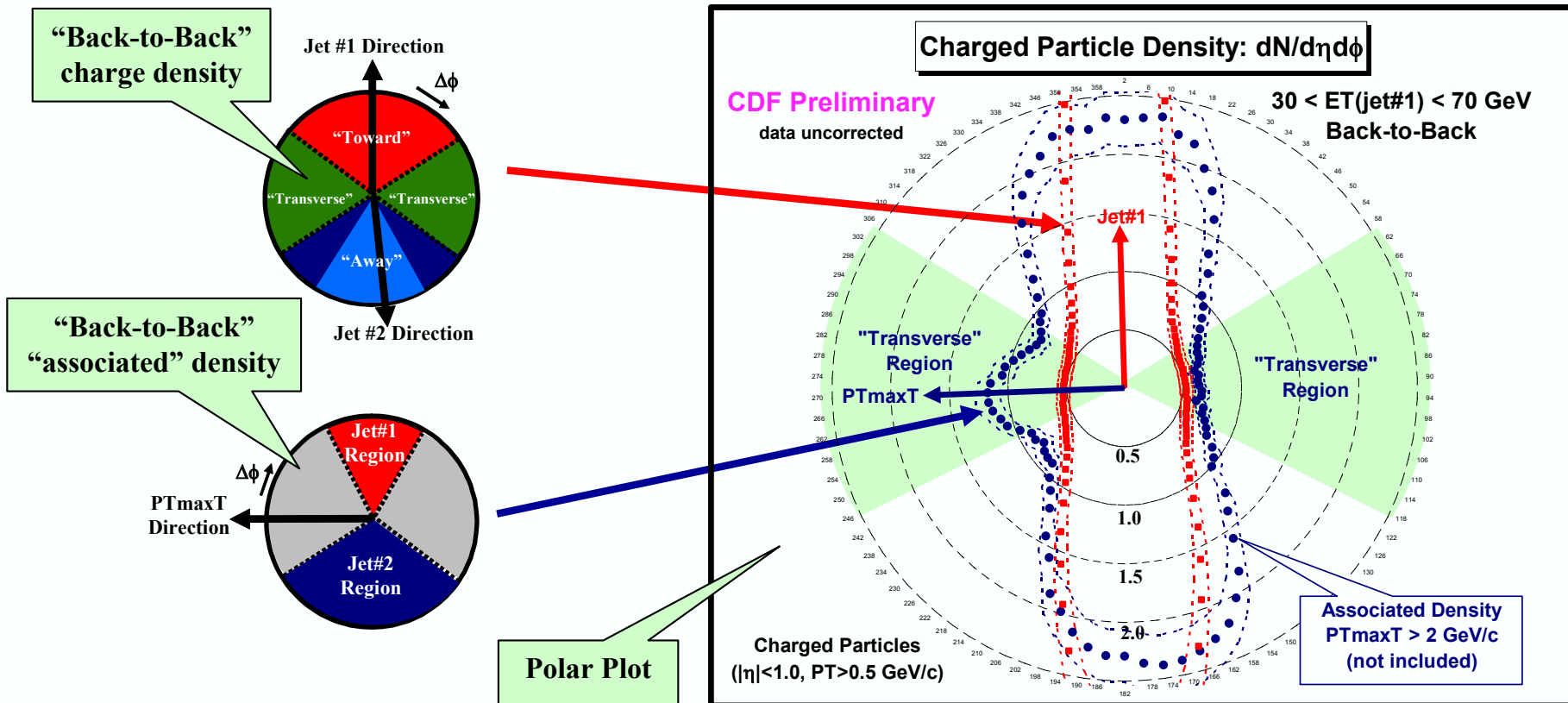
## HERWIG



Charged particle density and PTsum density for "leading jet" events versus  $E_T(\text{jet}\#1)$  for PYTHIA Tune A and HERWIG.



# Back-to-Back “Associated” Charged Particle Densities

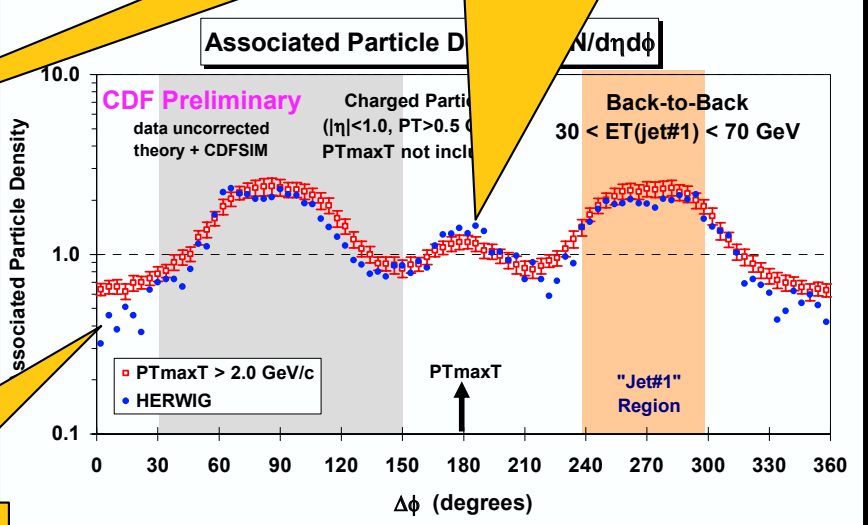
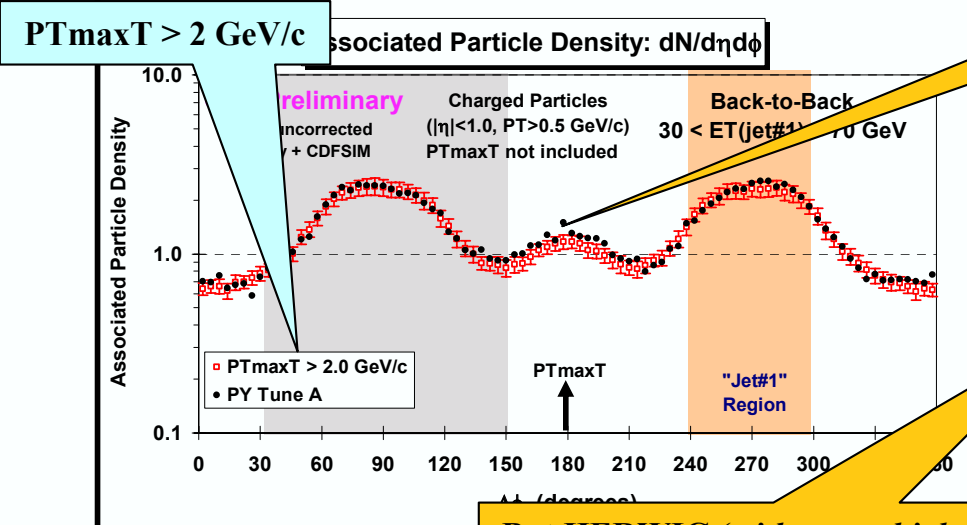


➔ Shows the  $\Delta\phi$  dependence of the “associated” charged particle density,  $dN_{\text{chg}}/d\eta d\phi$ ,  $p_T > 0.5$  GeV/c,  $|\eta| < 1$ ,  $PT_{\text{max}T} > 2.0$  GeV/c (not including  $PT_{\text{max}T}$ ) relative to  $PT_{\text{max}T}$  (rotated to  $180^\circ$ ) and the charged particle density,  $dN_{\text{chg}}/d\eta d\phi$ ,  $p_T > 0.5$  GeV/c,  $|\eta| < 1$ , relative to jet#1 (rotated to  $270^\circ$ ) for “back-to-back events” with  $30 < E_T(\text{jet}\#1) < 70$  GeV.

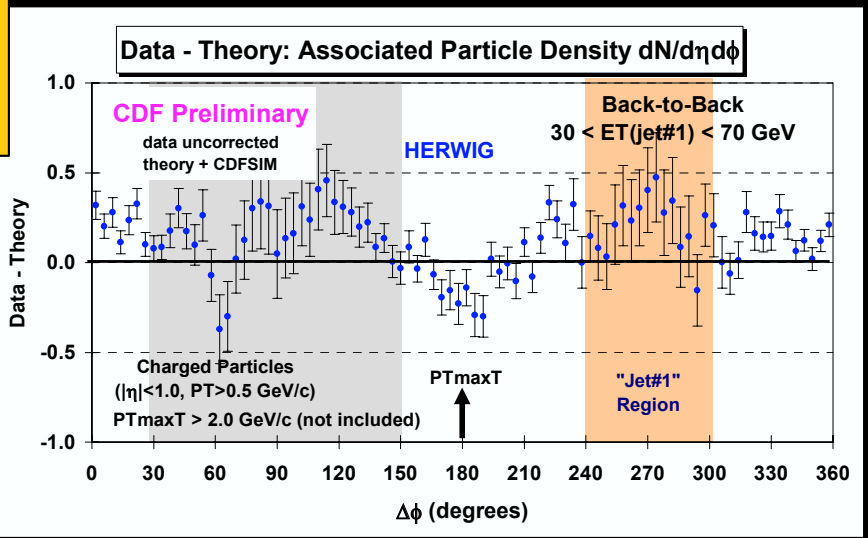
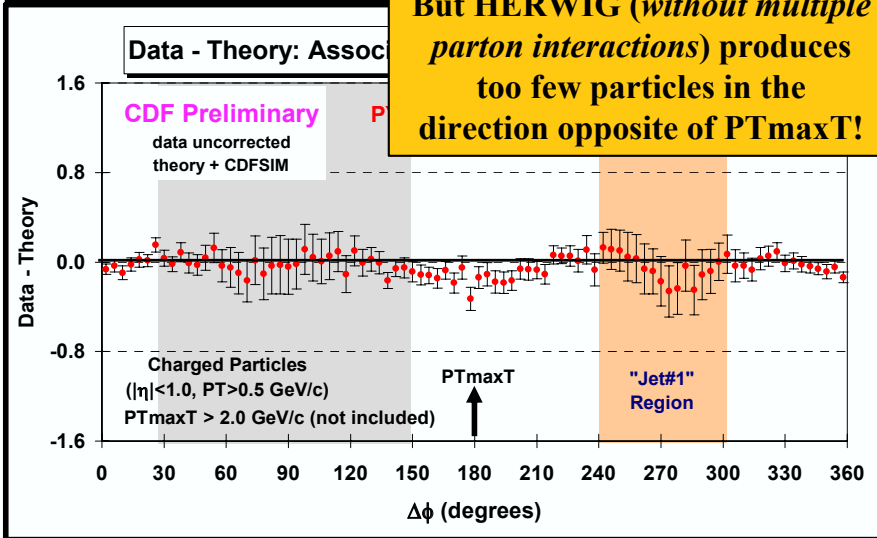


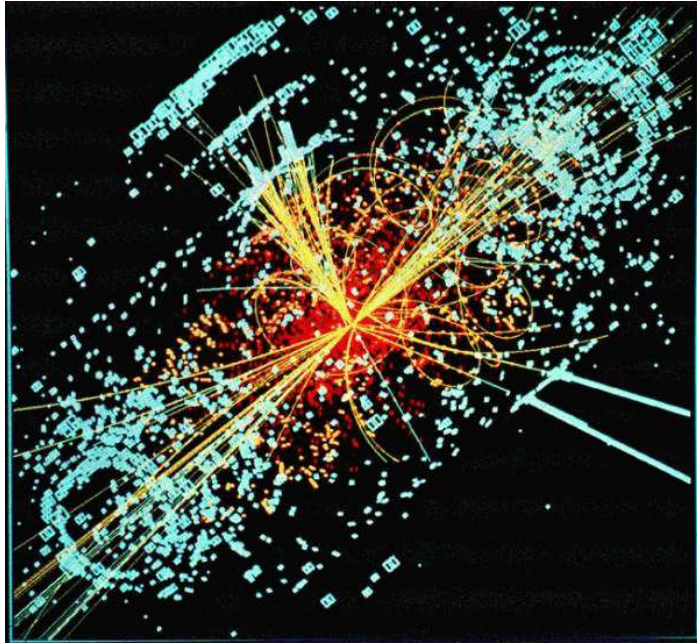
# “Associated” Charge Density PYTHIA Tune A vs HERWIG

For  $PT_{maxT} > 2.0$  GeV both PYTHIA and HERWIG produce slightly too many “associated” particles in the direction of  $PT_{maxT}$ !



But HERWIG (without multiple parton interactions) produces too few particles in the direction opposite of  $PT_{maxT}$ !





The structure of an event

Multiple interactions

**Backup**

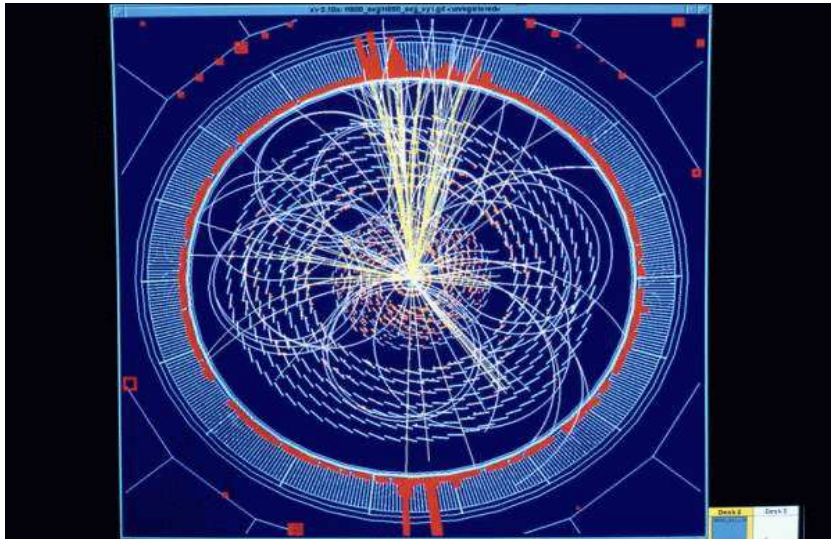
**multiple interactions**

The  $p_{\perp}$ -based philosophy

$p_{\perp}$ -ordered showers

Interleaved interactions

Outlook



# Further evidence for multiple interactions

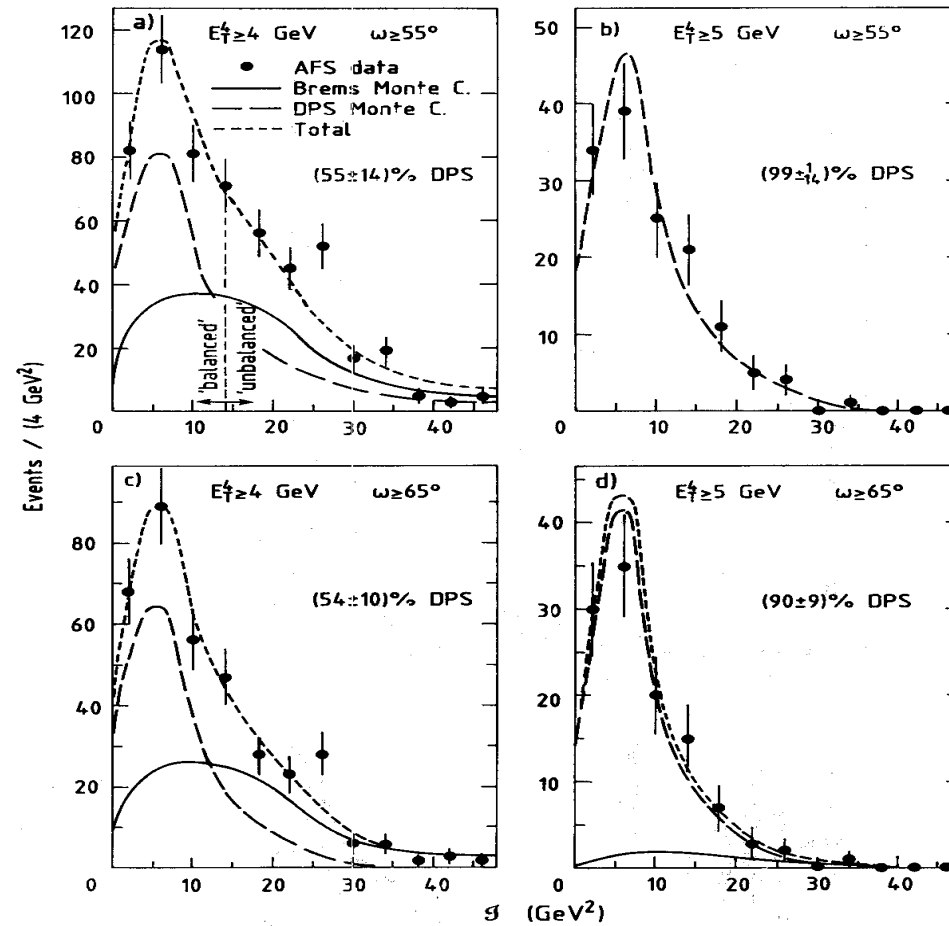


Fig. 3

AFS 4-jet analysis (pp at 63 GeV);

double bremsstrahlung subtracted:

observed	6	in arbitrary units
no MI	0	
simple MI	1	
double Gaussian	3.7	

### UA1 minijet rates

No. jets	UA1 (%)	no MI	simple	double Gaussian
1	9.96	14.30	11.51	8.88
2	3.45	2.45	2.45	2.67
3	1.12	0.22	0.32	0.74
4	0.22	0.01	0.04	0.25
5	0.05	0.00	0.00	0.07

UA2 4-jet analysis (at 630 GeV):

with ansatz  $\sigma_{\text{DPS}} = \frac{1}{2} \frac{\sigma_{2\text{jet}}^2}{\sigma_{\text{eff}}}$

limit  $\sigma_{\text{eff}} > 8.3$  mb at 95% CL

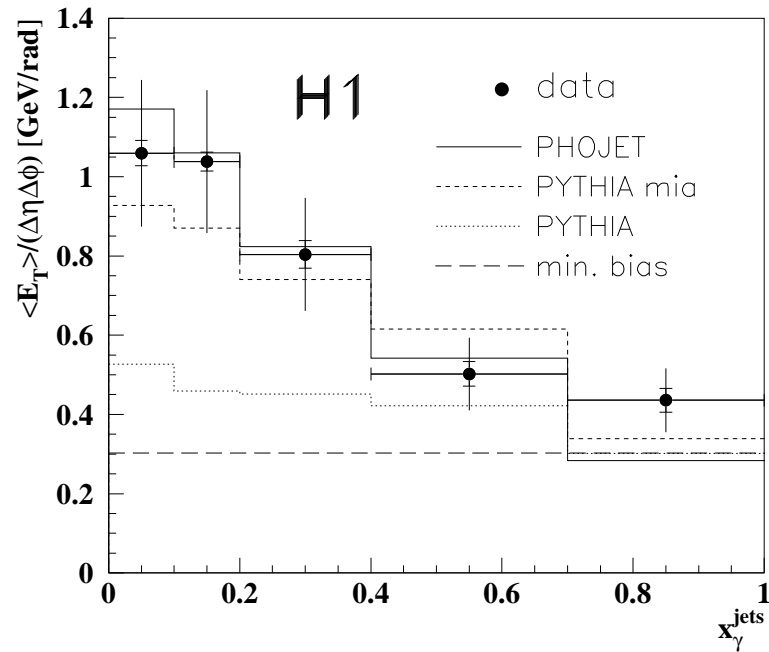
i.e.  $\sigma_{\text{DPS}} < 4.5$  in 'AFS units'

... but best value  $2.5 \pm 1$

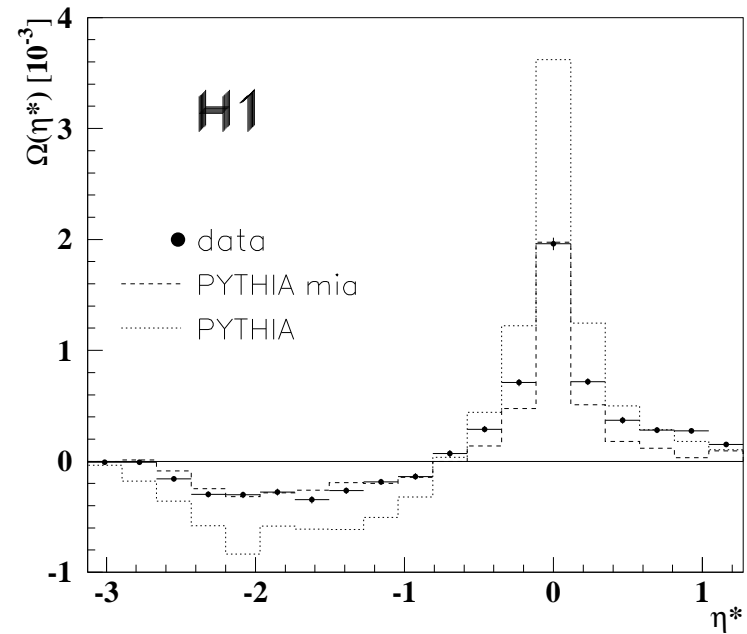
## CDF 4-jet analysis (at 1800 GeV):

$$\sigma_{\text{eff}} = 12.1^{+10.7}_{-5.4} \text{ mb}$$

### H1 event properties:



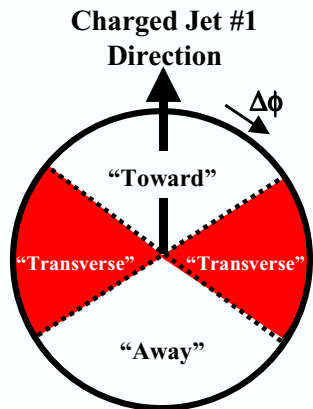
underlying activity in  
photoproduction vs. DIS



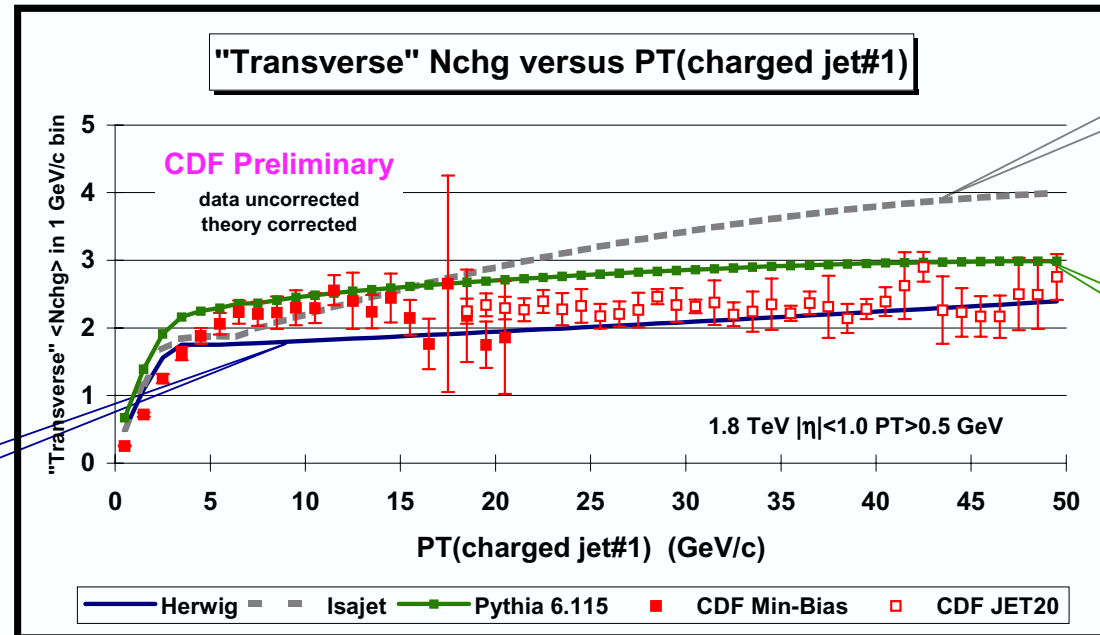
(anti)correlations in  
energy flow around jet



