





The Role of Event Generators in the exploration of QCD

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Recently QCD turned 50 . . .



Current Algebra: Quarks and What Else?

Harald Fritzsch*

and

Murray Gell-Mann**1

CERN, Geneva, Switzerland

Proceedings of the XVI International Conference on High Energy Physics, Chicago, 1972. Volume 2, p. 135 (J. D. Jackson, A. Roberts, eds.)

ADVANTAGES OF THE COLOR OCTET GLUON PICTURE®

H. FRITZSCH*, M. GELL-MANN and H. LEUTWYLER** California Institute of Technology, Pasadena, Calif. 91109, USA

Readered 1 October 1973

It is pointed out that there are several advantages in abstracting properties of hadrons and their currents from a Yang-Mills gauge model based on colored quarks and color octet gluons.



VOLUME 30, NUMBER 26

PHYSICAL REVIEW LETTERS

25 JUNE 1973

Ultraviolet Behavior of Non-Abelian Gauge Theories*

David J. Gross† and Frank Wilczek Joseph Henry Laboratories. Princeton University, Princeton, New Jersey 08540 (Received 27 April 1973)

It is shown that a wide class of non-Abelian gauge theories have, up to calculable logarithmic corrections, free-field-theory asymptotic behavior. It is suggested that Bjorken scaling may be obtained from strong-interaction dynamics based on non-Abelian gauge symmetry.

Reliable Perturbative Results for Strong Interactions?*

H. David Politzer

Jefferson Physical Laboratories, Harvard University, Cambridge, Massachusetts 02138 (Received 3 May 1973)

An explicit calculation shows perturbation theory to be arbitrarily good for the deep Euclidean Green's functions of any Yang-Mills theory and of many Yang-Mills theories with fermions. Under the hypothesis that spontaneous symmetry breakdown is of dynamical origin, these symmetric Green's functions are the asymptotic forms of the physically significant spontaneously broken solution, whose coupling could be strong.

... and was celebrated

Eur. Phys. J. C (2023) 83:1125 https://doi.org/10.1140/epjc/s10052-023-11949-2



Review

50 Years of quantum chromodynamics

Introduction and Review

Franz Gross^{1,2,4}, Eberhard Klempt^{3,b}O, Stanley J. Brodsky⁴, Andrzej J. Buras⁵, Volker D. Burkert¹O, Gudrun Heinrich⁶⁽¹⁾, Karl Jakobs⁷, Curtis A. Meyer⁸⁽¹⁾, Kostas Orginos^{1,2}, Michael Strickland⁹⁽¹⁾, Johanna Stachel¹⁰O, Giulia Zanderighi^{11,12}, Nora Brambilla^{5,12,13}, Peter Braun-Munzinger^{10,14}O, Daniel Britzger¹¹O, Simon Capstick¹⁵, Tom Cohen¹⁶, Volker Crede¹⁵O, Martha Constantinou¹⁷O, Christine Davies110, Luizi Del Debbio19, Achim Denig20, Carleton DeTar210, Alexandre Deur10, Yuri Dokshitzer22,23, Hans Günter Dosch10, Jozef Dudek1,20, Monica Dunford24, Evgeny Epelbaum25, Miguel A, Escobedo²⁶, Harald Fritzsch²⁷, Kenli Fukushima²⁸, Paolo Gambino^{11,29}, Dag Gillberg^{30,31} Steven Gottlieb¹², Per Grafstrom^{33,54}, Massimiliano Grazzinl³⁵, Boris Grube¹, Alexey Guskov³⁶ Toru Iliima¹⁷ O. Xiangdong Jl¹⁶ O. Frithiof Karsch¹⁸, Stefan Kluth¹¹, John B. Kozut^{29,40}, Frank Krauss⁴¹, Shunzo Kumano42,43, Derek Leinweber440, Heinrich Leutwyler45, Hai-Bo Li46,47, Yang Li480, Bogdan Malaescu⁴⁹O, Chiara Mariotti⁵⁰O, Pieter Maris⁵¹, Simone Marzani⁵², Wally Melnitchouk¹, Johan Messchendorp⁵³O, Harvey Meyer³³, Ryan Edward Mitchell⁵⁴, Chandan Mondal⁵⁵O Frank Nerling 53,56,57 (), Sebastian Neubert³, Marco Pappagallo⁵⁵ (), Saori Pastore⁵⁹, José R. Peláez⁴⁰ (), Andrew Puckett⁶¹, Jianwei Olu^{1,2} Klaus Rabbertz^{33,65}, Alberto Ramos⁶³, Patrizia Rossi^{1,64}, Anar Rustamov^{53,65} Andreas Schäfer⁴⁶, Stefan Scherer⁶⁷, Matthias Schindler⁶⁸, Steven Schramm⁴⁹, Mikhail Shifman⁷⁰, Edward Shuryak⁷¹, Torbiörn Siöstrand⁷²O, George Sterman⁷³, Jain W, Stewart⁷⁴O, Joachim Stroth^{53,56,57} Eric Swanson75, Guy F. de Téramond760, Ulrike Thoma70, Antonio Vairo77, Danny van Dyk41, James Vary510, Javier Virto78.79 (), Marcel Vos80 (), Christian Weiss1, Markus Wobisch81, Sau Lan Wu82, Christopher Young83 (), Feng Yuan84, Xingbo Zhao550, Xiaorong Zhou45