# “Transverse” Nchg versus $P_T(\text{chgjet\#1})$



Herwig 5.9



Isajet 7.32

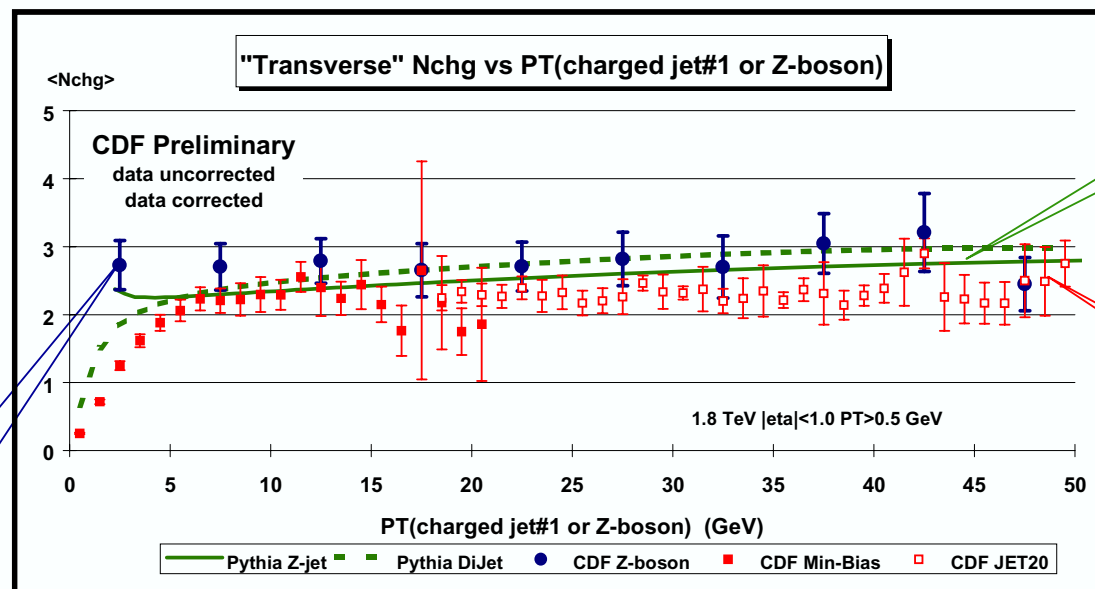
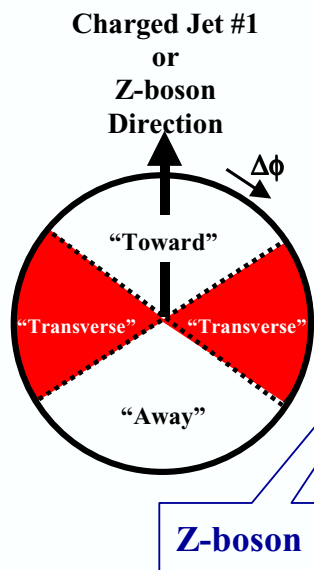
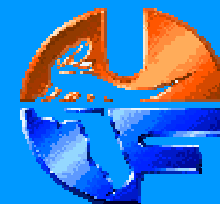
Pythia 6.115

- ➔ Plot shows the “Transverse”  $\langle N_{\text{chg}} \rangle$  versus  $P_T(\text{chgjet\#1})$  compared to the the QCD hard scattering predictions of Herwig 5.9, Isajet 7.32, and Pythia 6.115 (default parameters with  $P_T(\text{hard}) > 3 \text{ GeV/c}$ ).
- ➔ Only charged particles with  $|\eta| < 1$  and  $P_T > 0.5 \text{ GeV}$  are included and the QCD Monte-Carlo predictions have been corrected for efficiency.





# DiJet vs Z-Jet “Transverse” Nchg



PYTHIA

DiJet

- ➔ Comparison of the **dijet** and the **Z-boson** data on the average number of charged particles ( $P_T > 0.5$  GeV,  $|\eta| < 1$ ) for the “**transverse**” region.
- ➔ The plot shows the QCD Monte-Carlo predictions of **PYTHIA 6.115** (default parameters with  $P_T(\text{hard}) > 3$  GeV/c) for dijet (dashed) and “Z-jet” (solid) production.



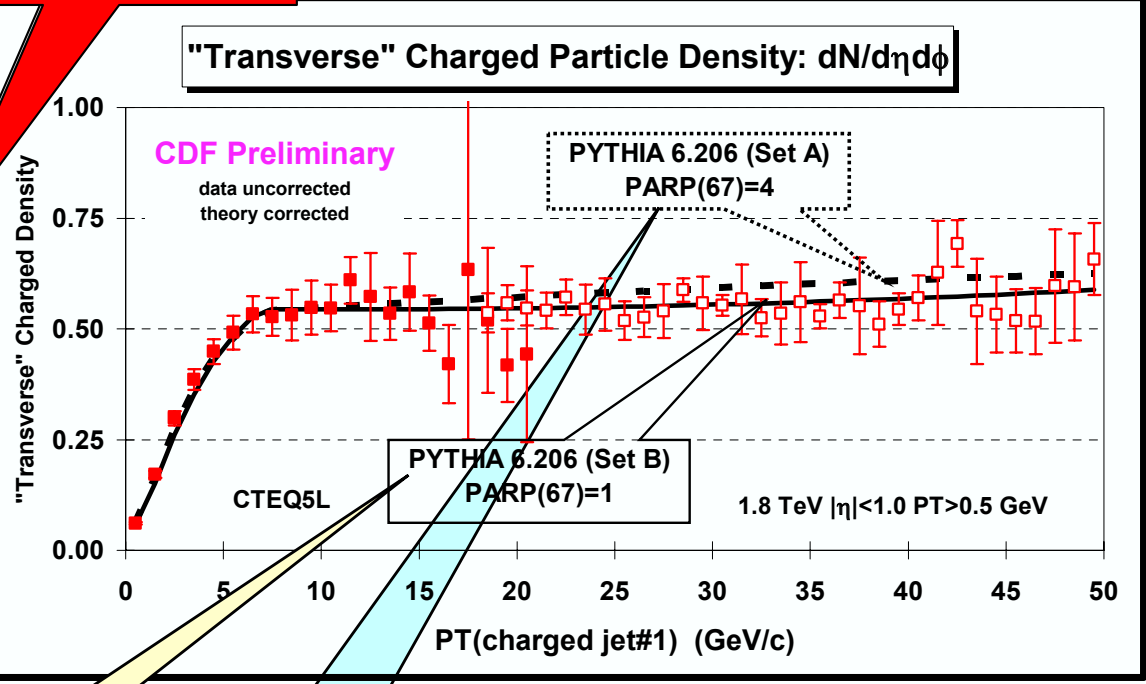
# Tuned PYTHIA 6.206



**Tune A CDF  
Run 2 Default!**

## PYTHIA 6.206 CTEQ5L

Parameter	Tune B	Tune A
MSTP(81)	1	1
MSTP(82)	4	4
PARP(82)	1.9 GeV	2.0 GeV
PARP(83)	0.5	0.5
PARP(84)	0.4	0.4
PARP(85)	1.0	0.9
PARP(86)	1.0	0.95
PARP(89)	1.8 TeV	1.8 TeV
PARP(90)	0.25	0.25
PARP(67)	1.0	4.0



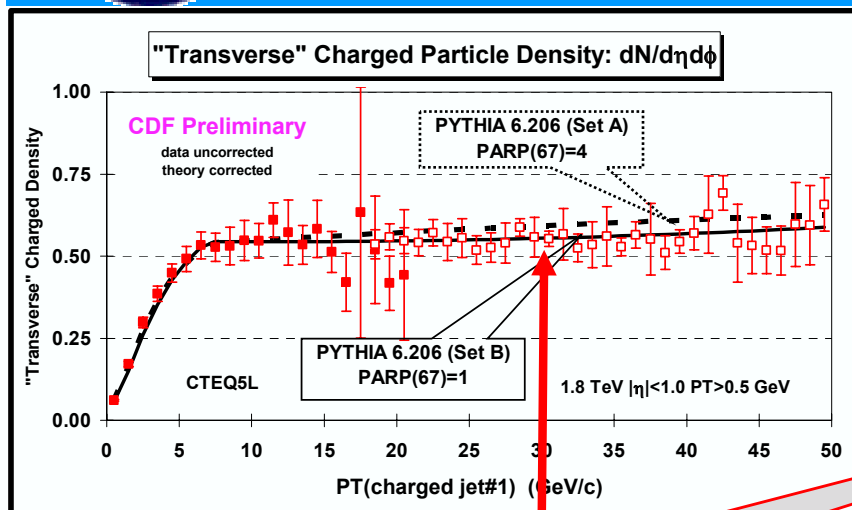
Plot shows the "Transverse" charged particle density versus  $P_T(\text{chgjet}\#1)$  compared to the QCD hard scattering predictions of two tuned versions of PYTHIA 6.206 (CTEQ5L, Set B (PARP(67)=1) and Set A (PARP(67)=4)).

New PYTHIA default  
(less initial-state radiation)

Old PYTHIA default  
(more initial-state radiation)

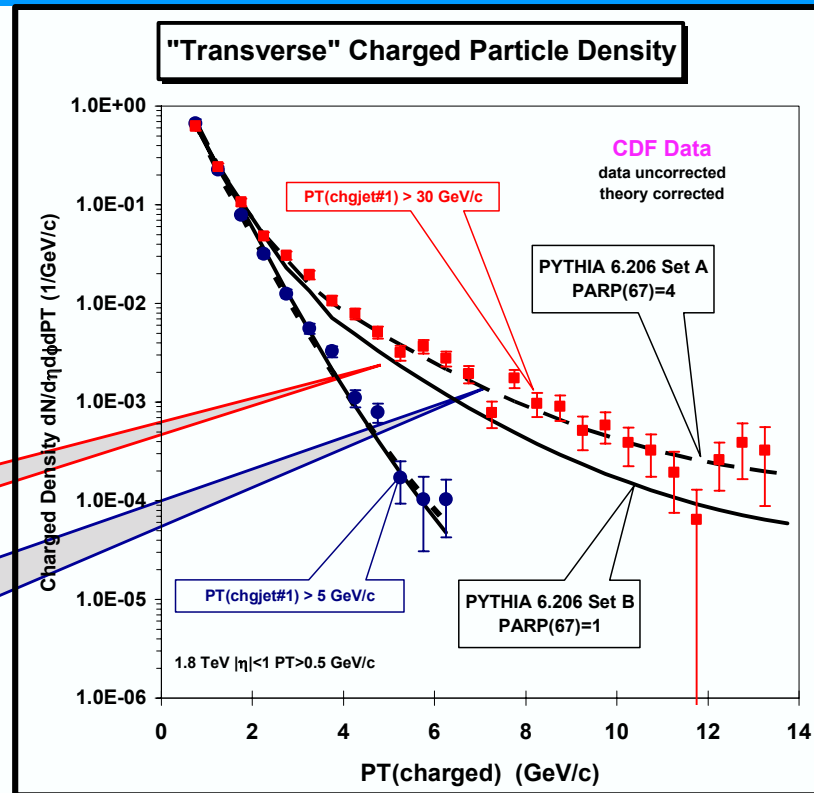


# Tuned PYTHIA 6.206 "Transverse" $P_T$ Distribution



$P_T(\text{charged jet\#1}) > 30$  GeV/c

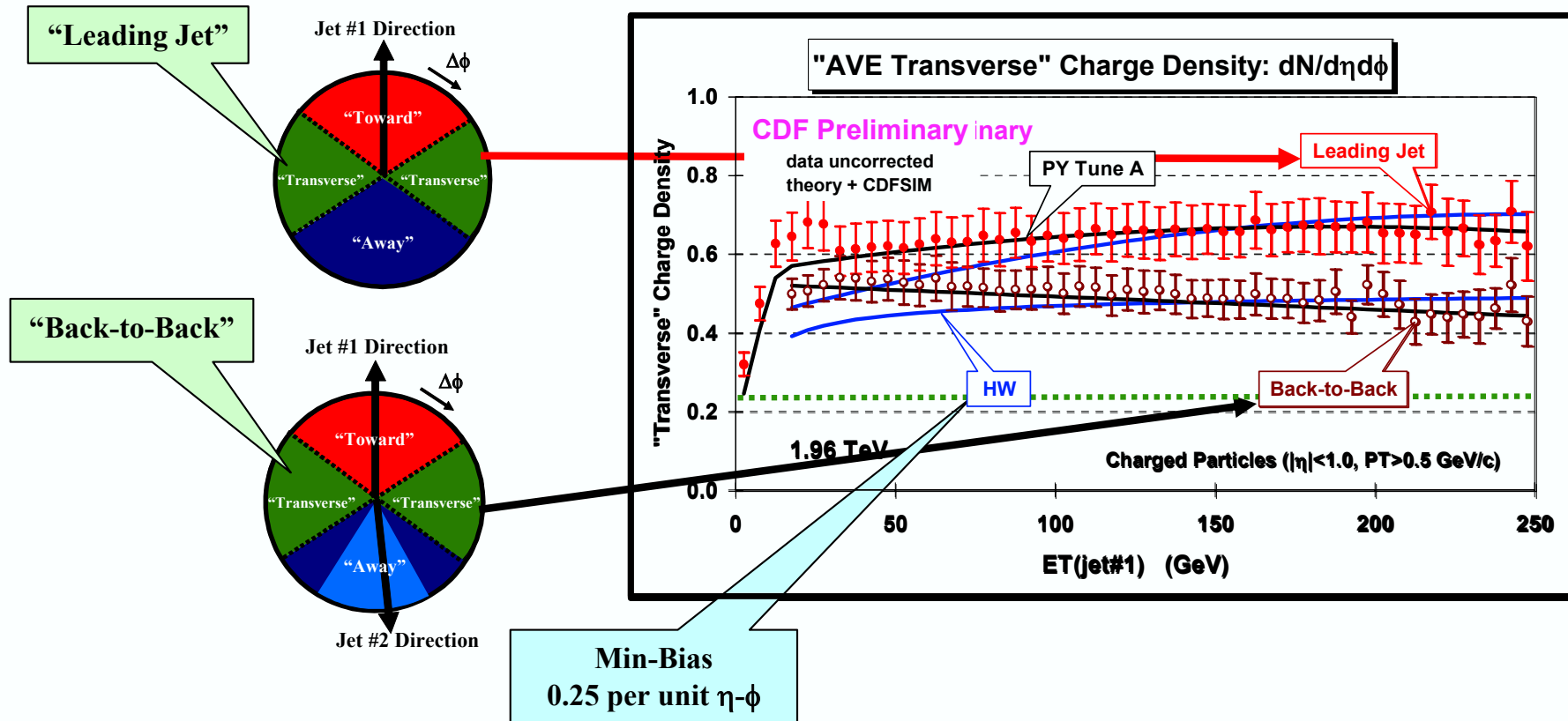
PARP(67)=4.0 (old default) is favored over PARP(67)=1.0 (new default)!



- ➔ Compares the average "transverse" charge particle density ( $|\eta| < 1$ ,  $P_T > 0.5$  GeV) versus  $P_T(\text{charged jet\#1})$  and the  $P_T$  distribution of the "transverse" density,  $dN_{\text{chg}}/d\eta d\phi dP_T$  with the QCD Monte-Carlo predictions of two **tuned** versions of **PYTHIA 6.206** ( $P_T(\text{hard}) > 0$ , CTEQ5L, **Set B** (PARP(67)=1) and **Set A** (PARP(67)=4)).



# “Transverse” Charge Density versus $E_T(\text{jet}\#1)$



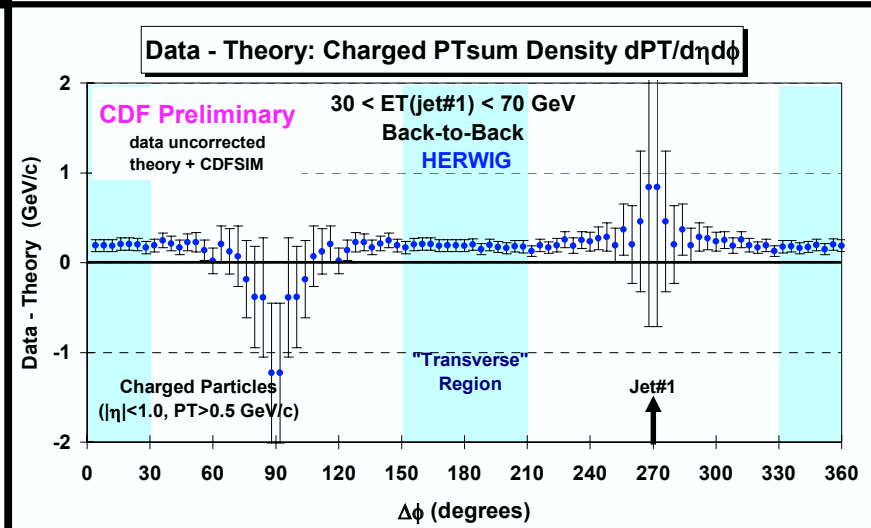
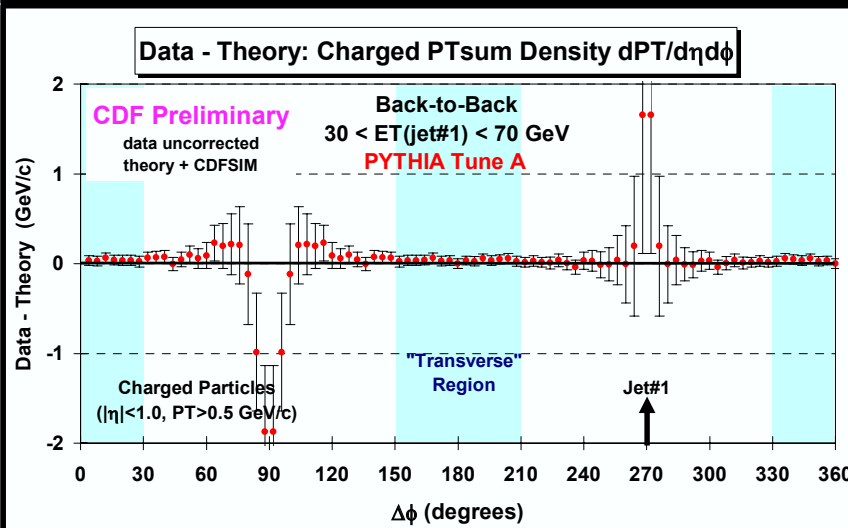
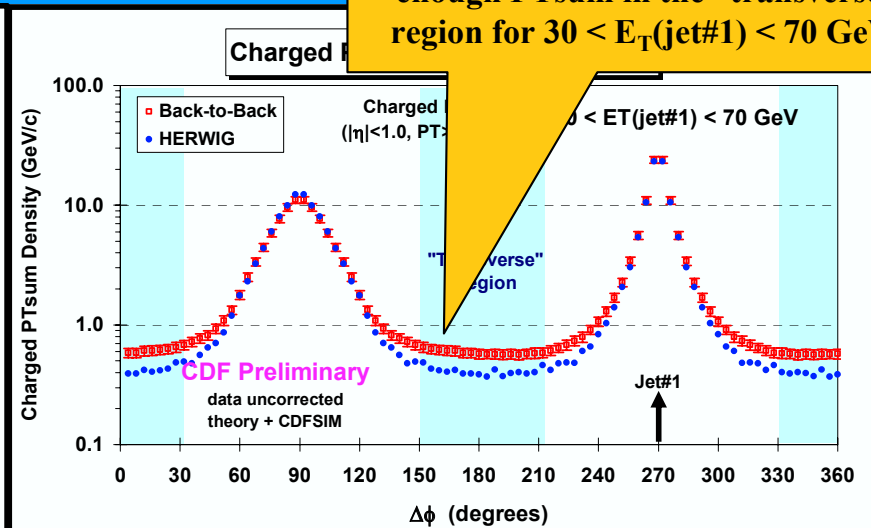
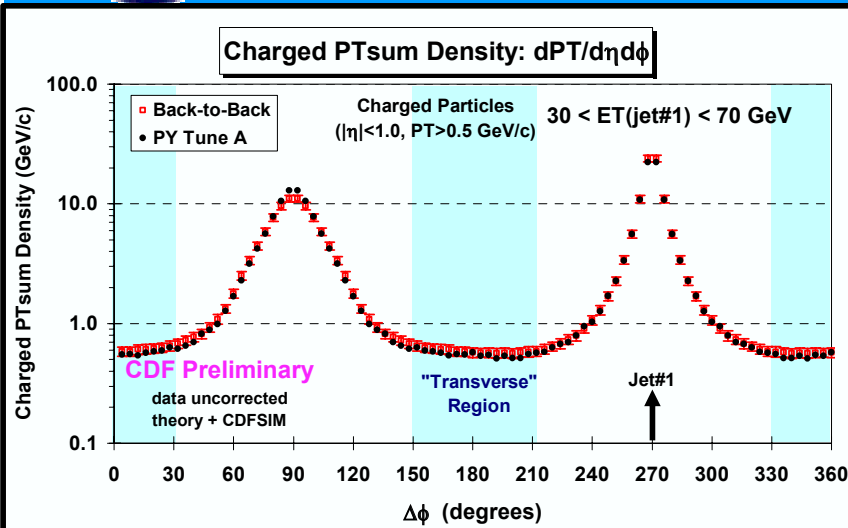
- ➔ Shows the **average charged particle density**,  $dN_{\text{chg}}/d\eta d\phi$ , in the “transverse” region ( $p_T > 0.5 \text{ GeV}/c$ ,  $|\eta| < 1$ ) versus  $E_T(\text{jet}\#1)$  for “Leading Jet” and “Back-to-Back” events.
- ➔ Compares the (*uncorrected*) data with **PYTHIA Tune A** and **HERWIG** after CDFSIM.



# Charged PTsum Density PYTHIA Tune A vs HERWIG

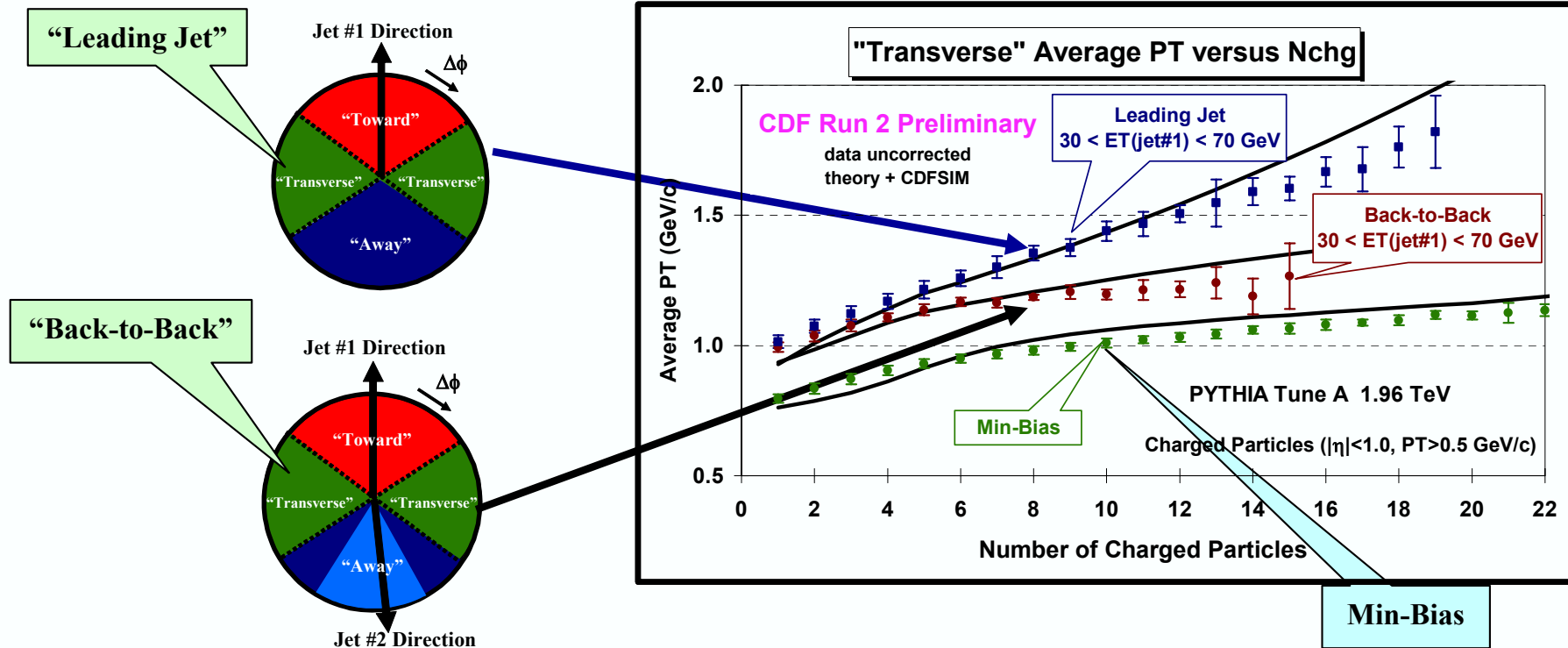


HERWIG (without multiple parton interactions) does not produce enough PTsum in the "transverse" region for  $30 < E_T(\text{jet}\#1) < 70$  GeV!





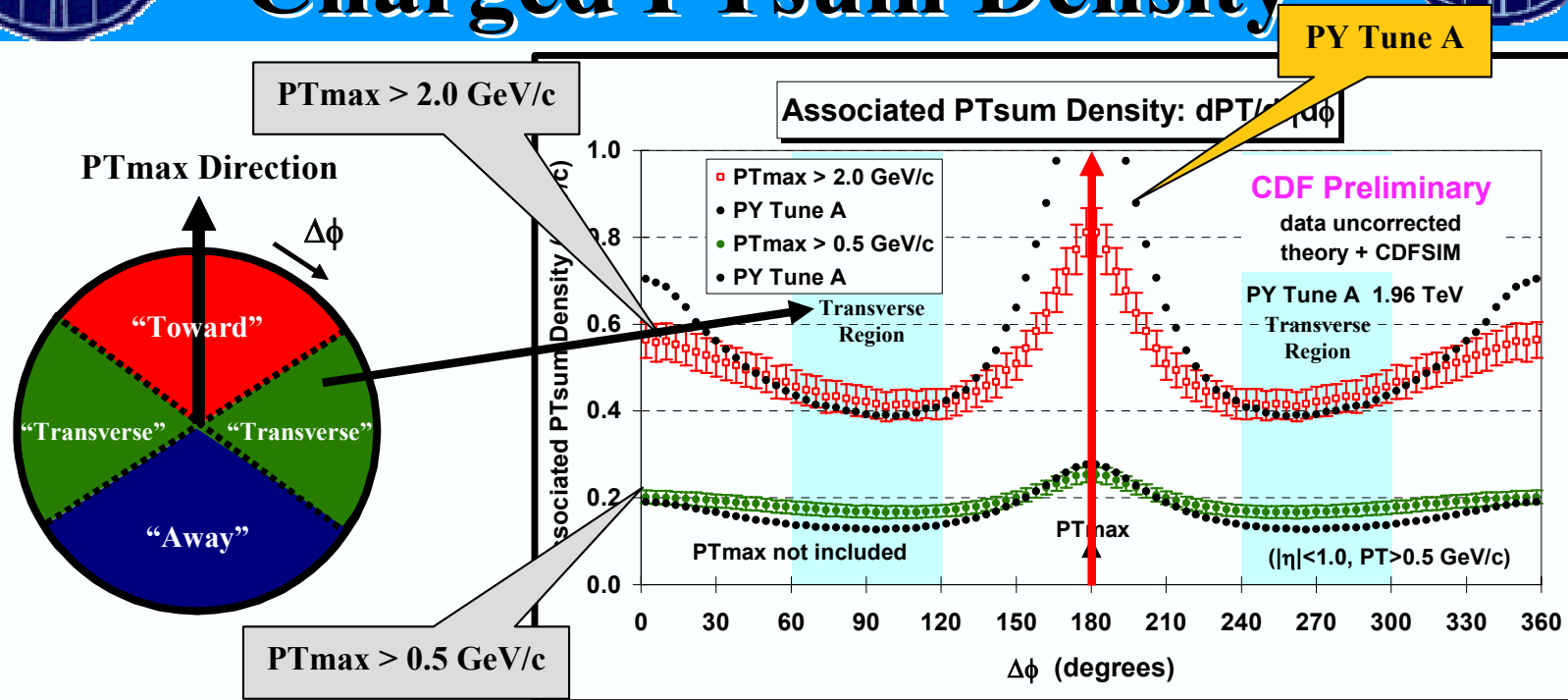
# “Transverse” $\langle p_T \rangle$ versus “Transverse” $N_{chg}$



- ➔ Look at the  $\langle p_T \rangle$  of particles in the “transverse” region ( $p_T > 0.5$  GeV/c,  $|\eta| < 1$ ) versus the number of particles in the “transverse” region:  $\langle p_T \rangle$  vs  $N_{chg}$ .
- ➔ Shows  $\langle p_T \rangle$  versus  $N_{chg}$  in the “transverse” region ( $p_T > 0.5$  GeV/c,  $|\eta| < 1$ ) for “Leading Jet” and “Back-to-Back” events with  $30 < E_T(\text{jet}\#1) < 70$  GeV compared with “min-bias” collisions.



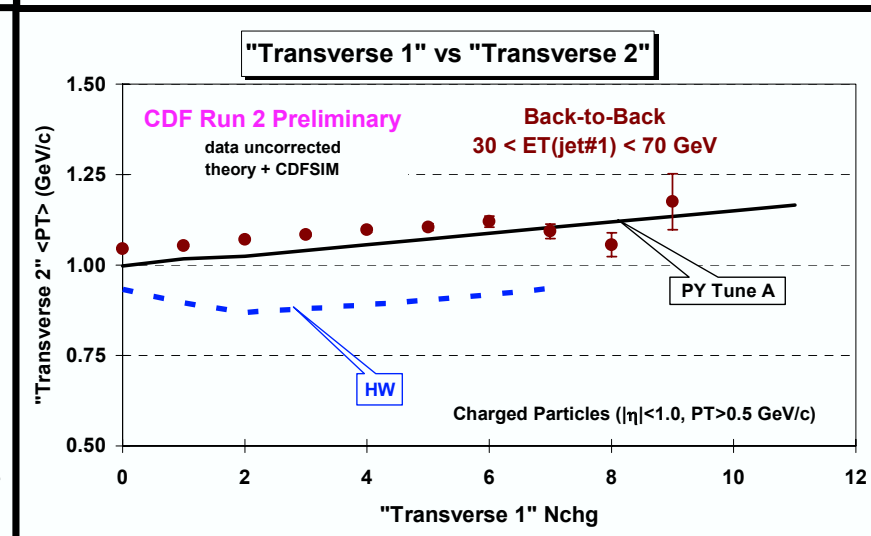
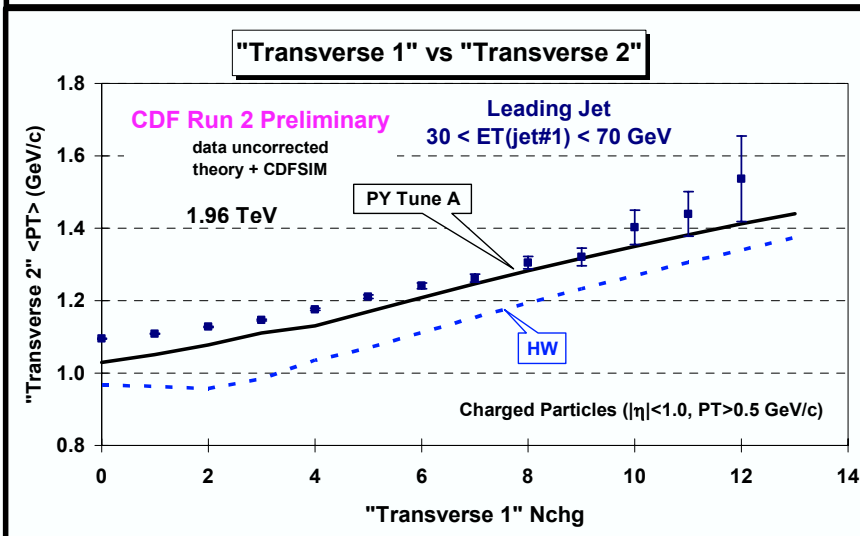
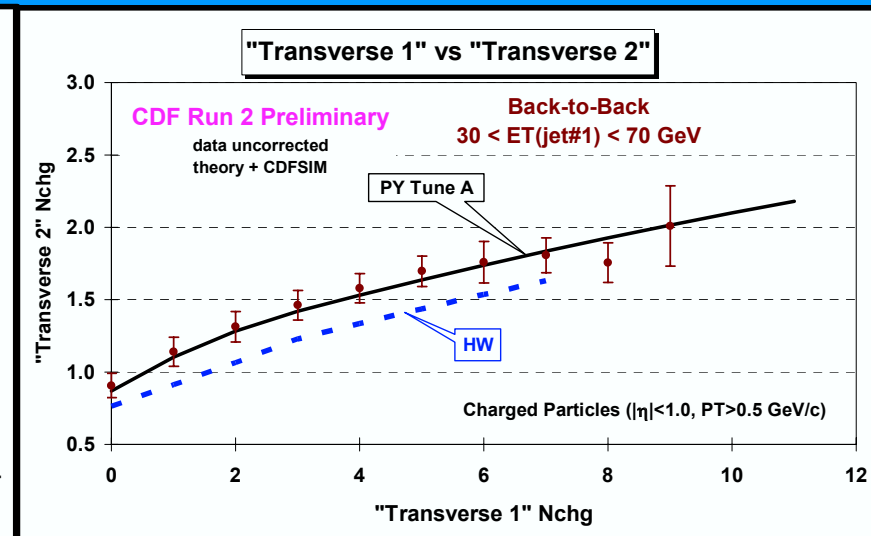
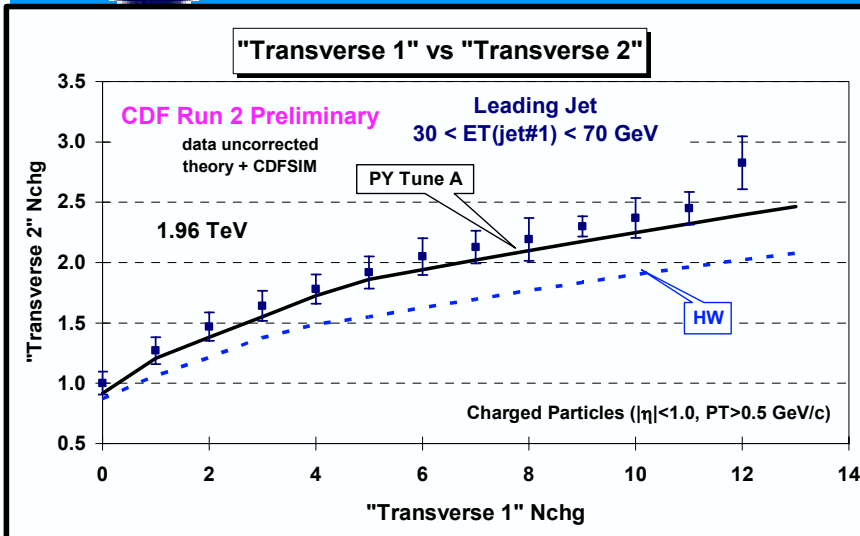
# Min-Bias “Associated” Charged PTsum Density



- ➔ Shows the data on the  $\Delta\phi$  dependence of the “associated” charged PTsum density,  $dPT_{sum}/d\eta d\phi$ , for charged particles ( $p_T > 0.5$  GeV/c,  $|\eta| < 1$ , *not including* PTmax) relative to PTmax (rotated to 180°) for “min-bias” events with PTmax > 0.5 GeV/c and PTmax > 2.0 GeV/c compared with PYTHIA Tune A (after CDFSIM).
- ➔ PYTHIA Tune A predicts a larger correlation than is seen in the “min-bias” data (*i.e.* Tune A “min-bias” is a bit too “jetty”).

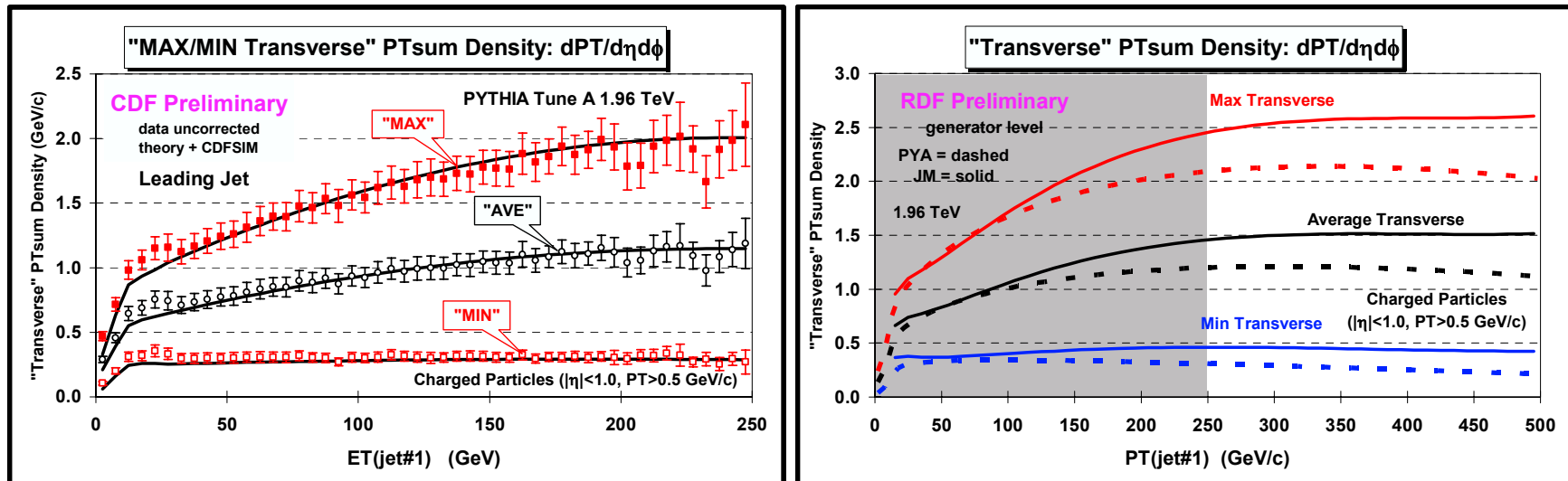


# “Transverse 1” Region vs “Transverse 2” Region





## PYTHIA Tune A vs JIMMY: “Transverse Region”

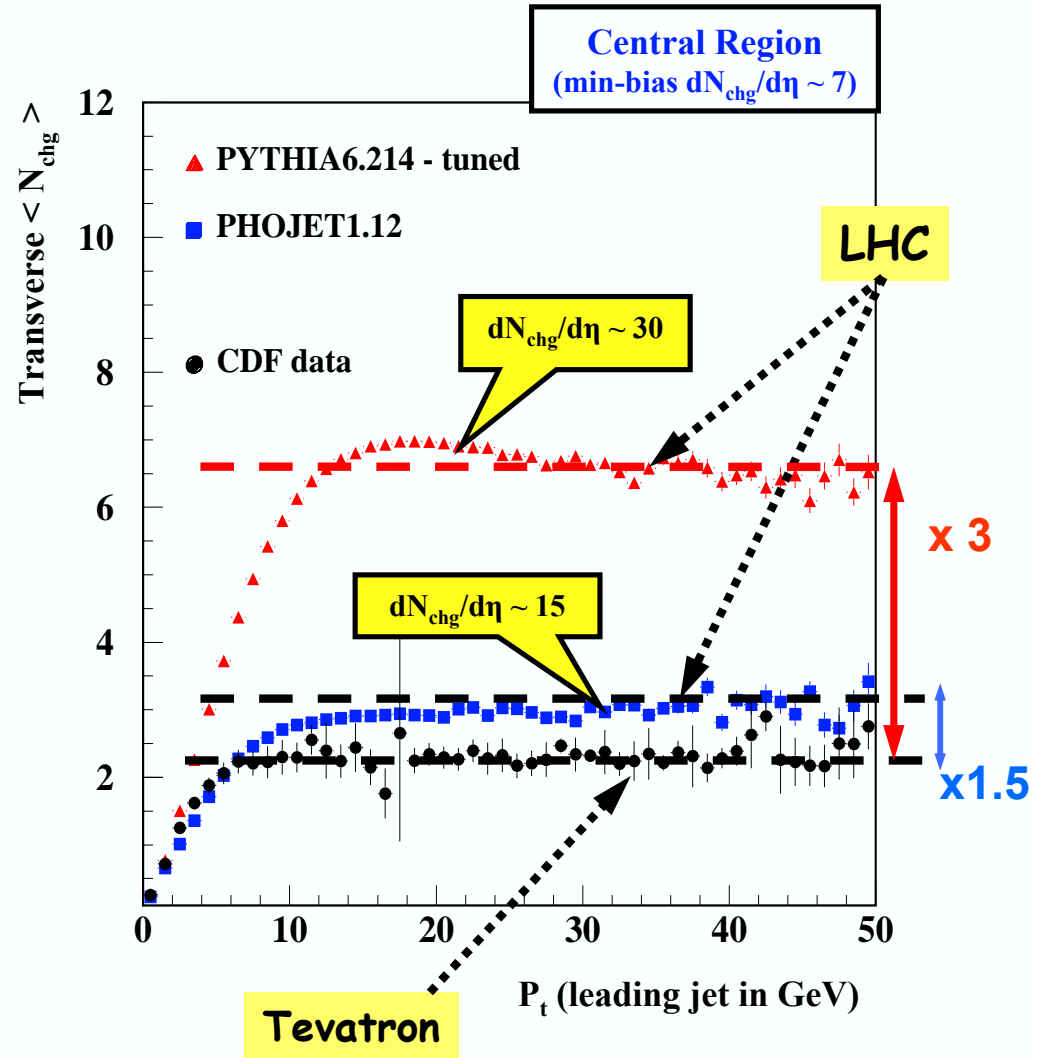
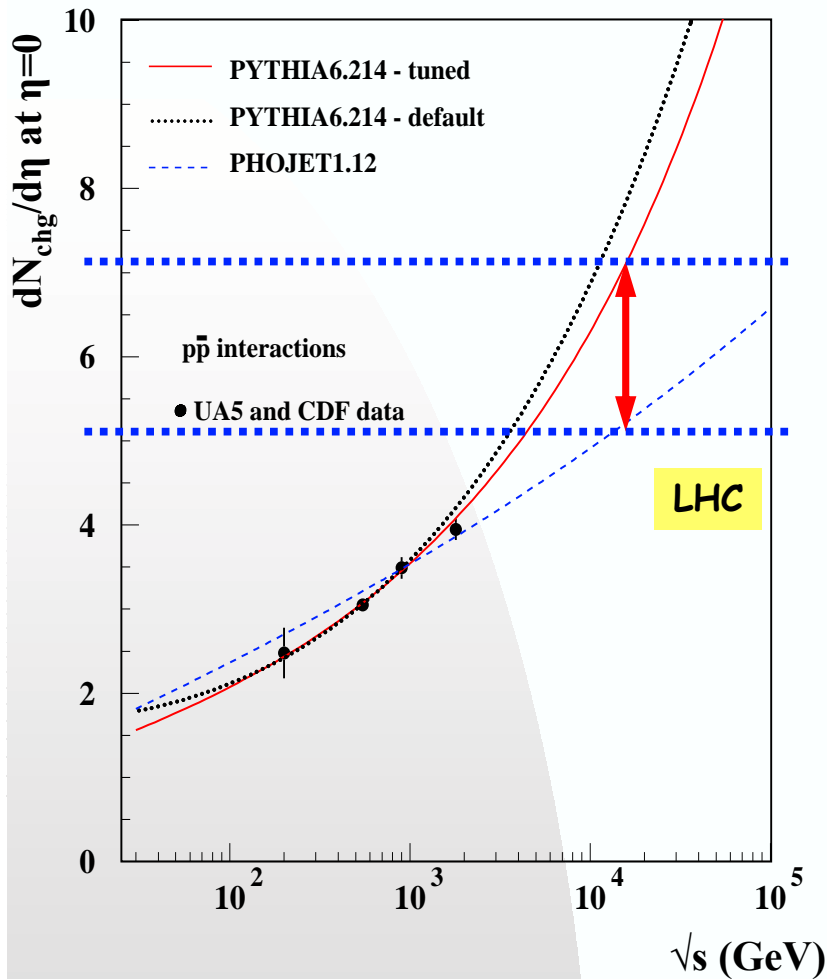


- (left) Run 2 data for charged *scalar* PTsum density ( $|\eta| < 1, p_T > 0.5$  GeV/c) in the MAX/MIN/AVE “transverse” region versus  $P_T(jet\#1)$  compared with PYTHIA Tune A (after CDFSIM).
- (right) Shows the generator level predictions of PYTHIA Tune A (dashed) and JIMMY ( $P_{Tmin} = 1.8$  GeV/c) for charged *scalar* PTsum density ( $|\eta| < 1, p_T > 0.5$  GeV/c) in the MAX/MIN/AVE “transverse” region versus  $P_T(jet\#1)$ .
- The tuned JIMMY now agrees with PYTHIA for  $P_T(jet\#1) < 100$  GeV but produces much more activity than PYTHIA Tune A (and the data?) in the “transverse” region for  $P_T(jet\#1) > 100$  GeV!