636 pp!

50 Years of QCD



TO REGISTER https://indico.cern.ch/event/1276932/

ORGANIZING COMMITTEE

Michalis Bachtis (UCLA) Aida El-Khadra (UIUC) Zhongbo Kang (UCLA, Chair) Igor Klebanov (Princeton) George Sterman (Stony Brook) Iain Stewart (MIT) LOCAL CONTACT

Zvi Bern Zhongbo Kang

UCLA Mani L. Bhaumik Institute for Theoretical Physics

Today event generators are taken for granted



- Kinematics-dependent cross sections for signal + background.
- Smearing and acceptance from detector imperfections.
- Effects of underlying event and pileup.

QCD understanding is the crucial point!

... also in astroparticle physics



How did we arrive here? What next?

Monte Carlo pre-QCD



- 1958: Kopylov addresses Fermi model of pions in nuclear collisions, by hand producing 200 random events.
- 1960: Kopylov; Raubold & Lynch : **M (mass) generator** for phase space, with OWL/FOWL implementation used for *s*-channel processes (mainly decays) through 70ies.
- 1968: James, "Monte Carlo Phase Space", CERN 68-15.
- 1969: Byckling & Kajantie, multiperipheral phase space for *t*-channel processes.

Jet Production at SPEAR (1975)

- Determine jettiness and jet axis by sphericity measure (Bjorken & Brodsky).
- Compare isotropic phase space with "jet model" where one adds $|M|^2 = \exp(-\sum_i p_{\perp i}^2/2b^2).$
- Jet model favoured at higher energies.
- With ansatz $d\sigma/d\Omega \propto 1 + \alpha \cos^2 \theta$ $\alpha_{\text{observed}} = 0.45 \pm 0.07 \Rightarrow$ $\alpha_{\text{corrected}} = 0.78 \pm 0.12.$
- Quarks produced in $e^+e^$ have spin 1/2 !



G. Hanson et al. (1975)

The Simple String

String theory early approach to hadron structure. Here 1 + 1-dimensional picture, i.e. no transverse oscillations.

Corresponds to linear potential $V(r) \approx \kappa r$, where $\kappa \approx 1$ GeV/fm fixed from Regge trajectory slopes.

Yo-yo motion, where linearity between (t, z) and (E, p_z) gives

$$\left|\frac{\mathrm{d}E}{\mathrm{d}z}\right| = \left|\frac{\mathrm{d}p_z}{\mathrm{d}z}\right| = \left|\frac{\mathrm{d}E}{\mathrm{d}t}\right| = \left|\frac{\mathrm{d}p_z}{\mathrm{d}t}\right| = \kappa$$

(c = 1, $m_{\rm q} \approx$ 0) for a ${\rm q}\overline{\rm q}$ pair flying apart along the $\pm z$ axis.

Later supported by lattice QCD.



The Artru-Mennessier Model (1974)

First (semi-)realistic hadronization model. Assumes fragmentation local, and string homogeneous. Thus constant probability per unit string area of breaking.

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 $\Rightarrow \text{ dampening factor} \\ \exp(-\mathcal{P}\tilde{A}), \\ \text{where } \tilde{A} \text{ is string area} \\ \text{in the backwards lightcone}$

Drawback: continuous hadron mass spectrum

The Field–Feynman Model (1978)

Describes single quark jet as recursive split-off of one hadron at a time w.r.t.

- new flavour $u\overline{u}, d\overline{d}$ or $s\overline{s}$,
- produced hadron (V or PS meson),
- Gaussian transverse momentum,
- fraction of remaining $E + p_z$.

But single jet, so no E, p, flavour, colour conservation.

And no understanding of space-time picture, notably time ordering.