<b>Comments</b>	<b>PYTHIA6.2 - Default</b>	<b>ATLAS – TDR (PYTHIA5.7)</b>	<b>CDF – Tune A (PYTHIA6.206)</b>	<b>PYTHIA6.214 - Tuned</b>
<b>Generated processes (QCD + low-pT)</b>	Non-diffractive inelastic (MSEL=1)	Non-diffractive inelastic (MSEL=1)	Non-diffractive inelastic + double diffraction (MSEL=0, ISUB 94 and 95)	<b>Non-diffractive + double diffraction (MSEL=0, ISUB 94 and 95)</b>
<b>p.d.f.</b>	CTEQ 5L (MSTP(51)=7)	CTEQ 2L (MSTP(51)=9)	CTEQ 5L (MSTP(51)=7)	<b>CTEQ 5L (MSTP(51)=7)</b>
<b>Multiple interactions models</b>	MSTP(81) = 1 MSTP(82) = 1	MSTP(81) = 1 MSTP(82) = 4	MSTP(81) = 1 MSTP(82) = 4	<b>MSTP(81) = 1 MSTP(82) = 4</b>
<b>pT min</b>	PARP(82) = 1.9 PARP(89) = 1 TeV PARP(90) = 0.16	PARP(82) = 1.55 no energy depend.	PARP(82) = 2.0 PARP(89) = 1.8 TeV PARP(90) = 0.25	<b>PARP(82) = 1.8 PARP(89) = 1 TeV PARP(90) = 0.16</b>
<b>Core radius</b>	20% of the hadron radius (PARP(84) = 0.2)	20% of the hadron radius (PARP(84) = 0.2)	40% of the hadron radius (PARP(84) = 0.4)	<b>50% of the hadron radius (PARP(84) = 0.5)</b>
<b>Gluon production mechanism</b>	PARP(85) = 0.33 PARP(86) = 0.66	PARP(85) = 0.33 PARP(86) = 0.66	PARP(85) = 0.9 PARP(86) = 0.95	<b>PARP(85) = 0.33 PARP(86) = 0.66</b>
<b><math>\alpha_s</math> and K-factors</b>	MSTP(2) = 1 MSTP(33) = 0	MSTP(2) = 2 MSTP(33) = 3	MSTP(2) = 1 MSTP(33) = 0	<b>MSTP(2) = 1 MSTP(33) = 0</b>
<b>Regulating initial state radiation</b>	PARP(67) = 1	PARP(67) = 4	PARP(67) = 4	<b>PARP(67) = 1</b>



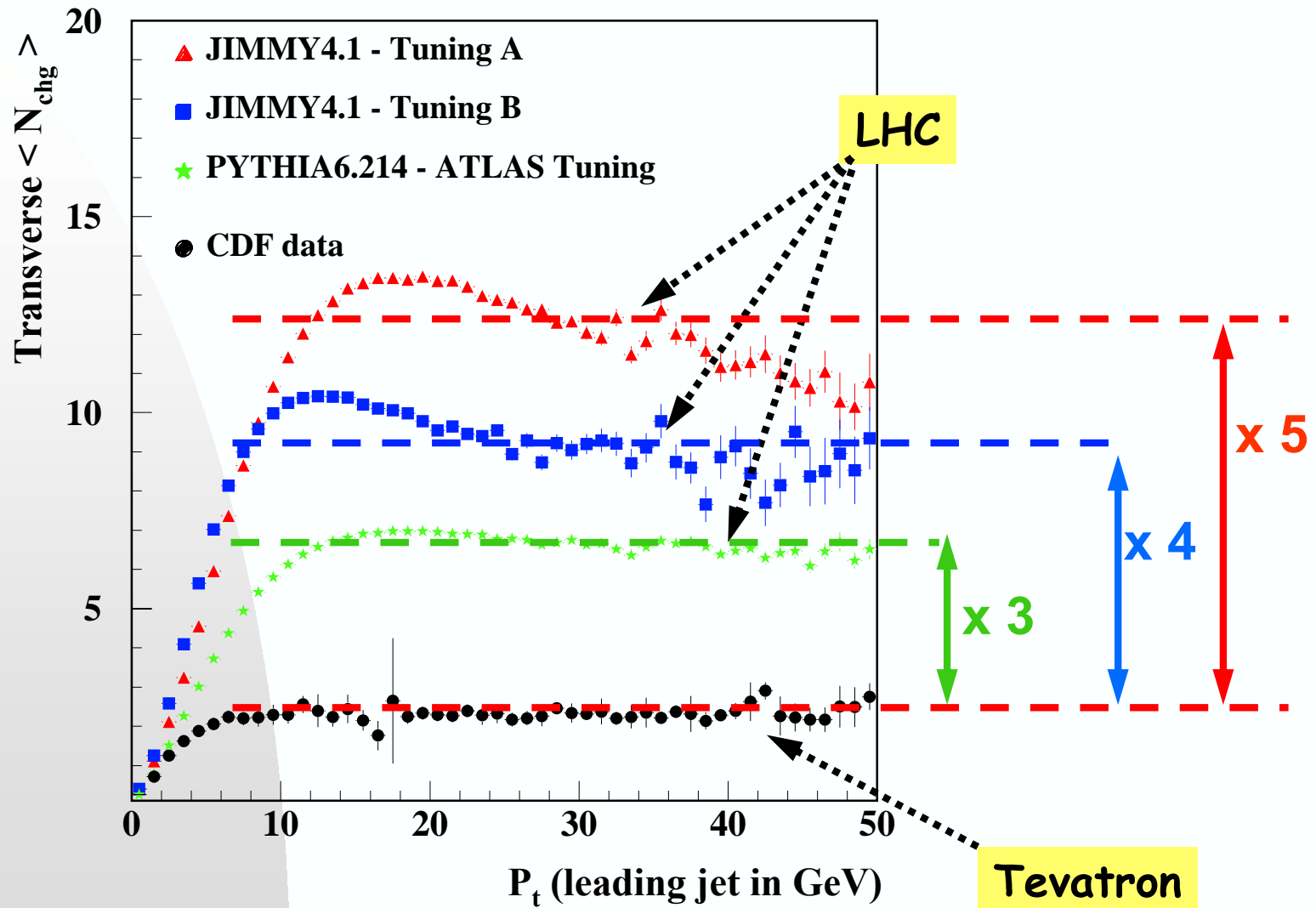
# LHC predictions: pp collisions at $\sqrt{s} = 14$ TeV

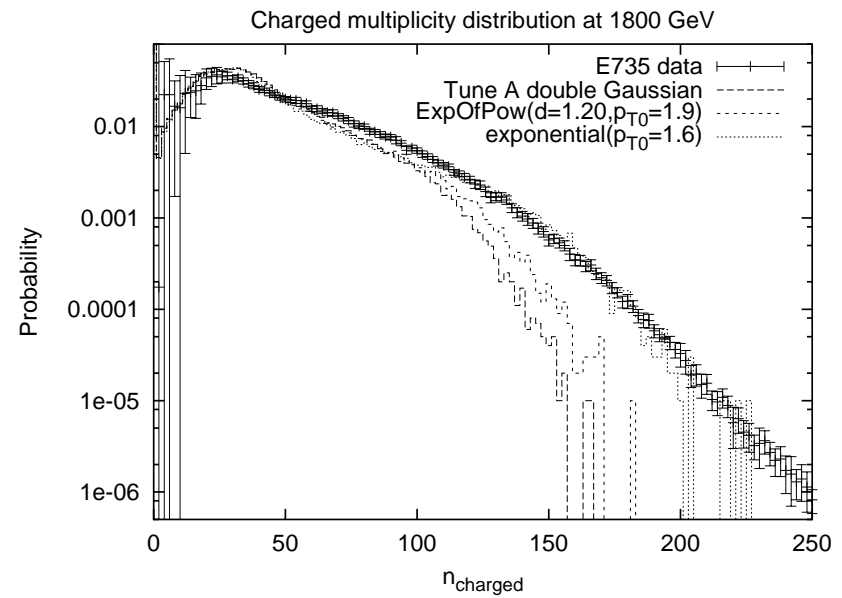
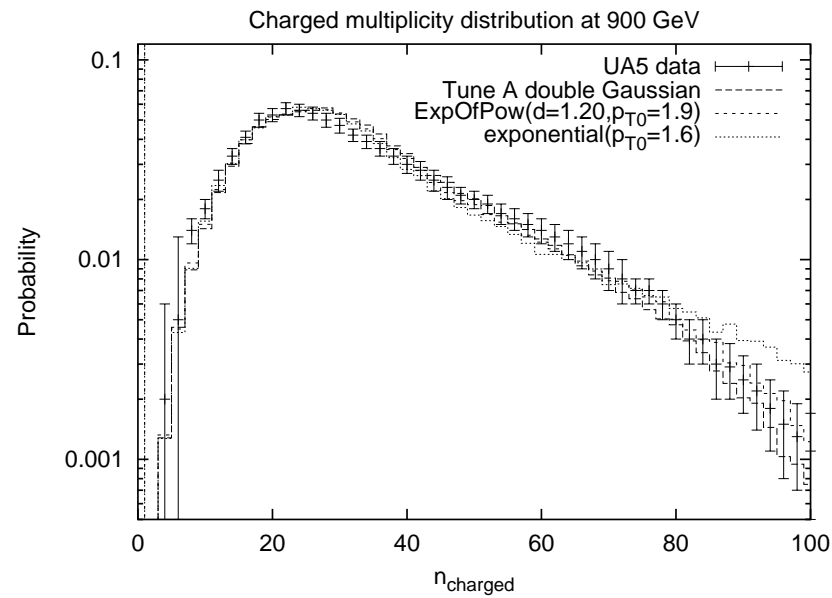
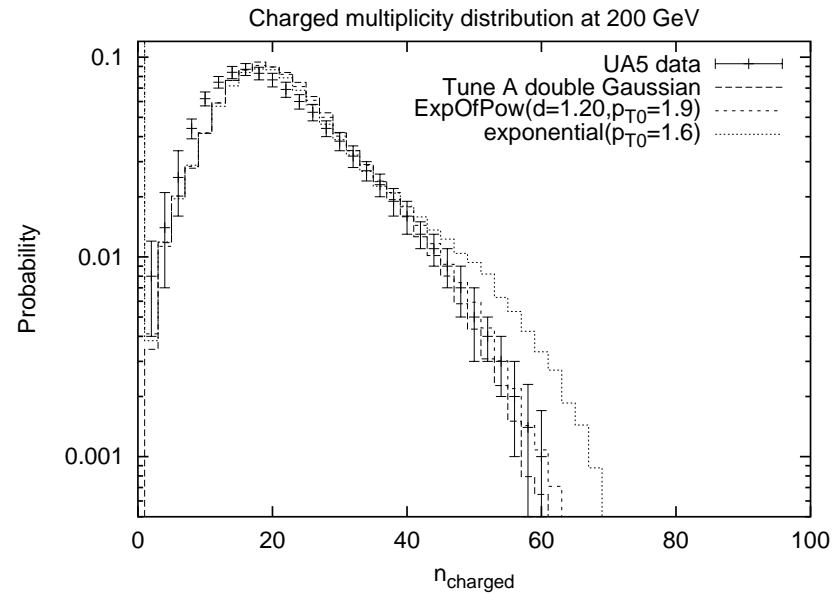


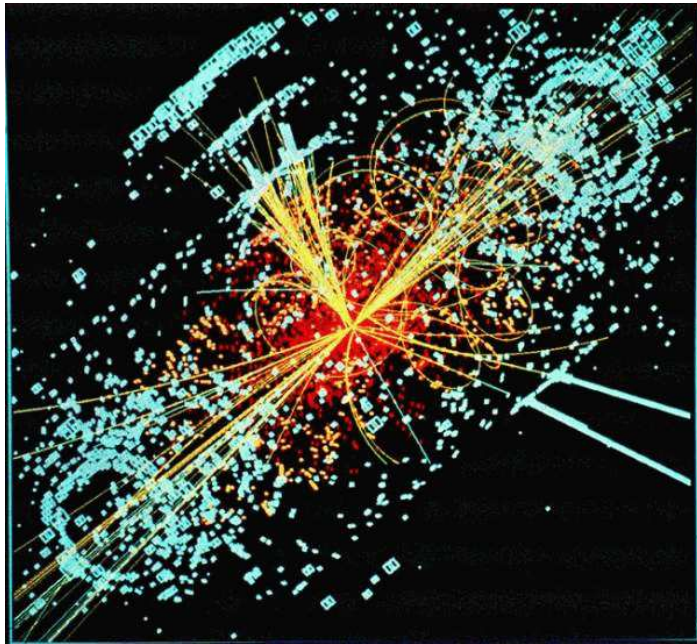
- **PYTHIA** models favour  $\ln^2(s)$ ;
- **PHOJET** suggests a  $\ln(s)$  dependence.



# LHC predictions: JIMMY4.1 Tunings A and B vs. PYTHIA6.214 – ATLAS Tuning (DC2)







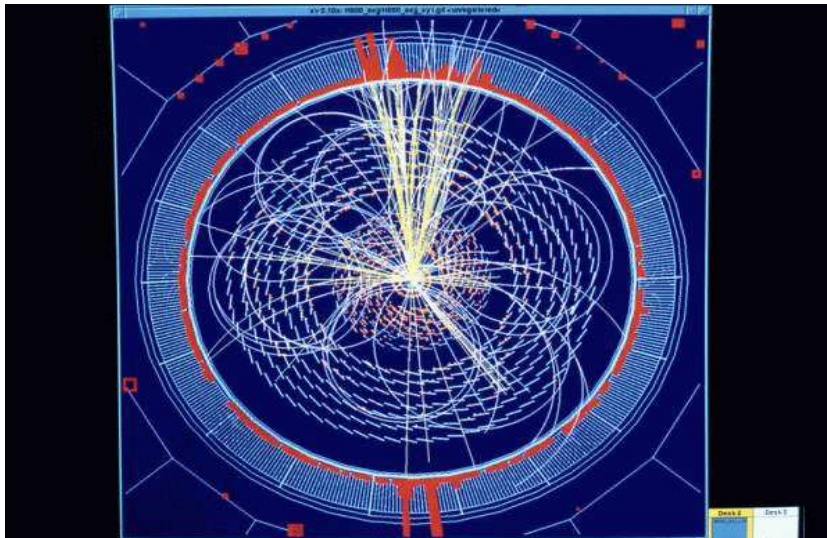
The structure of an event

Multiple interactions

## The $p_{\perp}$ -based philosophy

$p_{\perp}$ -ordered showers

Interleaved interactions

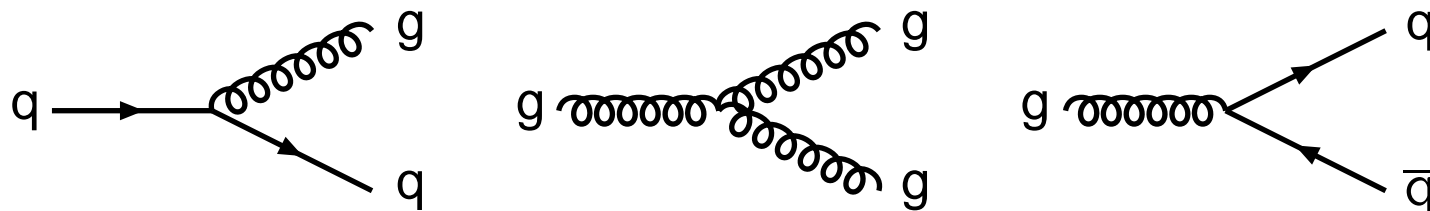


Outlook

# The need for an ordering variable

Structure of incoming hadrons is  $Q^2$  dependent — the DGLAP equations:

$$df_b(x, Q^2) = \frac{dQ^2}{Q^2} \sum_a \int_x^1 \frac{dz}{z} f_a\left(\frac{x}{z}, Q^2\right) \frac{\alpha_s}{2\pi} P_{a \rightarrow bc}(z)$$



Structure at  $Q$  is resolved at a time  $t \sim 1/Q$  before collision

Normal DGLAP is defined for  $f_i(x, Q^2)$  of *single* parton;  
for multiple interactions we need  $f_{i_1 i_2 \dots}(x_1, Q_1^2; x_2, Q_2^2; \dots)$

Could be addressed by forwards evolution:

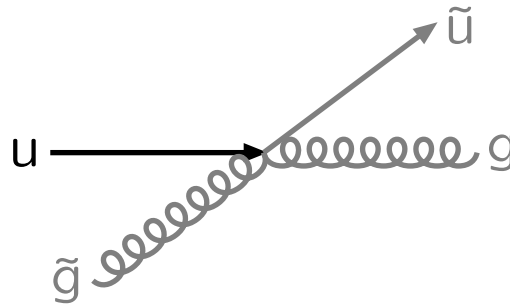
pick a complete partonic set at low  $Q_0$  and evolve, see what happens.

Inefficient:

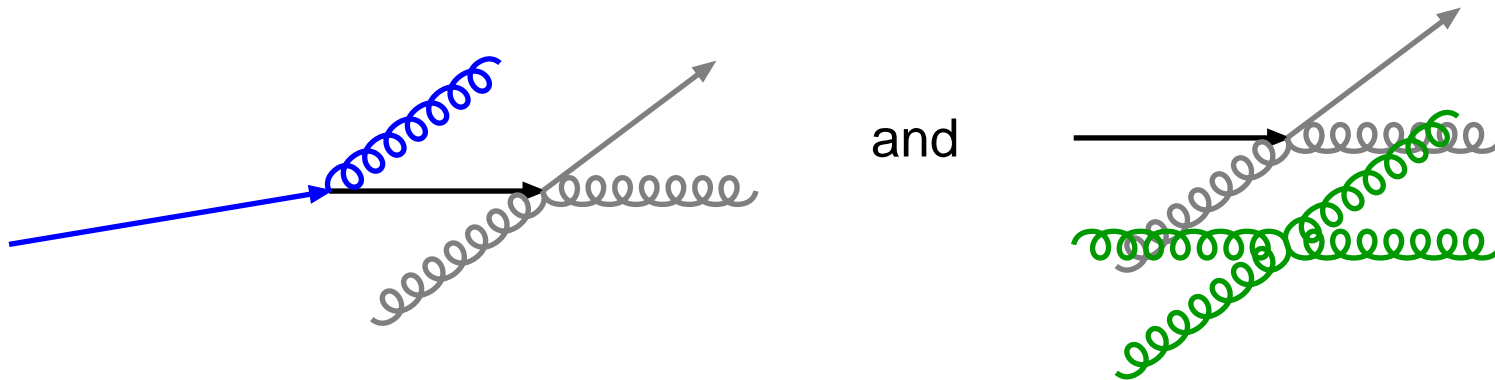
- 1) have to evolve and check for *all* potential collisions, but 99.9...% inert
- 2) impossible to steer the production e.g. of a narrow resonance (Higgs)

## Backwards evolution

— start at hard interaction and trace what happened “before” —  
viable and  $\sim$ equivalent *but* now **competition**:



at smaller  $Q^2$  can reconstruct back to either of

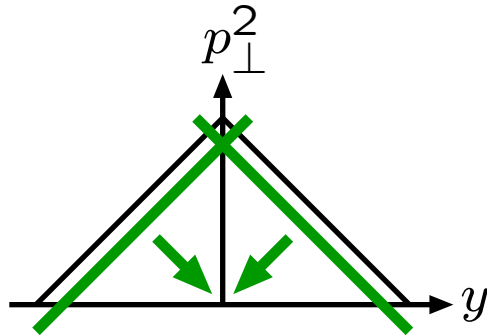


**Need to agree on common definition of ordering (“time”) variable!**



# Ordering variables in final-state radiation

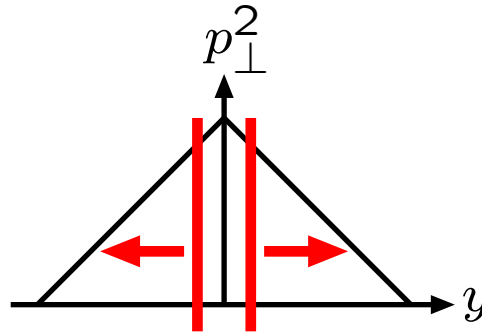
PYTHIA:  $Q^2 = m^2$



large mass first  
 $\Rightarrow$  “hardness” ordered  
**coherence brute force**

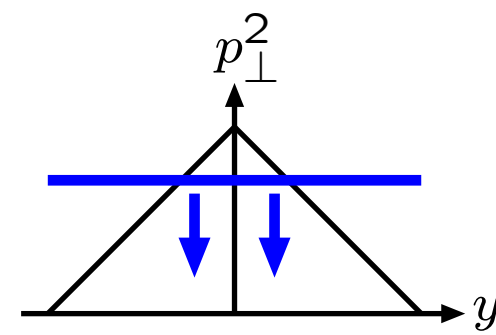
covers phase space  
 ME merging simple  
 $g \rightarrow q\bar{q}$  simple  
**not Lorentz invariant**  
 no stop/restart  
 ISR:  $m^2 \rightarrow -m^2$

HERWIG:  $Q^2 \sim E^2\theta^2$



large angle first  
 $\Rightarrow$  **hardness not ordered**  
 coherence inherent  
**gaps in coverage**  
**ME merging messy**  
 $g \rightarrow q\bar{q}$  simple  
**not Lorentz invariant**  
 no stop/restart  
 ISR:  $\theta \rightarrow \theta$

ARIADNE:  $Q^2 = p_{\perp}^2$

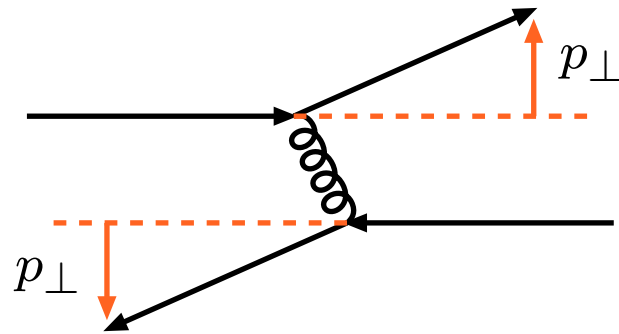


large  $p_{\perp}$  first  
 $\Rightarrow$  “hardness” ordered  
 coherence inherent

covers phase space  
 ME merging simple  
 $g \rightarrow q\bar{q}$  **messy**  
 Lorentz invariant  
 can stop/restart  
**ISR: more messy**

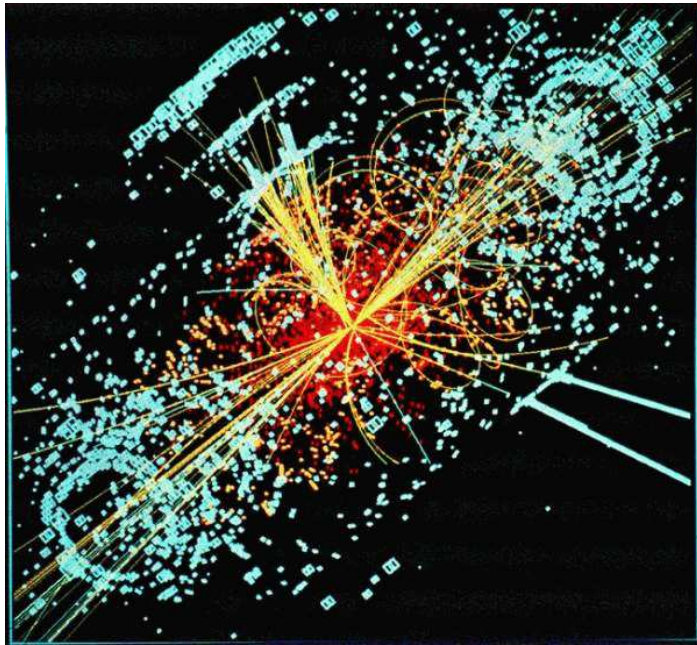
# Why is transverse momentum a good choice?