Conceptually less sophisticated than Artru-Mennessier, but more useful and so immensely successful and influential. Triggers development of more sophisticated event generators.



Independent Fragmentation (1979)

FF-based generators for PETRA physics:

- TASSO (internal, 1979), 2 + 3 jet MEs
- Hoyer et al. (1979), 2 + 3 jet MEs, g = q
- Ali et al. (1980), 2 + 3 + 4 jet MEs, $g = q\overline{q}$





The Gluon Spin (1980)



Based on comparisons with Hoyer simulation of both alternatives, taking into account 3-jet selection criteria etc.

TASSO (1980)

The Lund Model (1977 — 1982)

String breakup vertices have a spacelike separation \Rightarrow can use recursive fragmentation from ends inwards with onshell hadrons, like FF,

but give overall space-time picture similar to Artru-Mennessier.



The Lund Gluon Picture (1980)

A gluon carries one colour and one anticolour. Thus it can be viewed as a kink on the string, carrying energy and momentum:



The most characteristic feature of the Lund model.

The JADE Effect (1980)



The $\alpha_{ m s}$ Confusion (\sim 1982)

CELLO (1982): $\alpha_{\rm s,Lund}/\alpha_{\rm s,Hoyer} \approx 1.5$ from 3-jet rate at LO! (*E*, **p**) not preserved when massless partons become massive jets!



Lund: $q\overline{q}$ jets more back-to-back; gluon jet **p** most reduced.

 $\begin{array}{l} \mbox{Hoyer: jet directions preserved;} \\ p_i \mbox{ rescaled for } \sum p_i = 0 \\ \Rightarrow \mbox{ gluon energy increased.} \end{array}$

Ali: allow overall boost \Rightarrow closer to Lund (for α_s).

Ellis, Ross, Terrano (1980): NLO $q\overline{q}g$ rate (+ LO 4-parton):

- calculations by a Hamburg/Wuppertal group disagreed
- required numerical integration by user as fn. of $(x_1, x_2; y)$;
- (possibility of negative 3-jet rate someplace).

The $\alpha_{ m s}$ Confusion (\sim 1983)





Progress:

- Jets are crooked!
- TASSO found bug
- ERT confirmed

Settled down to ERT + strings from \sim 1985

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The running of $lpha_{ m s}$ (2021)



PETRA: early "precision" measurements, but limited statistics makes hints of running inconclusive.

Colour Factors (\sim 1991)

Angular correlations in LEP four-jet events help disentangle colour factors $C_A = N_C$, C_F and T_R . **Final confirmation of QCD!**



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 Equivalent Photon DGLAP: Approximation (Bohr; Fermi; Weiszäcker, Williams, 1934) dP_{a→bc}

• DGLAP:

Gribov, Lipatov (1971), Altarelli, Parisi (1977), Dokshitzer (1977)

- Jet calculus: Konishi, Ukawa, Veneziano (1979)
- First shower (?): Wolfram (+ Fox, Field) (1979)
- More: Odorico (1980), Kajantie, Pietarinen (1980),

 $d\mathcal{P}_{a \to bc} = \frac{\alpha_s}{2\pi} \frac{dQ^2}{Q^2} P_{a \to bc}(z) dz$ $P_{q \to qg} = \frac{4}{3} \frac{1+z^2}{1-z}$ $P_{g \to qg} = 3 \frac{(1-z(1-z))^2}{z(1-z)}$ $P_{g \to q\overline{q}} = \frac{n_f}{2} (z^2 + (1-z)^2)$

Sudakov form factor:

$$\Delta(Q_1^2,Q_2^2) = \exp\left(-\int_{Q_2^2}^{Q_1^2}\int_0^1 \mathrm{d}\mathcal{P}_{a o bc}
ight)$$

Event generation with the **veto algorithm**.

. . .

Angular Ordering (1983)

Ambiguous interpretation of evolution variable Q^2

$$\frac{\mathrm{d}M^2}{M^2}\mathrm{d}z = \frac{\mathrm{d}p_\perp^2}{p_\perp^2}\mathrm{d}z = \frac{\mathrm{d}\theta^2}{\theta^2}\mathrm{d}z$$

since $p_{\perp}^2 \approx z(1-z)M^2$ and $\theta^2 \approx M^2/(z(1-z)).$

Marchesini, Webber (1983): effects of soft-gluon destructive interference can be emulated in an angularly-ordered cascade.

Note: softer partons tend to be emitted earlier and harder ones later.



The Cluster Model (1980)

Wolfram (1980), Webber (1983), ...:

"preconfinement" \approx adjacent partons in a shower form low-mass systems (when evolved to a low cut-off scale Q_0).