- The natural scale for  $2 \rightarrow 2$  QCD processes



$$p_{\perp}^2 = \frac{\hat{t}\hat{u}}{\hat{s}} \mapsto \begin{cases} |\hat{t}| & \text{for } \hat{t} \rightarrow 0 \\ |\hat{u}| & \text{for } \hat{u} \rightarrow 0 \\ \frac{\hat{s}}{4} & \text{at } 90^{\circ} \end{cases}$$

- Screening inside incoming hadrons  $\Rightarrow p_{\perp}$  cutoff
- Allowed, reasonable choice for FSR and ISR
- Coherence in FSR, partly also in ISR
- Scale choice  $\alpha_s(p_{\perp}^2)$  for ISR/FSR is optimal  
(absorbs singular  $\ln z$ ,  $\ln(1 - z)$  terms in NLO splitting kernels)  
 $\Rightarrow$  lower cutoff of showers is in  $p_{\perp}$
- Formation time for radiation  $\Delta t \sim \frac{E}{p_{\perp}^2} \sim \frac{\gamma}{p_{\perp}} \gtrsim 1/p_{\perp}$
- No alternative??



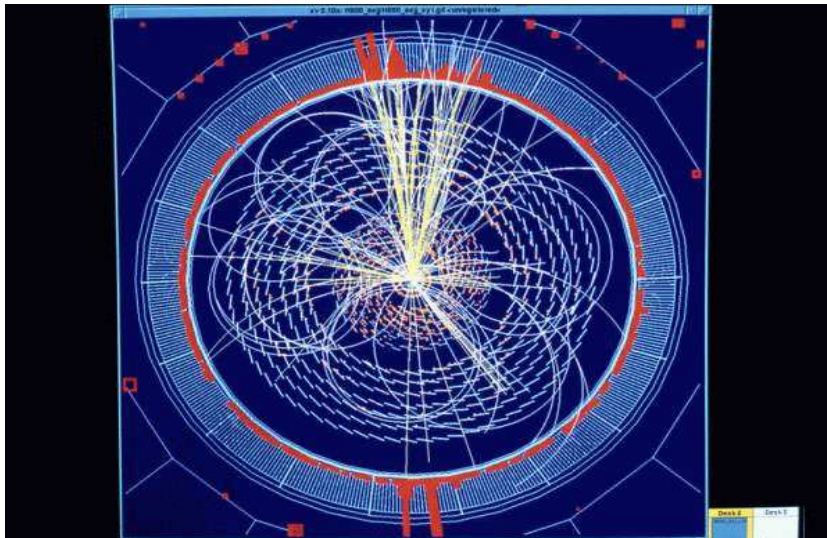
The structure of an event

Multiple interactions

The  $p_{\perp}$ -based philosophy

**$p_{\perp}$ -ordered showers**

Interleaved interactions



Outlook

# Objective

**Incorporate several of the good points of the dipole formalism (like ARIADNE) within the shower approach ( $\Rightarrow$  hybrid)**

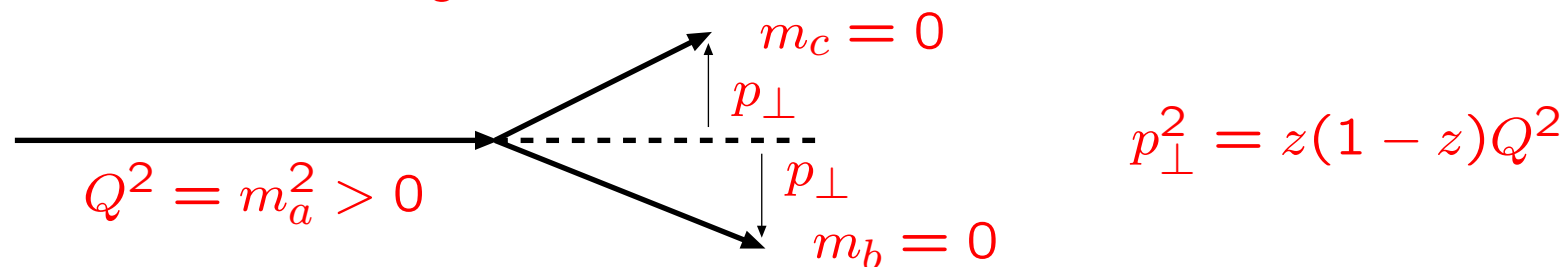
- $\pm$  explore alternative  $p_{\perp}$  definitions
- +  $p_{\perp}$  ordering  $\Rightarrow$  coherence inherent
- + ME merging works as before (unique  $p_{\perp}^2 \leftrightarrow Q^2$  mapping; same  $z$ )
- +  $g \rightarrow q\bar{q}$  natural
- + kinematics constructed after each branching  
(partons explicitly on-shell until they branch)
- + showers can be stopped and restarted at given  $p_{\perp}$  scale  
(not yet worked-out for ISR+FSR)
- +  $\Rightarrow$  well suited for ME/PS matching (L-CKKW, real+fictitious showers)
- +  $\Rightarrow$  well suited for simple match with  $2 \rightarrow 2$  hard processes
- ++ well suited for *interleaved multiple interactions*

# Simple kinematics

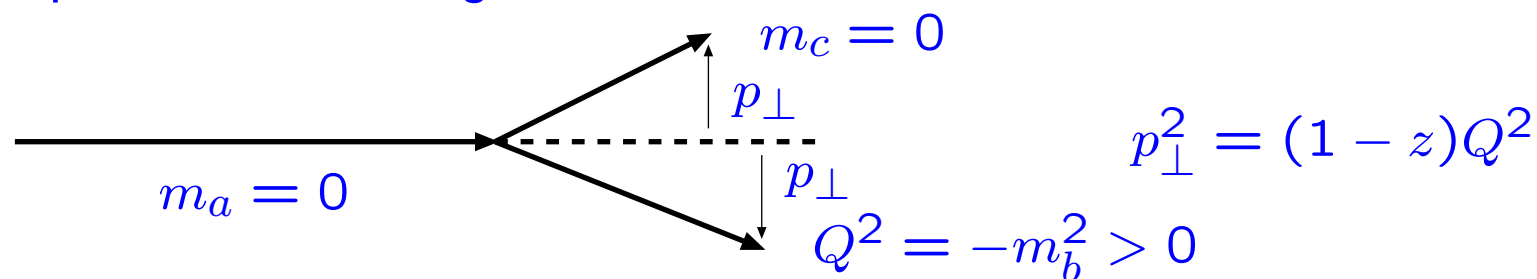
Consider branching  $a \rightarrow bc$  in lightcone coordinates  $p^\pm = E \pm p_z$

$$\left. \begin{array}{l} p_b^+ = zp_a^+ \\ p_c^+ = (1-z)p_a^+ \\ p^- \text{ conservation} \end{array} \right\} \implies m_a^2 = \frac{m_b^2 + p_\perp^2}{z} + \frac{m_c^2 + p_\perp^2}{1-z}$$

Timelike branching:



Spacelike branching:



Guideline, not final  $p_\perp$ !

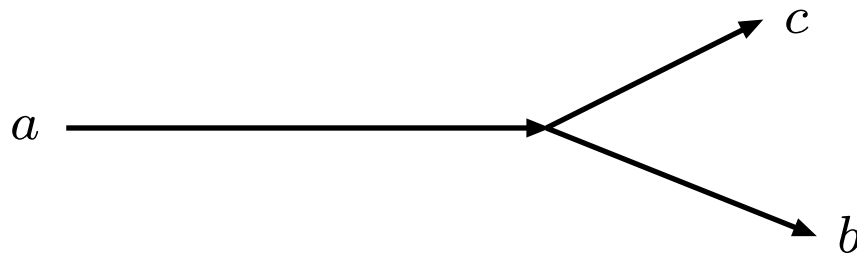
# General Strategy (1)

- 1) Define  $p_{\perp\text{evol}}^2 = z(1-z)Q^2 = z(1-z)m^2$  for FSR  
 $p_{\perp\text{evol}}^2 = (1-z)Q^2 = (1-z)(-m^2)$  for ISR

- 2) Find list of *radiators* = partons that can radiate.

Evolve them all *downwards* in  $p_{\perp\text{evol}}$  from common  $p_{\perp\text{max}}$

$$d\mathcal{P}_a = \frac{dp_{\perp\text{evol}}^2}{p_{\perp\text{evol}}^2} \frac{\alpha_s(p_{\perp\text{evol}}^2)}{2\pi} P_{a \rightarrow bc}(z) dz \exp\left(-\int_{p_{\perp\text{evol}}^2}^{p_{\perp\text{max}}^2} \dots\right)$$



$$d\mathcal{P}_b = \frac{dp_{\perp\text{evol}}^2}{p_{\perp\text{evol}}^2} \frac{\alpha_s(p_{\perp\text{evol}}^2)}{2\pi} \frac{x' f_a(x', p_{\perp\text{evol}}^2)}{x f_b(x, p_{\perp\text{evol}}^2)} P_{a \rightarrow bc}(z) dz \exp(-\dots)$$

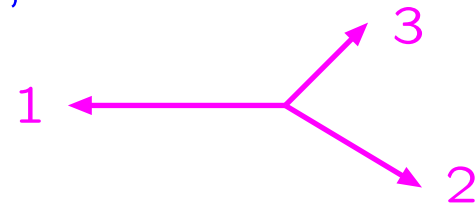
Pick the one with *largest*  $p_{\perp\text{evol}}$  to undergo branching; also gives  $z$ .

- 3) Derive  $Q^2 = p_{\perp\text{evol}}^2 / z(1-z)$  for FSR  
 $Q^2 = p_{\perp\text{evol}}^2 / (1-z)$  for ISR

## General Strategy (2)

4) Find *recoiler* = parton to take recoil when radiator is pushed off-shell  
usually nearest colour neighbour for FSR  
incoming parton on other side of event for ISR

5) Interpret  $z$  as *energy fraction* (not lightcone)  
in radiator+recoiler rest frame for FSR,  
in mother-of-radiator+recoiler rest frame for ISR,  
so that *Lorentz invariant*  
 $(2E_i/E_{cm} = 1 - m_{jk}^2/E_{cm}^2)$   
and straightforward match to matrix elements

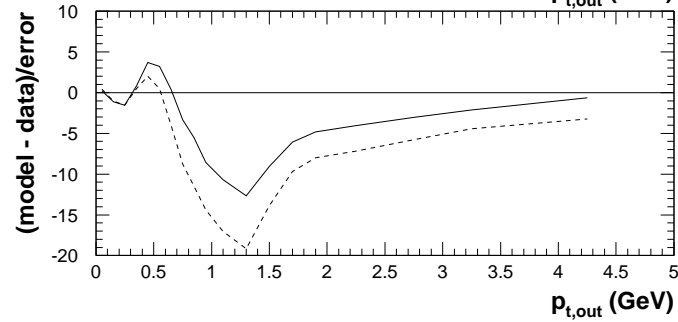
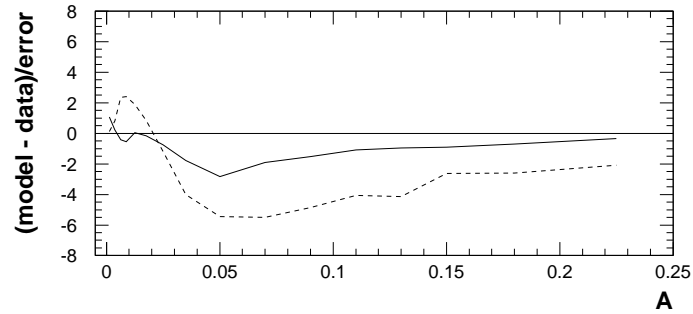
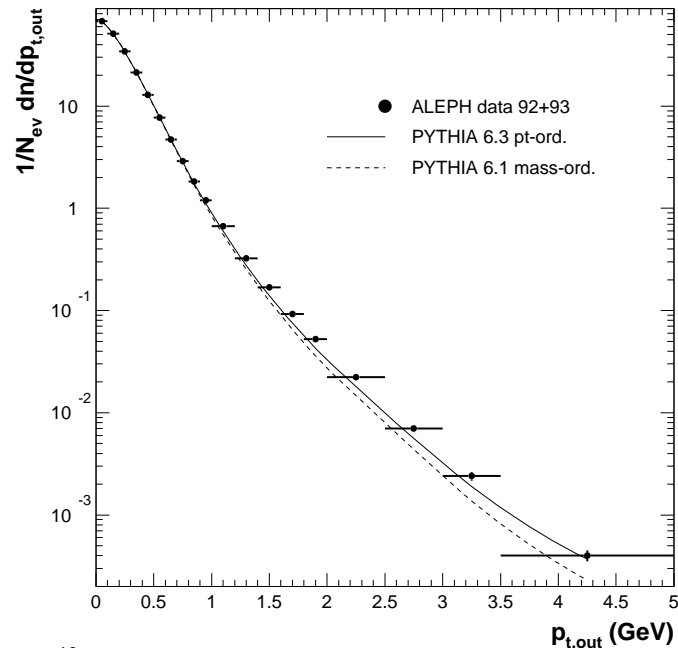
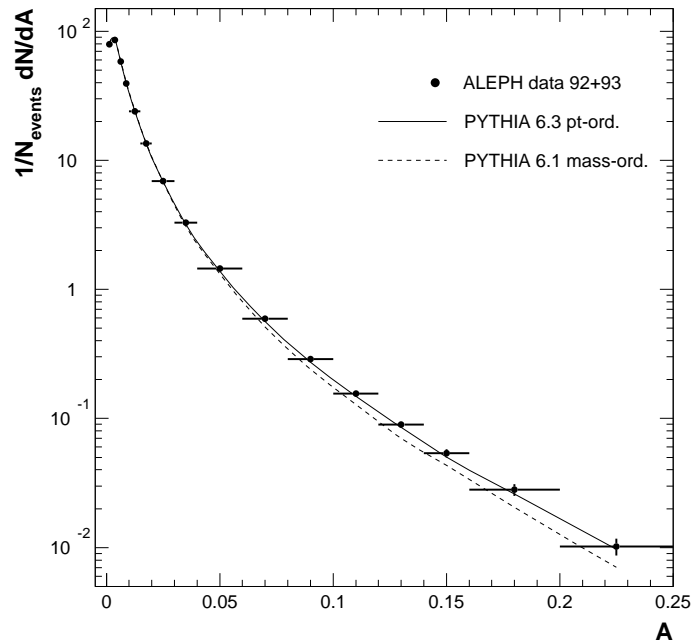


6) Do *kinematics* based on  $Q^2$  and  $z$ ,  
a) assuming yet unbranched partons on-shell  
b) shuffling energy–momentum from recoiler as required

7) Continue evolution of all radiators from recently picked  $p_{\perp\text{evol}}$ .  
*Iterate* until no branching above  $p_{\perp\text{min}}$ .  
 $\Rightarrow$  One combined sequence  $p_{\perp\text{max}} > p_{\perp 1} > p_{\perp 2} > \dots > p_{\perp\text{min}}$ .

# Testing the FSR algorithm

Tune performed by Gerald Rudolph (Innsbruck)  
based on ALEPH 1992+93 data:





# Quality of fit

Distribution of	nb.of interv.	$\sum \chi^2$ of model	
		PY6.3 $p_{\perp}$ -ord.	PY6.1 mass-ord.
Sphericity	23	25	16
Aplanarity	16	23	168
1–Thrust	21	60	8
Thrust <sub>minor</sub>	18	26	139
jet res. $y_3(D)$	20	10	22
$x = 2p/E_{cm}$	46	207	151
$p_{\perp in}$	25	99	170
$p_{\perp out} < 0.7 \text{ GeV}$	7	29	24
$p_{\perp out}$	(19)	(590)	(1560)
$x(B)$	19	20	68
sum $N_{dof} =$	190	497	765

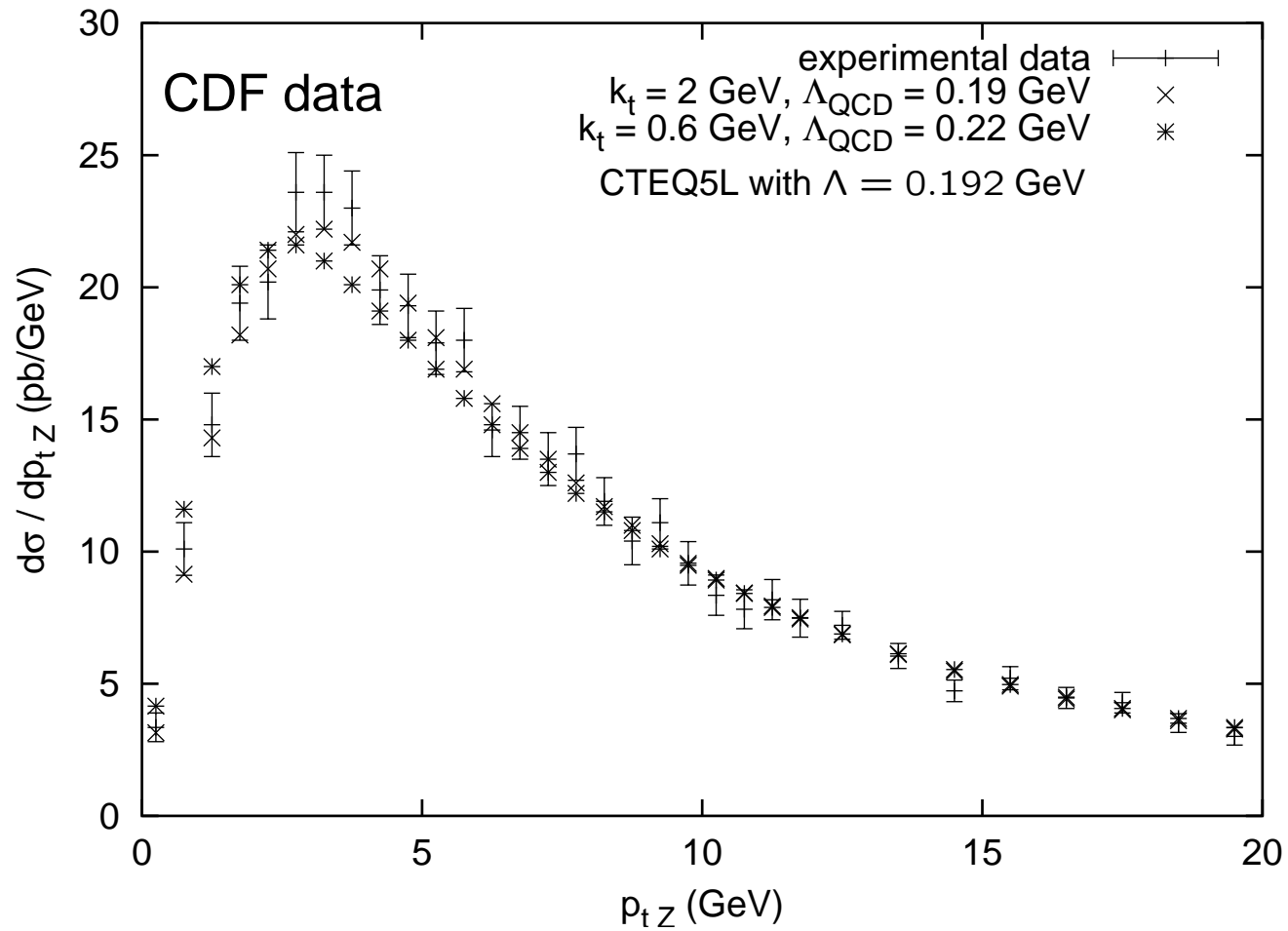
Generator is not assumed to be perfect, so add fraction  $p$  of value in quadrature to the definition of the error:

$$\sum \chi^2 \begin{matrix} p & 0\% & 0.5\% & 1\% \\ & 523 & 364 & 234 \end{matrix}$$

for  $N_{dof} = 196 \Rightarrow$  generator is 'correct' to  $\sim 1\%$   
*except*  $p_{\perp out} > 0.7 \text{ GeV}$  (10%–20% error)

# Testing the ISR algorithm

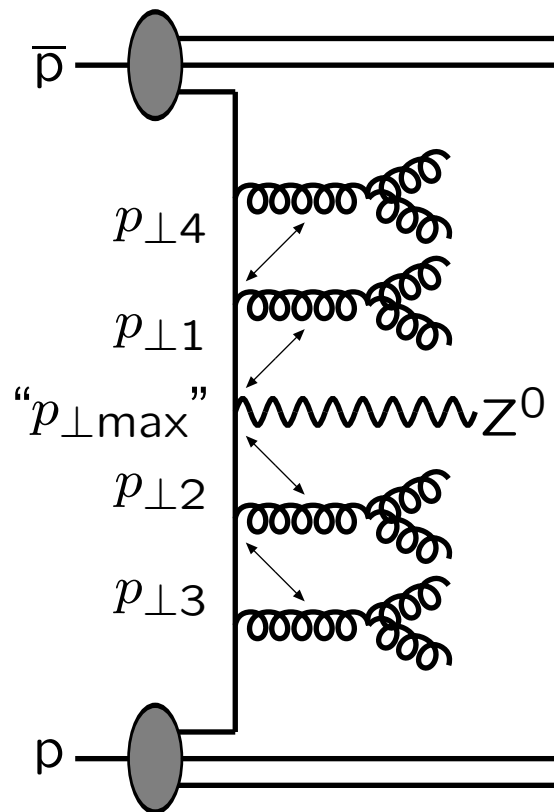
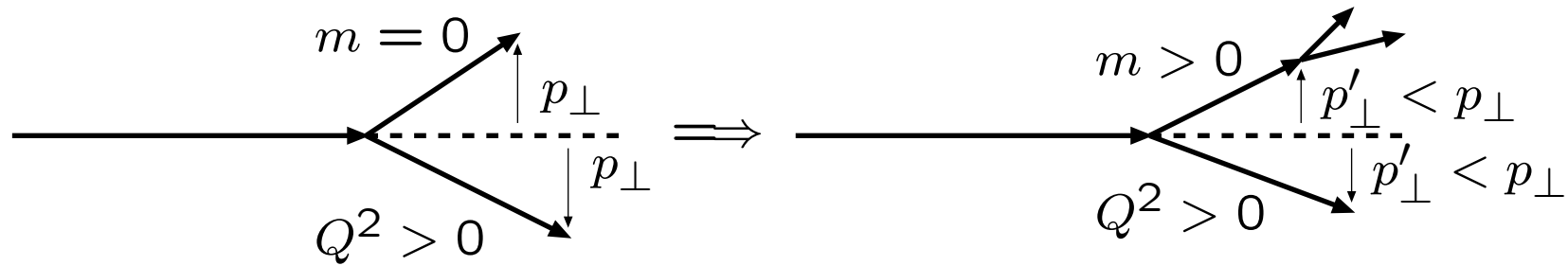
Still only begun...



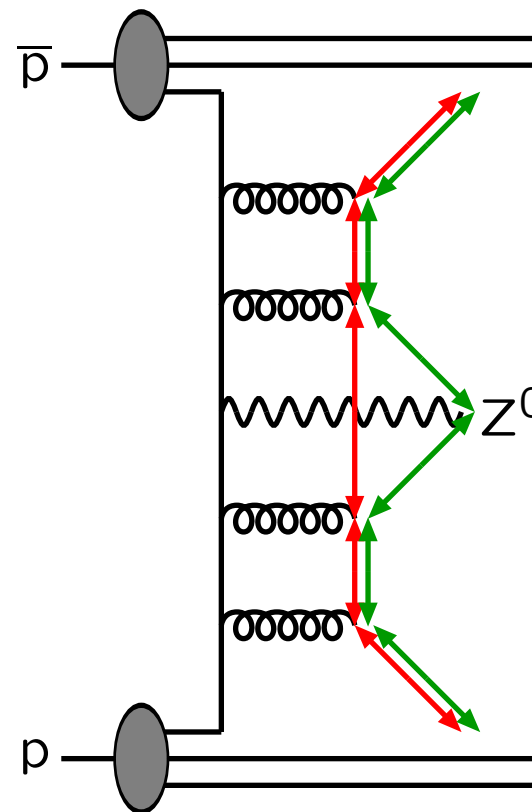
... but so far no showstoppers

# Combining FSR with ISR

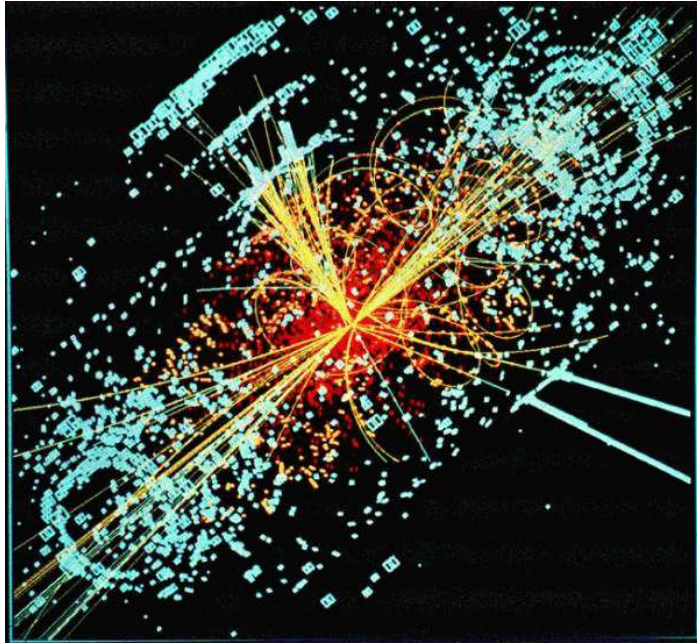
Evolution of timelike sidebranch cascades can reduce  $p_{\perp}$ :



Old:  
 $Z^0$  takes  
 recoil



New:  
 $Z^0$  takes  
 recoil  
 or  
 $Z^0$  unaffected  
 by FSR  
 (latter later)



The structure of an event

Multiple interactions

The  $p_{\perp}$ -based philosophy

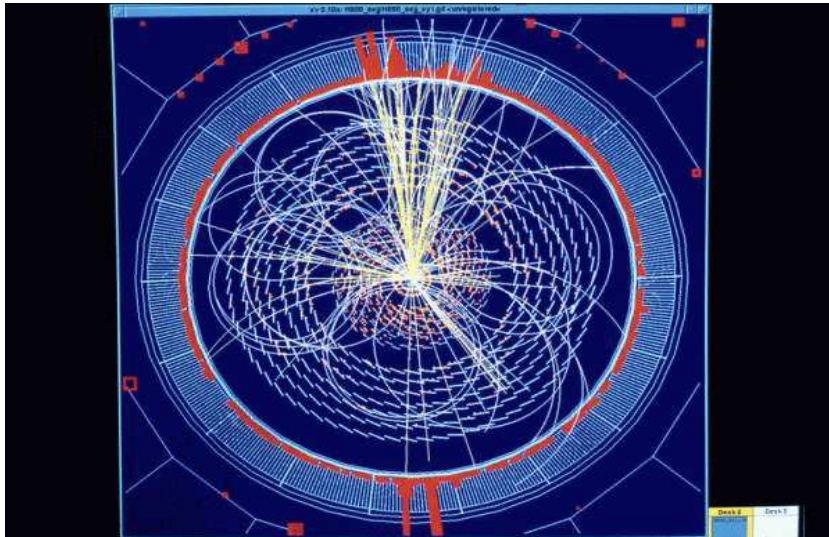
$p_{\perp}$ -ordered showers

**Backup**

**$p_{\perp}$ -ordered showers**

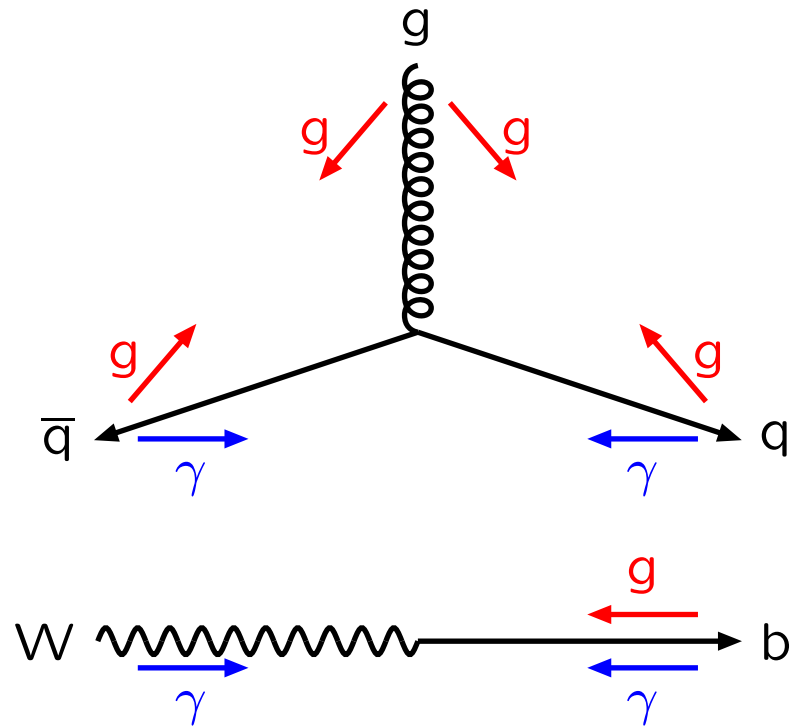
Interleaved interactions

Outlook



# The FSR algorithm

1) Find radiators and recoilers from initial list of on-shell partons



g: counts twice,  
half for each recoiler;  
both  $g \rightarrow gg$  and  $g \rightarrow q\bar{q}$

q: one recoiler for  $q \rightarrow qg$ ,  
another recoiler for  $q \rightarrow q\gamma$

top decay (e.g.)  
colour recoiler  $\neq$  colour partner  
(should not change top mass)

2) Evolve all radiators downwards from common  $p_{\perp\max}$ .

Pick the one that branches at the largest actual  $p_{\perp\text{evol}}$ .

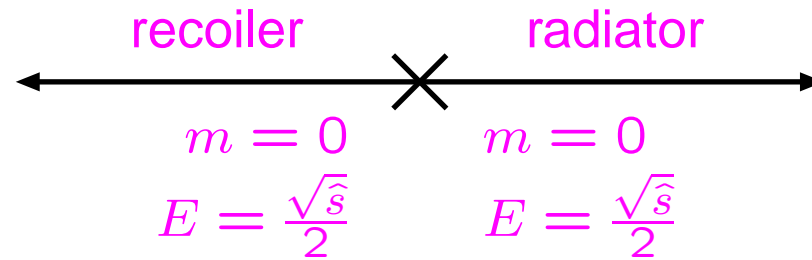
a) Massive quarks:  $p_{\perp\text{evol}}^2 = z(1-z)(m^2 - m_0^2)$ .

b)  $z_{\min}(p_{\perp\text{evol}}^2, \hat{s}) < z < z_{\max}(p_{\perp\text{evol}}^2, \hat{s})$  with  $\hat{s} = (p_{\text{rad}} + p_{\text{rec}})^2$ .

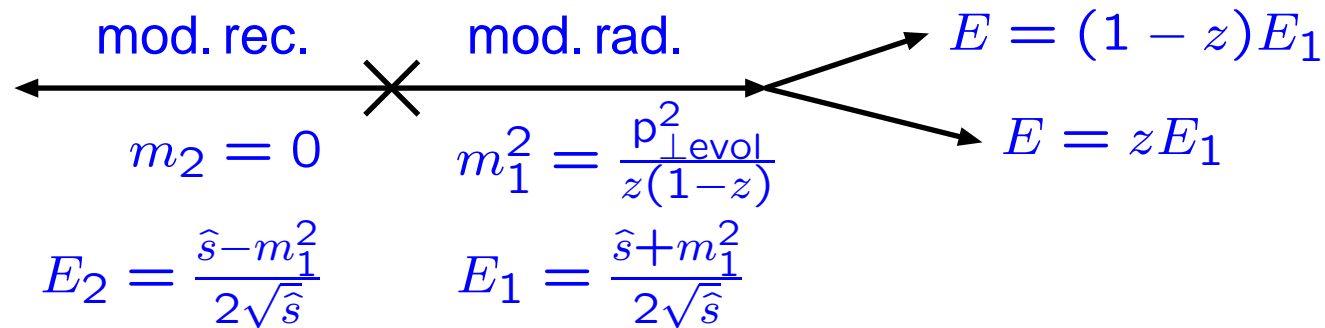
c) Matrix-element merging by veto for many SM+MSSM decays.

3) Construct kinematics of branching:

a) Boost radiator+recoiler  
to their rest frame;  
radiator along  $+z$  axis



b) Replace  
by



**Actual**  $p_{\perp}^2 = m^2 \frac{z(1-z)(\hat{s} + m_1^2)^2 - \hat{s}m_1^2}{(\hat{s} - m_1^2)^2} < p_{\perp \text{evol}}^2$

since now  $z$  energy fraction, not lightcone  
(so that simpler merging matrix elements).

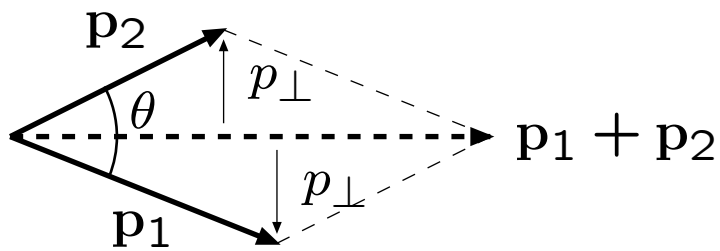
- c)  $\varphi$  angle nonisotropic by  $g$  polarization.
- d) Rotate and boost back.

4) Continue evolution of all radiators from recently picked  $p_{\perp \text{evol}}$ .  
Iterate until no branching above  $p_{\perp \text{min}}$ .

$\Rightarrow$  **One combined sequence**  $p_{\perp \text{max}} > p_{\perp 1} > p_{\perp 2} > \dots > p_{\perp \text{min}}$ .

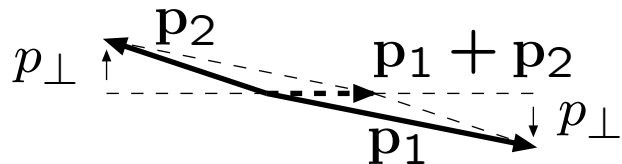
# Transverse momentum definition(s)

Consider two massless particles,  $E_1 = |\mathbf{p}_1|$  and  $E_2 = |\mathbf{p}_2|$ :



$$p_{\perp} = \frac{|\mathbf{p}_1 \times \mathbf{p}_2|}{|\mathbf{p}_1 + \mathbf{p}_2|} = \frac{E_1 E_2 \sin \theta}{\sqrt{E_1^2 + E_2^2 + 2E_1 E_2 \cos \theta}}$$

but  $p_{\perp} \rightarrow 0$  for  $\theta \rightarrow \pi$  (unless  $E_1 = E_2$ )



even though  $m^2$  large, so ought to be early, with small  $\alpha_s$

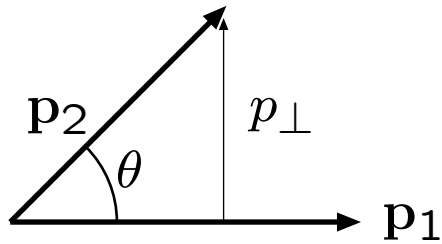
LUCLUS/PYCLUS (1981) : if  $\theta \rightarrow 0$  then  $\begin{cases} \sin \theta \approx 2 \sin(\theta/2) \\ |\mathbf{p}_1 + \mathbf{p}_2| \approx E_1 + E_2 \end{cases}$

$$\implies p_{\perp} = \frac{|\mathbf{p}_1 \times \mathbf{p}_2|}{|\mathbf{p}_1 + \mathbf{p}_2|} \approx \frac{E_1 E_2 2 \sin(\theta/2)}{E_1 + E_2} \equiv p_{\perp L}$$

$$p_{\perp L}^2 = \frac{E_1}{E_1 + E_2} \frac{E_2}{E_1 + E_2} 2E_1 E_2 (1 - \cos \theta) = z(1 - z)m^2 = p_{\perp \text{evol}}^2$$

(in rest frame of dipole; not normally the case in LUCLUS/PYCLUS)

Durham clustering algorithm:

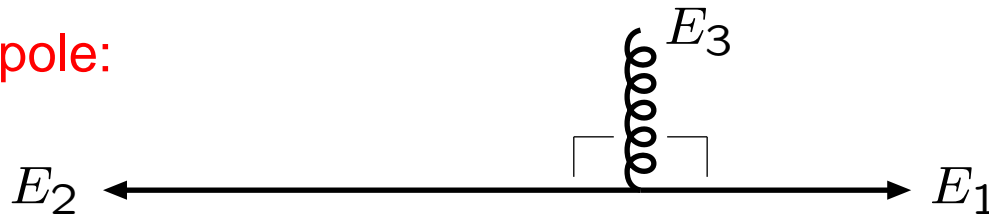


$$p_{\perp} = \min(E_1, E_2) \sin \theta$$

$$\approx \min(E_1, E_2) 2 \sin(\theta/2) \equiv p_{\perp D}$$

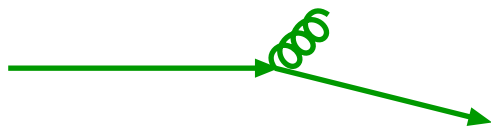
$$p_{\perp L} = \frac{\max(E_1, E_2)}{E_1 + E_2} p_{\perp D}$$

ARIADNE dipole:



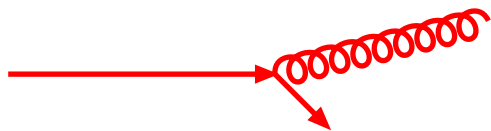
$$p_{\perp}^2 = E_3^2 = \frac{(2E_1 E_3)(2E_2 E_3)}{4E_1 E_2} = \frac{m_{13}^2 m_{23}^2}{m_{12}^2} \approx \frac{m_{13}^2 m_{23}^2}{m_{123}^2} = p_{\perp A}^2$$

$$m_{13}^2 \rightarrow 0 \implies p_{\perp A}^2 \rightarrow m^2 \frac{m_{23}^2}{m_{123}^2} = m^2 (1 - x_1) = (1 - z) m^2$$



$z \rightarrow 1 \Leftrightarrow$  soft-gluon limit:

$$p_{\perp A}^2 \approx (1 - z) m^2 \approx p_{\perp L}^2$$



$z \rightarrow 0 \Leftrightarrow$  hard-gluon tail:

$$p_{\perp A}^2 \approx m^2 \gg z m^2 \approx p_{\perp L}^2$$

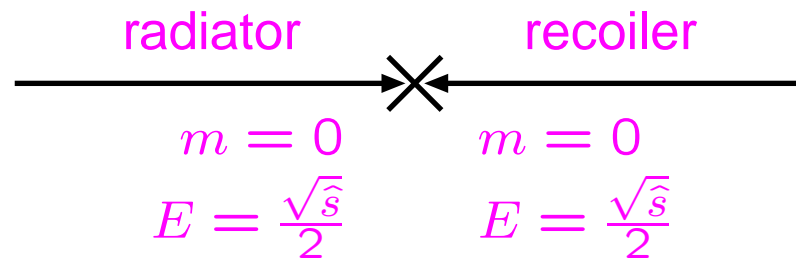


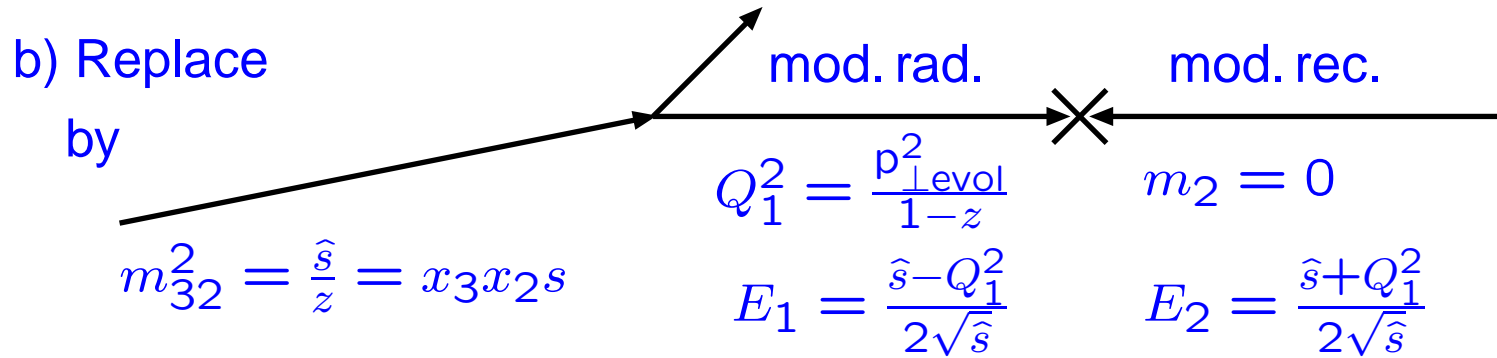
# The ISR algorithm

- 1) Start with two incoming partons at hard interaction.
- 2) Evolve both radiators downwards from common  $p_{\perp \max}$ .  
Pick the one that branches at the largest actual  $p_{\perp \text{evol}}$ .
  - a) Massive quarks: not yet considered.
  - b)  $z_{\min}(p_{\perp \text{evol}}^2, \hat{s}, x) < z < z_{\max}(p_{\perp \text{evol}}^2, \hat{s})$   
with  $\hat{s} = m_{12}^2 = (p_1 + p_2)^2 = x_1 x_2 s$ .
  - c) Matrix-element merging by veto for Z/W/H production.

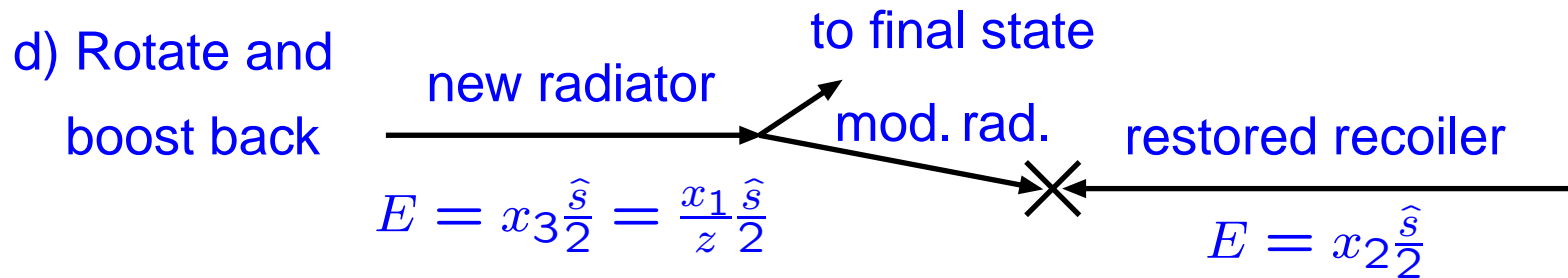
3) Construct kinematics of branching:

- a) Boost radiator+recoiler  
to their rest frame;  
radiator along  $\pm z$  axis





c)  $\varphi$  angle currently isotropic



Actual  $p_{\perp}^2 = (1 - z)Q_1^2 - z \frac{Q_1^4}{\hat{s}} < p_{\perp \text{evol}}^2$

since now  $z$  invariant-mass<sup>2</sup> fraction, not lightcone

(so that simpler merging with matrix elements, e.g. resonance mass).

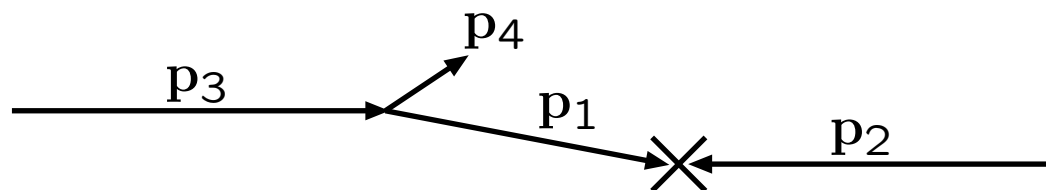
4) Continue evolution on both sides from recently picked  $p_{\perp \text{evol}}$ .

Iterate until no branching above  $p_{\perp \text{min}}$ .

$\Rightarrow$  One combined sequence  $p_{\perp \text{max}} > p_{\perp 1} > p_{\perp 2} > \dots > p_{\perp \text{min}}$ .