Many further refinements added over the years.

String vs. Cluster

B^0 π^- B^0 K^+ g ϕ K^+ η \bar{c} $\bar{\Lambda}^0$ $D_{\bar{s}}$		
program	PYTHIA	Herwig, SHERPA
model	string	cluster
energy-momentum picture	powerful	simple
	predictive	unpredictive
parameters	few	many
flavour composition	messy	simple
	unpredictive	in-between
parameters	many	few

Free parameters abound in each nonperturbative description.

The Dipole Approach (1985)

Azimov, Dokshitzer, Khoze, Troyan (1985): the radiation pattern of a secondary soft gluon g_2 around a (hard) $q\overline{q}g_1$ topology is approximately

$$W(\mathbf{n}_2) \sim N_c \left(\widehat{\mathrm{qg}_1} + \widehat{\overline{\mathrm{qg}}_1} \right) - \frac{1}{N_C} \widehat{\mathrm{q}\overline{\mathrm{q}}}$$

where a dipole factor

$$\widehat{ab}\sim rac{(p_a
ho_b)}{(p_a
ho_{
m g_2})(p_b
ho_{
m g_2})} \propto rac{(1-{f n}_a {f n}_b)}{(1-{f n}_a {f n}_2)(1-{f n}_b {f n}_2)}$$

for massless partons with $p_i = E_i(1; \mathbf{n}_i)$

Perturbative soft-gluon emissions give the same radiation pattern as the nonperturbative string picture in the $N_C \rightarrow \infty$ limit.

Both effects contribute, but in absolute terms the perturbative contribution increases with energy and overtakes the constant string one at around $E_{\rm CM} = 100$ GeV (= LEP 1).

Photon vs. Gluon Emission (1985)



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The Dipole Shower (1986)

G. Gustafson (1986): dual description of partonic state: partons connected by dipoles \Leftrightarrow dipoles stretched between partons **parton branching** \Leftrightarrow **dipole splitting**





Example of e^+e^- Event Properties



Need both showers and hadronization!

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Interconnection

Colour rearrangement well established e.g. in B decay.





At LEP 2 search for effects in $e^+e^- \rightarrow W^+W^- \rightarrow q_1\overline{q}_2 q_3\overline{q}_4$:

- perturbative $\langle \delta M_{\rm W} \rangle \lesssim 5$ MeV : negligible!
- nonperturbative $\langle \delta M_{\rm W} \rangle \sim 40$ MeV : **favoured**; no-effect option ruled out at 2.8 σ .
- Bose-Einstein $\langle \delta M_W \rangle \lesssim 100 \text{ MeV}$: full effect ruled out (while models with $\sim 20 \text{ MeV}$ barely acceptable).

The structure of an LHC pp collision



O Hard Interaction

- Resonance Decays
- MECs, Matching & Merging

FSR

ISR*

- QED
- Weak Showers
- Hard Onium

○ Multiparton Interactions

- Beam Remnants*
- Strings
- Ministrings / Clusters
- Colour Reconnections
- String Interactions
- Bose-Einstein & Fermi-Dirac
- Primary Hadrons
- Secondary Hadrons

Hadronic Reinteractions

(*: incoming lines are crossed)

Hadron Collision Generators

- Early days mostly simple longitudinal phase space.
 Evolved over time, e.g. UA5 Monte Carlo tuned to multiplicity distribution, y and p⊥ spectra, particle composition, etc., but no jets and weak on correlations.
- 1980 ISAJET begun by F. Paige and S. Protopopescu for ISABELLE studies.
 Main generator for most pp/pp physics in the 1980'ies.
- 1982: (Wolfram), Fox, Field, Kelly ⇒ FieldAJet used to present SSC predictions, but never public (and slow)
- Other generators developed but with limited impact: COJETS/WIZJET (R. Odorico, 1984), EUROJET (B. Van Eijk, 1985), ...

Early Days: SUSY Speculations (1984)

Volume 139B, number 1,2

PHYSICS LETTERS

3 May 1984

EXPERIMENTAL OBSERVATION OF EVENTS WITH LARGE MISSING TRANSVERSE ENERGY ACCOMPANIED BY A JET OR A PHOTON (S) IN $p\bar{p}$ COLLISIONS AT \sqrt{s} = 540 GeV

UA1 Collaboration, CERN, Geneva, Switzerland



S. Ellis, R. Kleiss, J Stirling: cocktail of small SM contributions! Also UA1 1984 "40 GeV top signal" eventually went away. Herwig, PYTHIA and Sherpa offer convenient frameworks for LHC $\rm pp$ physics studies, covering all aspects above, but with slightly different history/emphasis:



PYTHIA (successor to JETSET, begun in 1978): originated in hadronization studies; still special interest in soft physics.