# Transverse momentum definition(s)

Study kinematics of  $3 \rightarrow 1 + 4$  in rest frame of  $3 + 2$ :

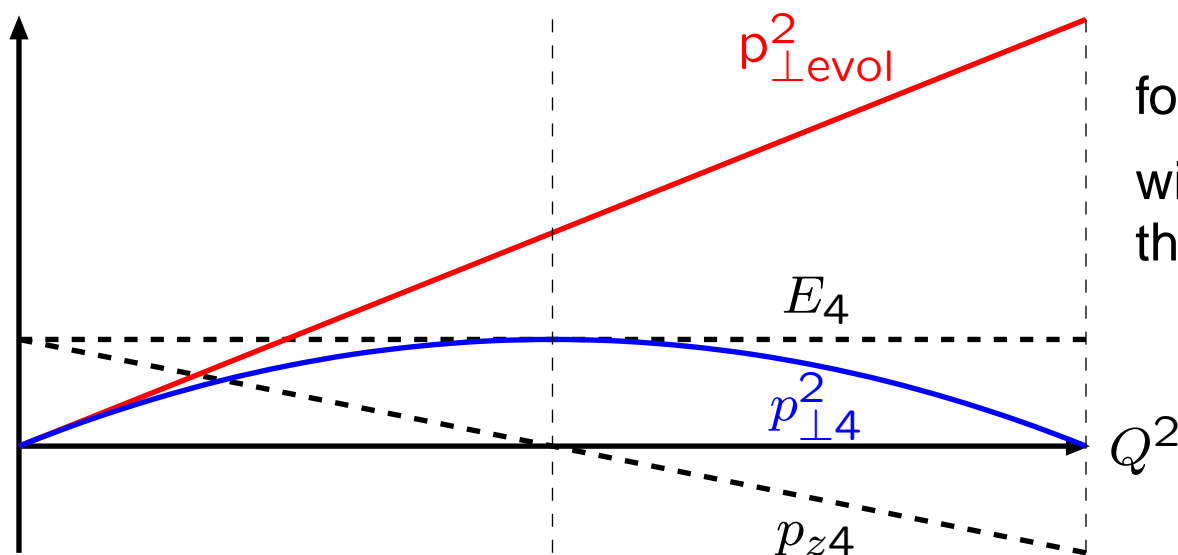


$$(p_1 + p_2)^2 = \hat{s}$$

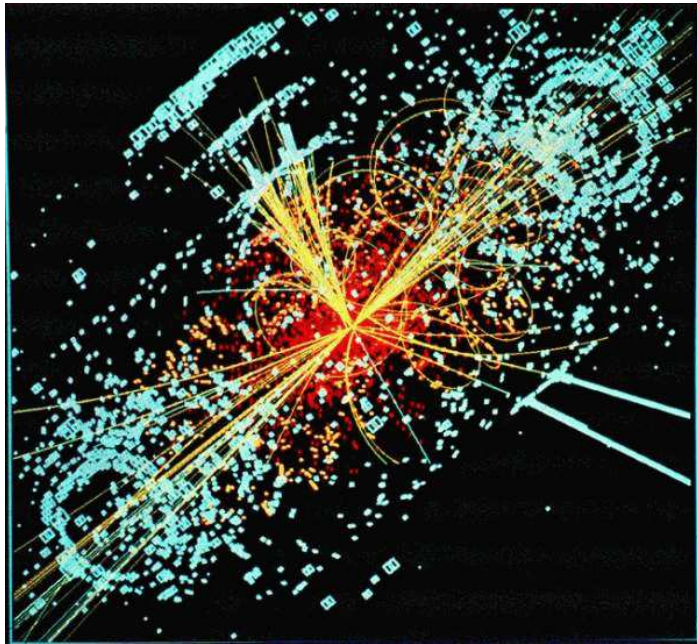
$$(p_3 + p_2)^2 = \frac{\hat{s}}{z} = \hat{s}'$$

$$p_{3,2} = \frac{\sqrt{\hat{s}'}}{2} (1; 0, 0, \pm 1)$$

$$p_4 = \left( \frac{\sqrt{\hat{s}'}}{2} (1 - z); \sqrt{(1 - z)Q^2 - \frac{Q^4}{\hat{s}'}} , 0, \frac{\sqrt{\hat{s}'}}{2} \left( 1 - z - \frac{2Q^2}{\hat{s}'} \right) \right)$$



for fixed  $\hat{s}$  and  $z = 1/2$ ,  
with units such  
that  $E_4 = 1$



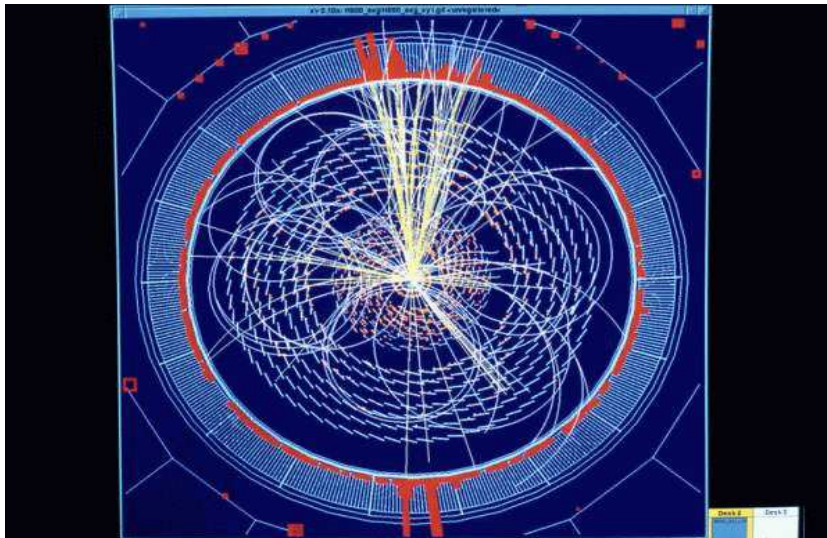
The structure of an event

Multiple interactions

The  $p_{\perp}$ -based philosophy

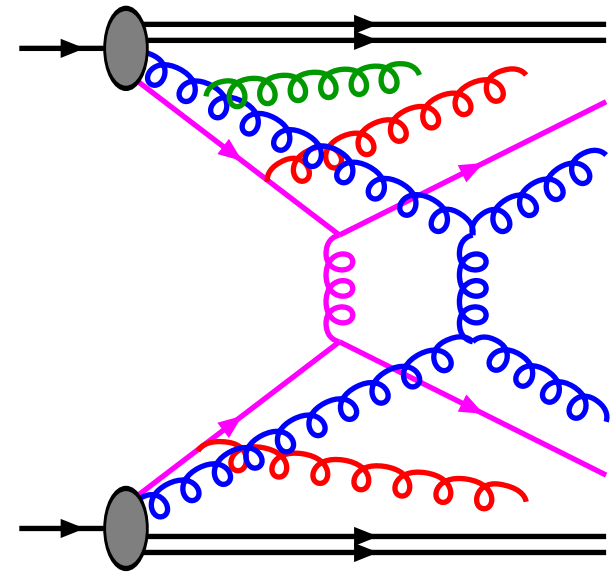
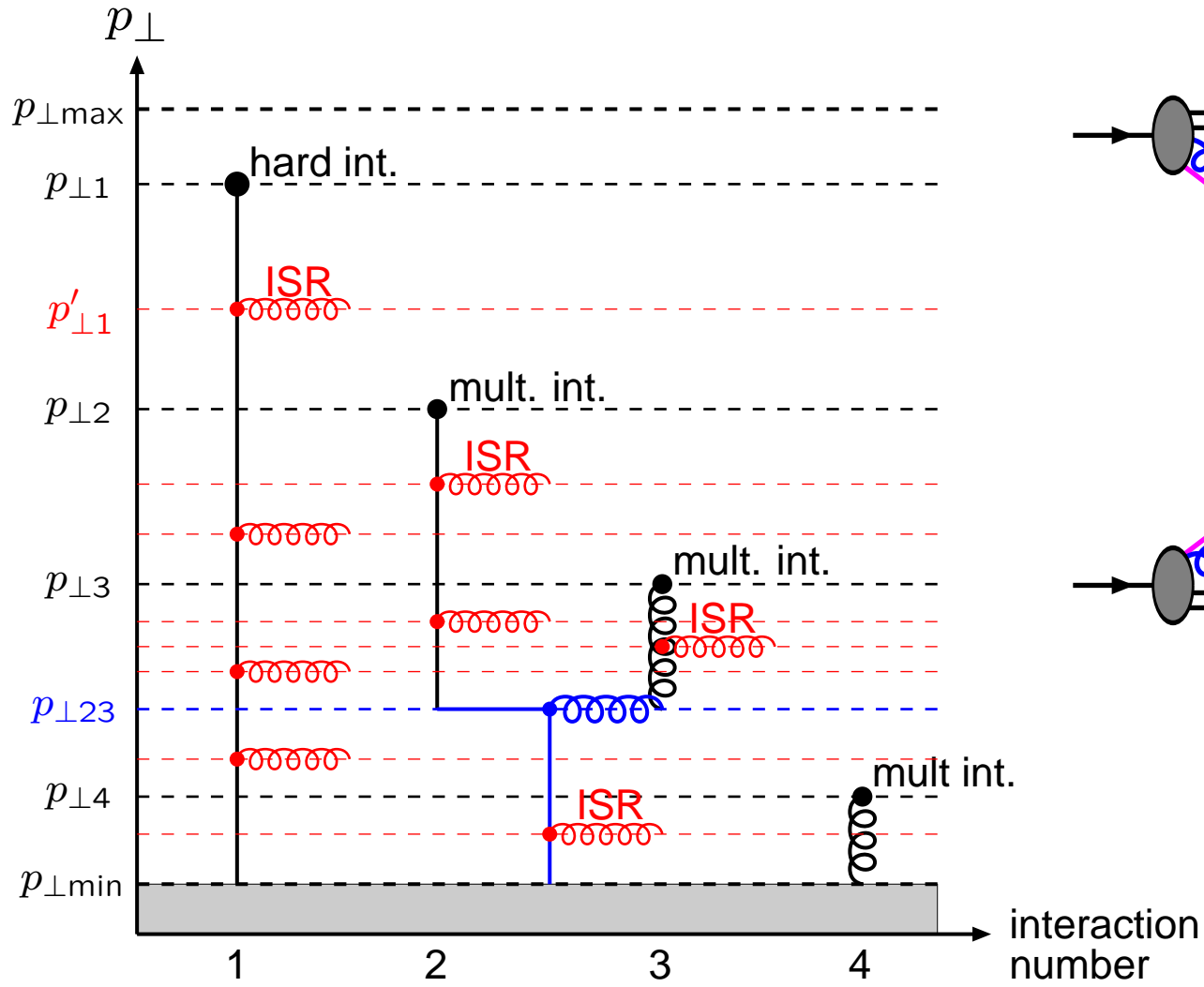
$p_{\perp}$ -ordered showers

**Interleaved interactions**



Outlook

# Interleaved Multiple Interactions



# Competition

“Evolution” equation, only Multiple Interactions:

$$\frac{d\mathcal{P}}{dp_{\perp}} = \frac{d\mathcal{P}_{\text{MI}}}{dp_{\perp}} \exp \left( - \int_{p_{\perp}}^{p_{\perp i-1}} \frac{d\mathcal{P}_{\text{MI}}}{dp'_{\perp}} dp'_{\perp} \right)$$

Evolution equation, only Initial State Radiation:

$$\frac{d\mathcal{P}}{dp_{\perp}} = \frac{d\mathcal{P}_{\text{ISR}}}{dp_{\perp}} \exp \left( - \int_{p_{\perp}}^{p_{\perp i-1}} \frac{d\mathcal{P}_{\text{ISR}}}{dp'_{\perp}} dp'_{\perp} \right)$$

Evolution equation, MI + ISR, with competition for PDF and phase space:

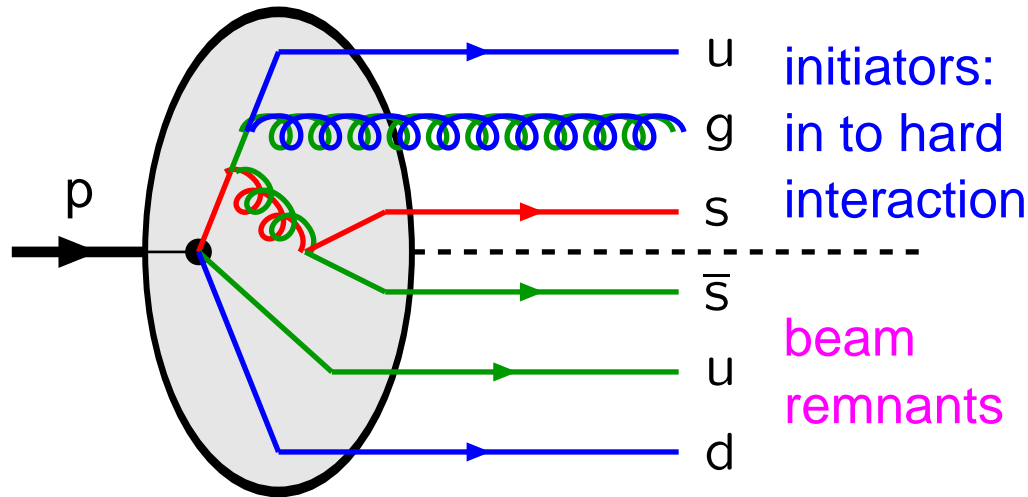
$$\frac{d\mathcal{P}}{dp_{\perp}} = \left( \frac{d\mathcal{P}_{\text{MI}}}{dp_{\perp}} + \sum \frac{d\mathcal{P}_{\text{ISR}}}{dp_{\perp}} \right) \exp \left( - \int_{p_{\perp}}^{p_{\perp i-1}} \left( \frac{d\mathcal{P}_{\text{MI}}}{dp'_{\perp}} + \sum \frac{d\mathcal{P}_{\text{ISR}}}{dp'_{\perp}} \right) dp'_{\perp} \right)$$

with ISR sum running over all previous MI

⇒ one interleaved sequence of MI and ISR

FSR: no competition so not required (but nice for ME merging)

# Initiators and Remnants



u initiators:  
g in to hard  
s interaction

Need to assign:

- correlated flavours
- correlated  $x_i = p_{zi}/p_{z\text{tot}}$
- correlated primordial  $k_{\perp i}$
- correlated colours
- correlated showers

beam  
remnants

## ● PDF after preceding MI/ISR activity:

- 0) Squeeze range  $0 < x < 1$  into  $0 < x < 1 - \sum x_i$  (ISR:  $i \neq i_{\text{current}}$ )
- 1) Valence quarks: scale down by number already kicked out
- 2) Introduce companion quark  $q/\bar{q}$  to each kicked-out sea quark  $\bar{q}/q$ ,  
with  $x$  based on assumed  $g \rightarrow q\bar{q}$  splitting
- 3) Gluon and other sea: rescale for total momentum conservation

# Various issues

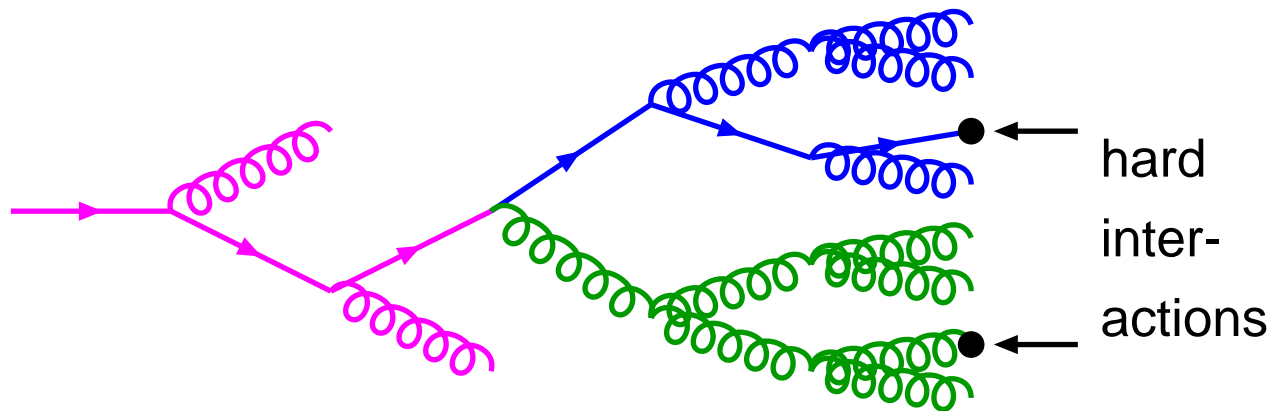
- **Regularization procedure:**

$$\alpha_s(p_{\perp}^2) \frac{dp_{\perp}^2}{p_{\perp}^2} \rightarrow \alpha_s(p_{\perp 0}^2 + p_{\perp}^2) \frac{dp_{\perp}^2}{p_{\perp 0}^2 + p_{\perp}^2}$$

common for MI (quadratically) and ISR by colour neutralization

$p_{\perp 0} \approx 2\text{--}3$  GeV energy-dependent

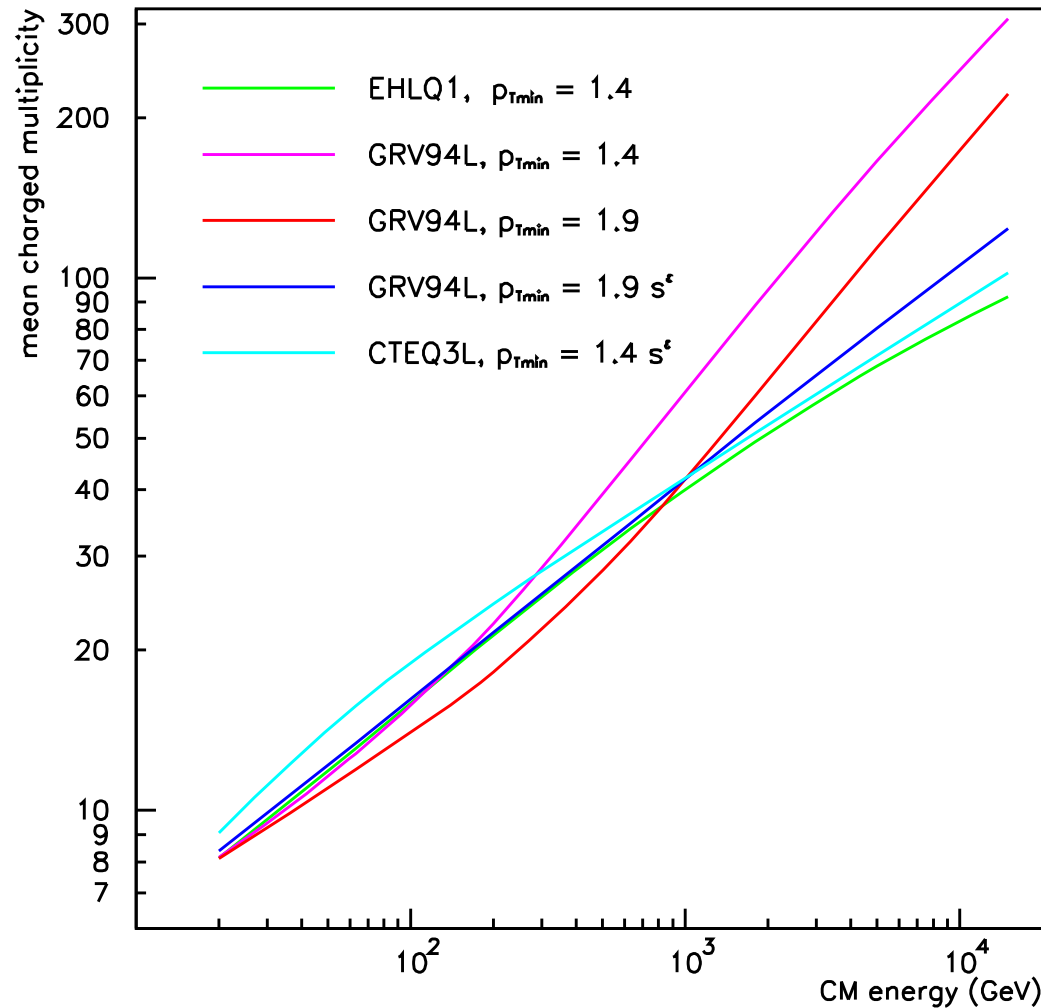
- **Intertwined interactions:**



Not (yet) explicitly included, but estimated; shown not to be critical



• Energy dependence of  $p_{\perp\min}$  and  $p_{\perp 0}$



Larger collision energy  
 $\Rightarrow$  probe parton ( $\approx$  gluon) density at smaller  $x$   
 $\Rightarrow$  smaller colour screening length  $d$   
 $\Rightarrow$  larger  $p_{\perp\min}$  or  $p_{\perp 0}$

Post-HERA PDF fits steeper at small  $x$   
 $\Rightarrow$  stronger energy dependence

Current PYTHIA default (Tune A, old model), tied to CTEQ 5L, is

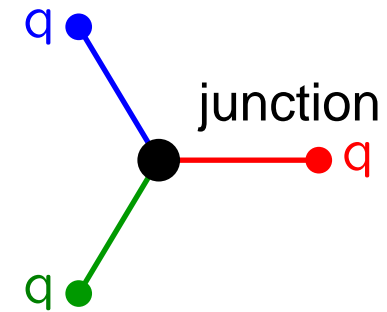
$$p_{\perp\min}(s) = 2.0 \text{ GeV} \left( \frac{s}{(1.8 \text{ TeV})^2} \right)^{0.08}$$

- **Where does the baryon number go?**

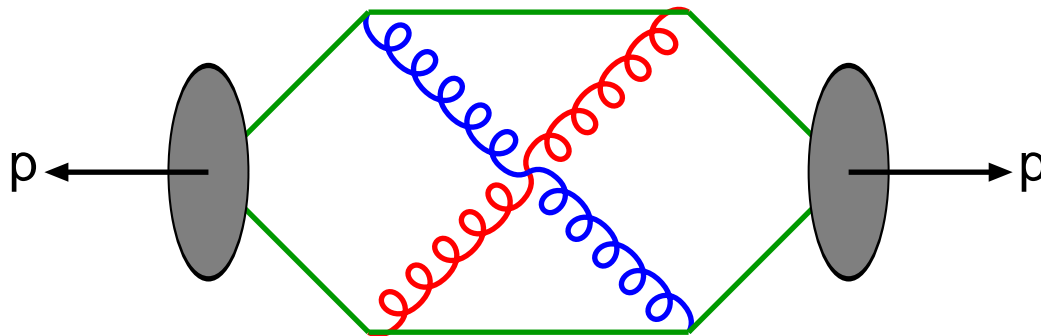
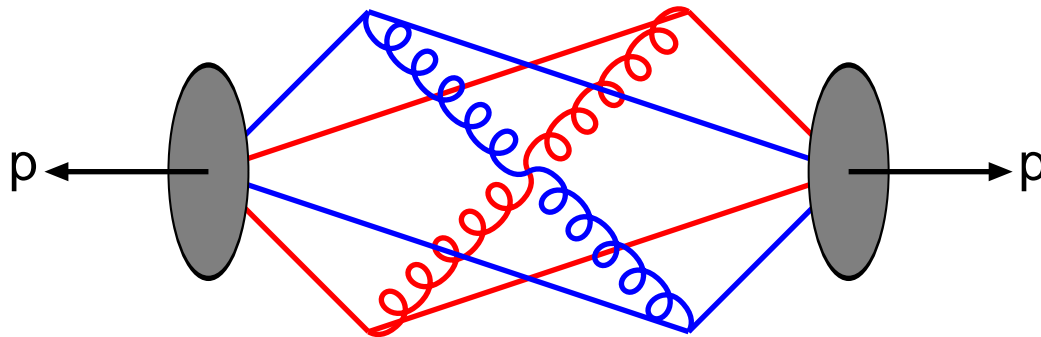
Junction “carries” baryon number!

Motion determined by colour flow attached to it.

Messy hadronization (but handled with model)



- **Colour correlations**



long strings to remnants

⇒ much  $n_{ch}$ /interaction

⇒ few interactions

⇒ little  $p_{\perp pert}$

⇒  $\langle p_{\perp} \rangle (n_{ch}) \sim \text{flat}$

short strings (more central)

⇒ less  $n_{ch}$ /interaction

⇒ more interactions

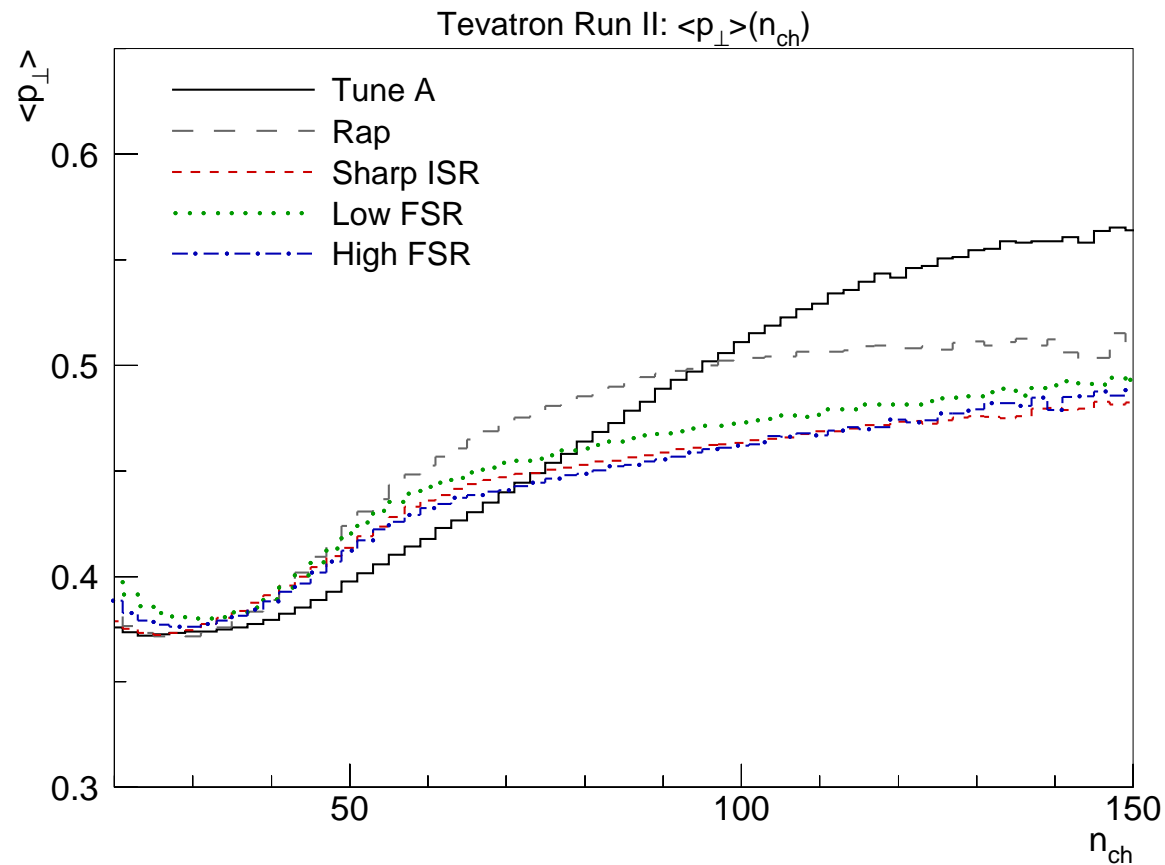
⇒ more  $p_{\perp pert}$

⇒  $\langle p_{\perp} \rangle (n_{ch})$  rising

# Data comparisons

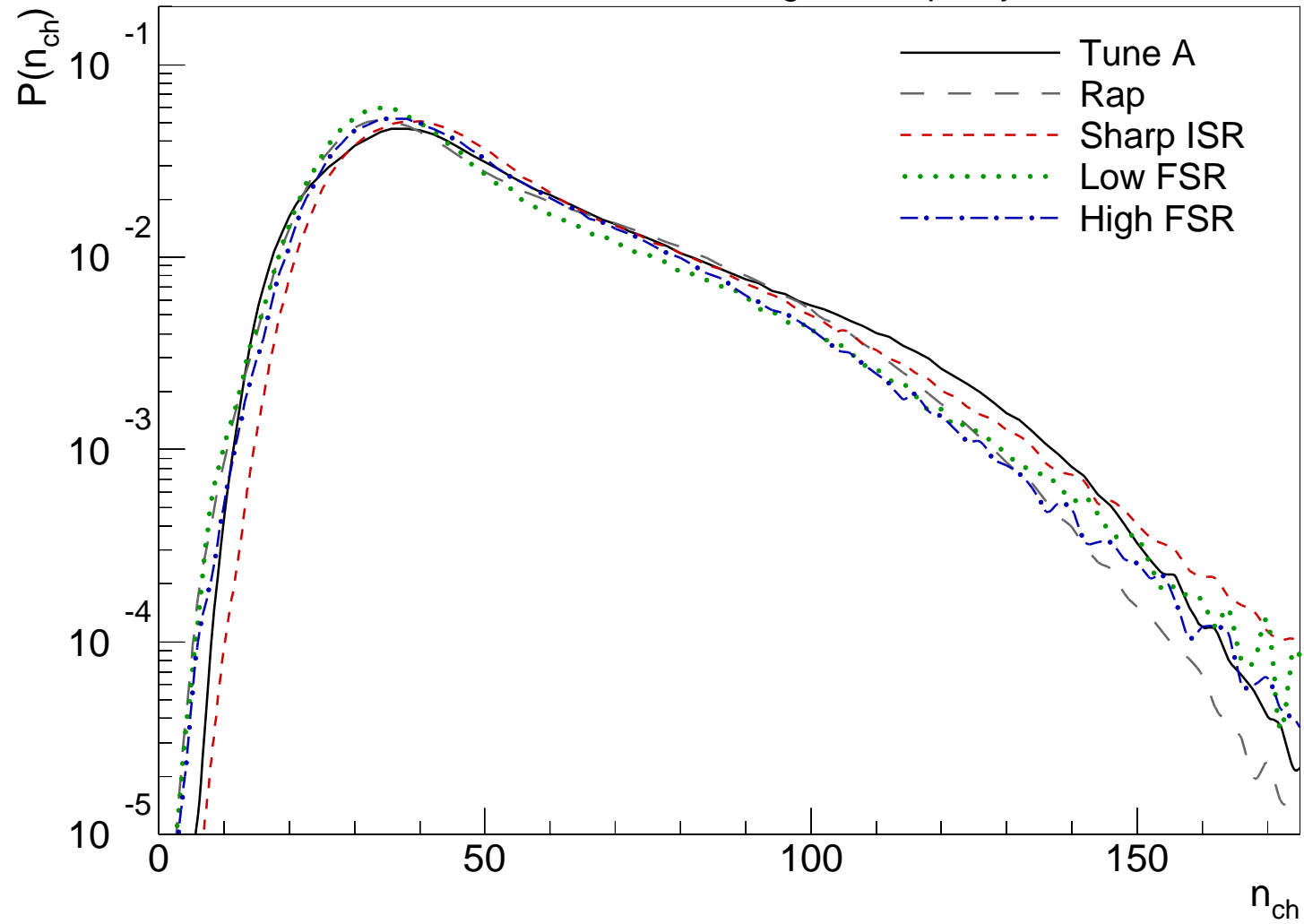
usually comparable with Tune A (for better or worse), but still in need of good tuning and detailed tests, and ...

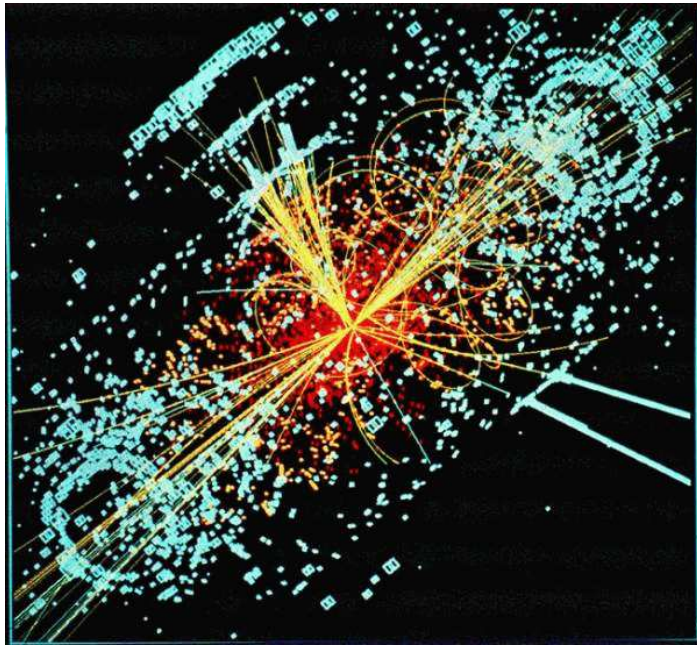
...  $\langle p_{\perp} \rangle(n_{ch})$  problematical (need very short string!)



**colour correlations not yet understood!**

Tevatron Run II: charged multiplicity





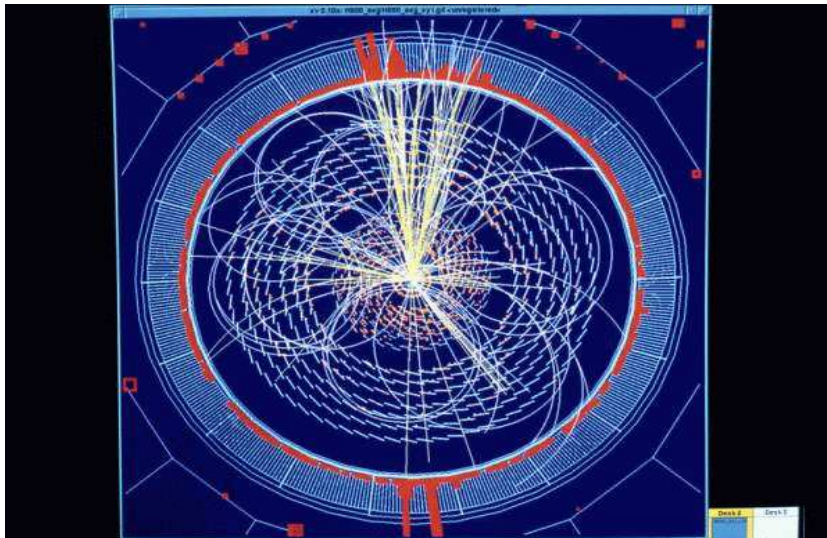
The structure of an event

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$p_{\perp}$ -ordered showers

Interleaved interactions



**Outlook**

# How to make progress?

The new MI/ISR/FSR scenario is available in PYTHIA  $\geq 6.312$ ,  
but is not the end of the road:  
Need model building  $\Leftrightarrow$  experimental tests

Need reference samples over wide energy range:

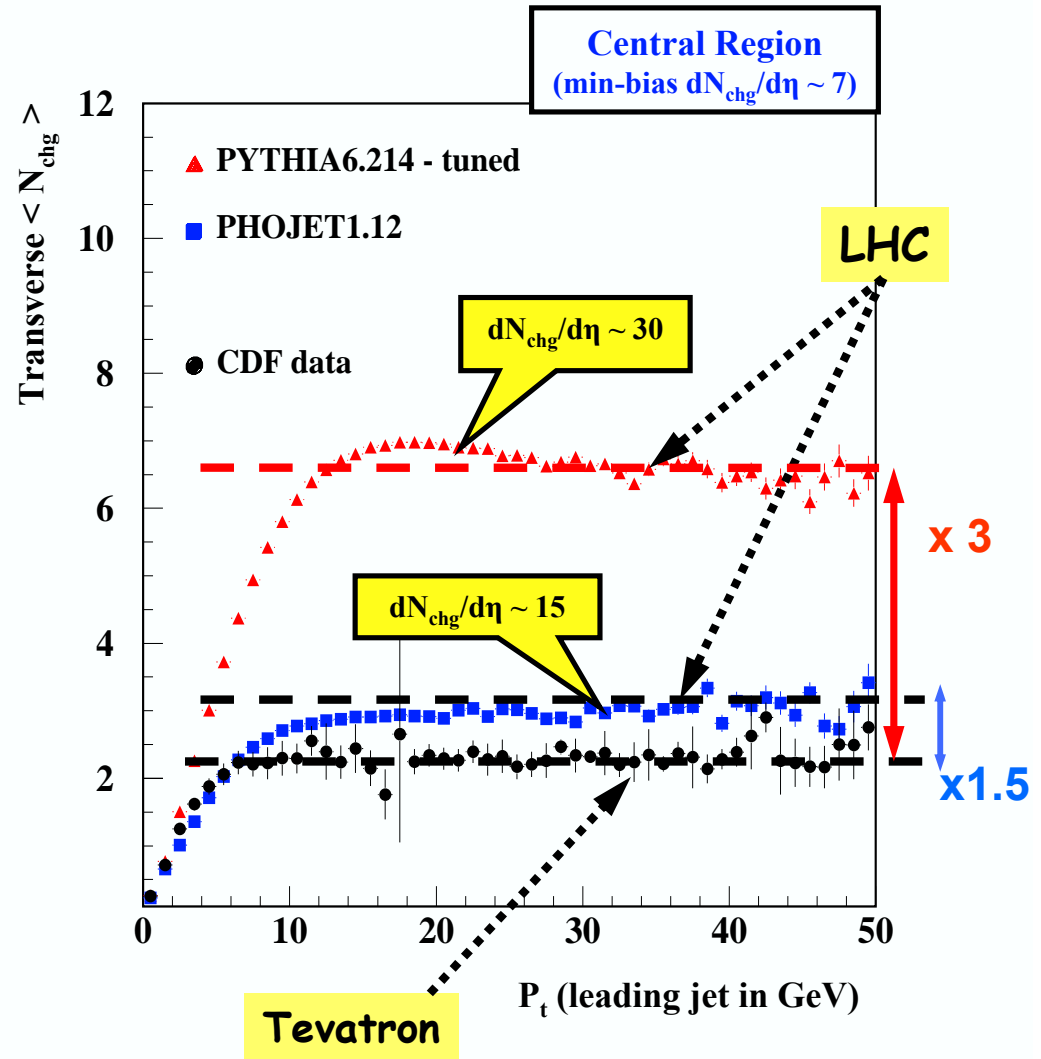
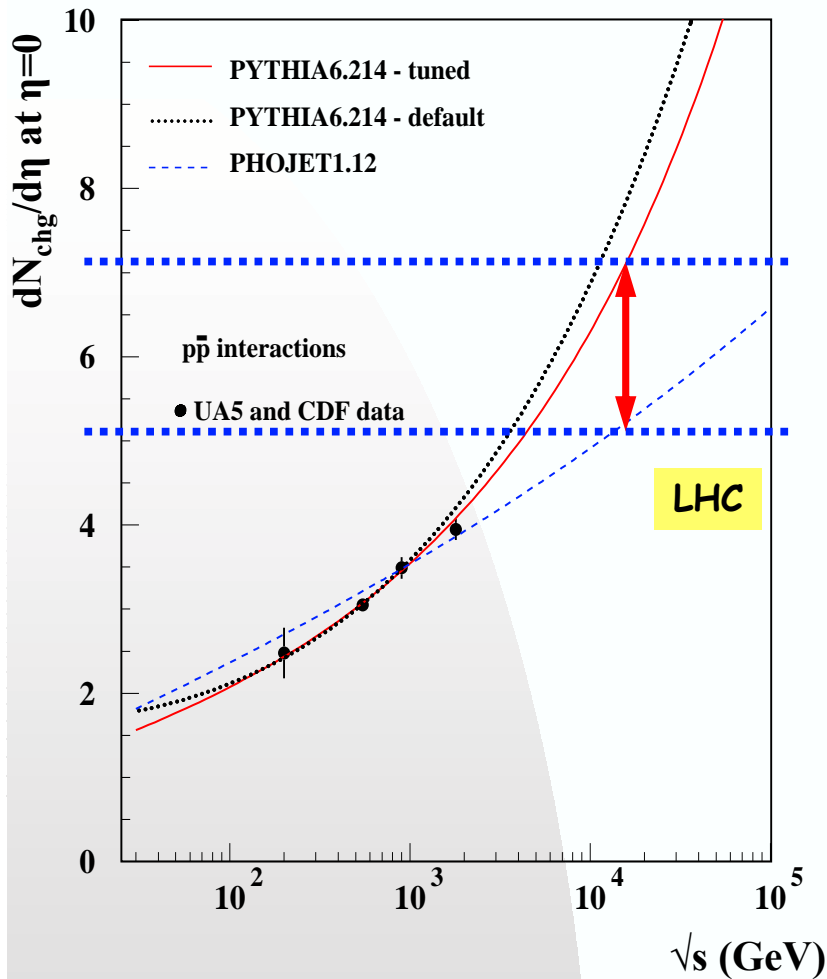
- $\sim 20$  GeV: fixed target
  - $\sim 63$  GeV: ISR
- $\sim 200$  GeV: Sp $\bar{p}$ S, RHIC
- $\sim 630$  GeV: Sp $\bar{p}$ S, Tevatron
- $\sim 2$  TeV: Tevatron

Need corrected and reliable distributions of:

- global quantities:  $n_{\text{ch}}$
- single-particle spectra:  $y$  and  $p_{\perp}$
- correlations:  $y, p_{\perp}, \varphi, \langle p_{\perp} \rangle (n_{\text{ch}})$
- jet and minijet properties:  $n_{\text{minijet}}(E_{\perp\text{jet}})$ , jet profile and pedestal
  - rapidity gap size and position
  - other interesting properties?

Need it all in a form usable to outsiders  $\implies$  JetWeb?

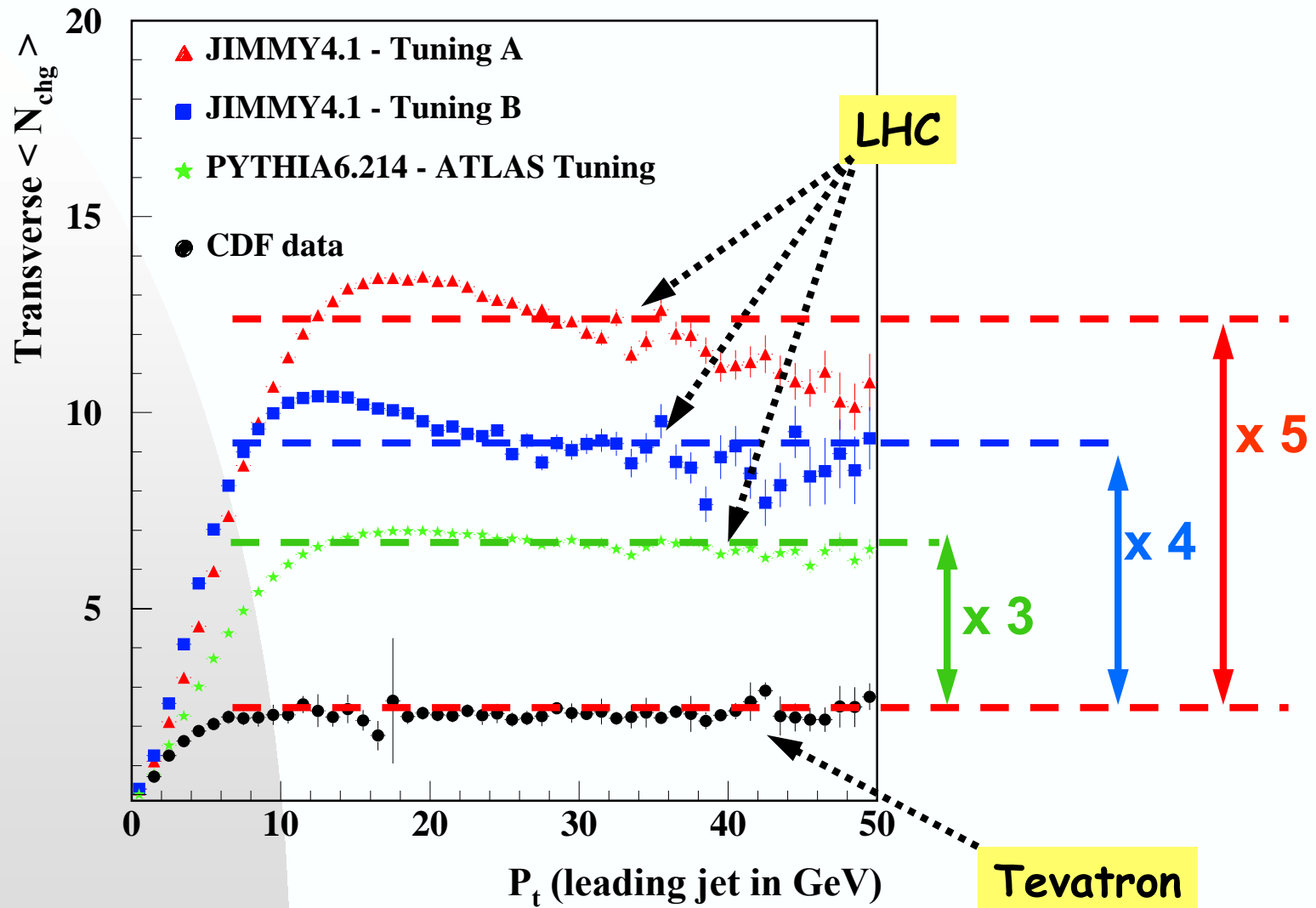
# LHC predictions: pp collisions at $\sqrt{s} = 14$ TeV



- **PYTHIA** models favour  $\ln^2(s)$ ;
- **PHOJET** suggests a  $\ln(s)$  dependence.



# LHC predictions: JIMMY4.1 Tunings A and B vs. PYTHIA6.214 – ATLAS Tuning (DC2)





# Outlook

- ★ Multiple interactions concept compelling; it *has to* exist at some level.  
By now, **strong** direct evidence, **overwhelming** indirect evidence
- ★ Understanding of multiple interactions crucial for LHC precision physics
  - ★ Many details uncertain
    - $p_{\perp\min}/p_{\perp 0}$  cut-off
    - impact parameter picture
    - energy dependence
  - multiparton densities in incoming hadron
  - colour correlations between scatterings
    - interferences between showers
    - ...
- ★ Above physics aspects must all be present, and more?

**If a model is simple, it is wrong!**

So stay tuned for even more complicated models in the future. . . .