Herwig (successor to EARWIG, begun in 1984): originated in coherent showers (angular ordering); cluster hadronization as simple complement.



Sherpa (APACIC++/AMEGIC++, begun in 2000): has own matrix-element calculator/generator; originated with matching & merging issues.

MultiParton Interactions (1985)

- Multiple cut pomerons and dual topological unitarization, and
- double (hard) parton scattering combined to picture with multiple (semi)perturbative interactions:



Colour screening from finite proton size (confinement):

$$\frac{\mathrm{d}\hat{\sigma}}{\mathrm{d}p_{\perp}^2} \propto \frac{\alpha_{\mathrm{s}}^2(p_{\perp}^2)}{p_{\perp}^4} \rightarrow \frac{\alpha_{\mathrm{s}}^2(p_{\perp}^2)}{p_{\perp}^4} \theta\left(p_{\perp} - p_{\perp \mathrm{min}}\right) \quad \text{(simpler)}$$
or $\rightarrow \frac{\alpha_{\mathrm{s}}^2(p_{\perp 0}^2 + p_{\perp}^2)}{(p_{\perp 0}^2 + p_{\perp}^2)^2} \quad \text{(more physical)}$

At LHC $p_{\perp 0} \approx 3$ GeV and $\langle n_{\rm MPI} \rangle \approx 3-4$. Absolutely essential for minimum-bias and underlying event: average activity level and fluctuations. DPS also observed at LHC. Events with hard scale (jet, W/Z) have more underlying activity! (UA1, 1983)



Protons are extended \Rightarrow impact-parameter. "Trigger bias" for hard interactions to occur in central collisions.



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Colour Reconnection (1985)

 $\langle p_{\perp} \rangle (n_{\mathsf{Ch}})$ is very sensitive to colour flow



The Breakdown of Jet Universality

Overall generators are successful for perturbative physics. What about nonperturbative physics at the LHC?

Jet universality old concept; current interpretation: A hadronization model, once tuned to LEP data, should be directly applicable to other collisions, notably LHC pp. (AA Quark–Gluon Plasma physics excepted.)

Proven wrong at the LHC, in particular by

- strange baryon enhancement,
- charm/bottom hadron composition, and
- the ridge and collective flow.

Strangeness enhancement (2016)





(Also observed in B_s/B^0 by LHCb.)

Signs of QGP in high-multiplicity pp collisions? If not, what else?

Core-corona? Ropes?

The charm baryon enhancement (2017)

In 2017/21 ALICE found/confirmed strong enhancement of charm baryon production, relative to LEP, HERA and default $P_{\rm YTHIA}$.



The Ridge Effect (2010)



(c) CMS N \geq 110, p_>0.1GeV/c

Elliptic flow in AA predicted from geometry + pressure.

Not so for pp, and yet ridge is observed at high multiplicities:

(d) CMS N \geq 110, 1.0GeV/c<p_<3.0GeV/c



QGP? Shove/repulsion? Hadronic rescattering?

Apologies

Many high-energy physics aspects not covered, e.g.

- perturbative higher-order calculations,
- next-to-leading-log parton showers, and
- the matching and merging of matrix elements and showers.
- Parton Distribution Functions,
- \bullet HERA ep physics: PDFs, rapidity gaps, photoproduction, \ldots ,
- LEP $\gamma\gamma$ physics,
- $\sigma_{\rm tot}$, ρ , diffraction,
- heavy flavour production,
- Quark-Gluon Plasma modelling of heavy ion collisions,
- cosmic ray physics (cascades in the atmosphere), and
- QCD aspects of BSM physics,
 - e.g. hidden sectors with showers and hadronization.

Summary

With the help of event generators we have established that

- quarks have spin 1/2;
- gluons have spin 1;
- colour factors $C_A = 3$, $C_F = 4/3$, $T_R = 1/2$ as expected;
- $\alpha_{
 m s}$ runs in agreement with QCD and $\alpha_{
 m s}(M_{
 m Z}) \approx 0.12$;
- perturbative evolution is strongly influenced by coherence;
- confinement leads to hadronization along colour lines (strings or cluster chains);
- multiparton interactions and colour reconnection are needed;
- jet universality is broken at low p_{\perp} and high multiplicity.

Nonperturbative pp LHC physics not yet fully understood. Several ideas floating around, but no complete picture.